



**Pfizer Limited**

The Capital, 1802 / 1901,  
Plot No. C - 70, G Block, Bandra Kurla Complex,  
Bandra (East), Mumbai 400 051.  
Tel : +91 22 6693 2000 Fax : +91 22 2654 0274

April 11, 2019

The Corporate Relationship Dept.  
BSE Limited  
1<sup>st</sup> Floor, P.J.Towers  
Dalal Street, Fort  
Mumbai - 400 001  
Scrip Code: 500680

The Manager, Listing Dept.  
The National Stock Exchange of India Ltd.  
Exchange Plaza, 5<sup>th</sup> Floor,  
Plot No. C/1, G Block  
Bandra-Kurla Complex, Bandra (E)  
Mumbai - 400 051  
Scrip Symbol: PFIZER

Dear Sirs,

**Sub: Compliance Certificate - Regulation 40 (9) of the SEBI (LODR) Regulations, 2015**

In compliance with Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we submit herewith the Certificate given by the Practicing Company Secretary, M/s. Manish Ghia & Associates, for the half year ended March 31, 2019.

Thanking you,

Yours truly,  
For PFIZER LIMITED

**Prajeet Nair**  
Company Secretary

Encl: A/a

**CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND  
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015  
FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2019**

**TO WHOMSOEVER IT MAY CONCERN**

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **Pfizer Limited** having its registered office at The Capital, 1802/1901, Plot No. C-70, G Block, Bandra Kurla Complex, Bandra (East), Mumbai-400051 maintained by **Karvy Fintech Private Limited**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> October, 2018 to 31<sup>st</sup> March, 2019** for the purpose of issuing certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements), Regulations, 2015 by **Pfizer Limited** and based on information provided by the Company, we hereby certify that during the half year ended on **31<sup>st</sup> March, 2019**, the Company:

- a) has issued the Share Certificate(s) relating to the Share Transfer form(s) received during the said half year (from 1<sup>st</sup> October, 2018 to 31<sup>st</sup> March, 2019) as entered in the Memorandum of Transfers within thirty days from the respective date of lodgment of each form(s) except those rejected on technical grounds;
- b) has issued the Share Certificate(s) relating to requests received for issue of Duplicate Share Certificate(s) in lieu of original lost/misplaced, during the said half year (from 1<sup>st</sup> October, 2018 to 31<sup>st</sup> March, 2019) within 30 days from the respective date(s) of receipt of such request(s) except those rejected on technical grounds; and
- c) has not received any request for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies.

For **Manish Ghia & Associates**  
Company Secretaries



*Manish L. Ghia*

**Manish L. Ghia**  
Partner

M. No. FCS 6252 C.P. No. 3531

Place : Mumbai  
Date : 11<sup>th</sup> April, 2019