



Pune E-Stock Broking Limited Member NSE BSE MCX CDSL
(Previously known as Pune e - Stock Broking Private Limited)
1198, Shukrawar Peth Subhashnagar Lane No. 3 Near Hirabaug Pune 411 002
Tel. : +91 20 41000600 Web : www.pesb.co.in Fax : +91 20 24498100
Email : info@pesb.co.in CIN No.: U67120PN2007PLC130374

19/04/2024

To,
Department of Corporate Services (Listing),
BSE Limited
1st Floor, New Trading Wing,
Rotunda Building P J Towers
Dalal Street, Fort
Mumbai – 400001

Ref.: BSE Script Code - 544141

Sub: SDD Compliance Certificate for the Half Year ended March 31, 2024

Dear Sir/Madam,

Pursuant to provisions of Regulation 3(5),3(6) and other applicable provisions of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find enclosed the Structured Digital Database (SDD) Compliance Certificate for the Half Year ended March 31, 2024. Kindly take the above information on your record.

Thanking You,

For Pune E- Stock Broking Limited

Ashwini Kulkarni
Company Secretary & Compliance Officer



Enclosed: as above





PESBTM
Your trust is our capital

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COMPLIANCE CERTIFICATE FOR THE HALF YEAR ENDED MARCH 31, 2024.

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Ashwini Kulkarni, Company Secretary & Compliance Officer of the Pune E- Stock Broking Limited am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

1. The Company has a Structured Digital Database in place
2. Control exists as to who can access the SDD
3. All the UPSI disseminated in the previous quarter have been captured in the Database
4. The system has captured nature of UPSI along with date and time
5. The database has been maintained internally and an audit trail is maintained
6. The database is non-tamperable and has the capability to maintain the records for 8 years.

I would like to confirm that there were no non-compliance(s) observed in the previous quarter.

For Pune E- Stock Broking Limited

Ashwini Kulkarni
Company Secretary & Compliance Officer



Dated: 19th April, 2024

Place: Pune

