

LATL:CS:REG34:2020-21

Date: 05.08.2020

BSE Limited
Listing Compliance Department
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai - 400 001

The National Stock Exchange of India Limited
Listing Compliance Department
Exchange Plaza, C-1 Block G,
Bandra Kurla Complex,
Bandra (E), Mumbai - 400051

Company Code : 532796**Company Code : LUMAXTECH**

- Subject:**
- 1. Submission of Annual Report of the Company along with the Notice of 39th Annual General Meeting ("AGM")**
 - 2. Intimation of Book Closure, Cut – off date and Record date for the purpose of AGM and Dividend**

Dear Sir/Ma'am,

In furtherance to our intimation dated June 17, 2020, we would like to inform you that the Thirty Ninth Annual General Meeting ("AGM") of the Members of the Company will be held on Friday, August 28, 2020 at 03:00 P.M. (IST) through Video Conferencing ("VC") / Other Audio-Visual Means ("OAVM"), in accordance with the relevant circulars issued by the Ministry of Corporate Affairs and the Securities and Exchange Board of India.

Submission of Annual Report

In compliance with the relevant circulars and pursuant to the Regulation 34(1) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Annual Report for the Financial Year 2019-20, comprising the Notice of the AGM and the Standalone and Consolidated Financial Statements for the Financial Year 2019-20, along with Board's Report, Auditors' Report and other documents required to be attached thereto, is being sent to all the Members of the Company whose email addresses are registered with the Company / Depository Participant(s) and is enclosed herewith for your record and reference.

Further, the details such as manner of (i) registering / updating email addresses, (ii) casting vote through e-voting and (iii) attending the AGM through VC / OAVM and instructions for voting thereon (iv) Communication on Tax deduction on Dividend Distribution has been set out in the Notice of the AGM.

Book Closure

Pursuant to Regulation 42 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Section 91 of the Companies Act, 2013, the Register of Member and Share Transfer Books will remain closed from Friday, August 21, 2020 to Friday, August 28, 2020 (both days inclusive).

CIN: L31909DL1981PLC349793

Lumax Auto Technologies Limited

Regd. Office:
2nd Floor, Harbans Bhawan-II,
Commercial Complex, Nangal Raya,
New Delhi – 110046, India

T +91 11 4985 7832
E shares@lumaxmail.com

www.lumaxworld.in

Cut – off date for AGM and Record Date for Dividend

The Company has fixed August 20, 2020 as the “Cut-off Date” for the purpose of determining the Members eligible to vote on the resolutions set out in the Notice of the AGM or to attend the AGM through VC or OAVM.

Also, the Company has fixed August 20, 2020 as the “Record Date” for the purpose of determining the Members eligible to receive dividend for the Financial Year 2019-20.

E-voting period

The Company is providing remote e-voting facility to exercise votes on the items of business given in the Notice through electronic voting system, to Members holding shares as on August 20, 2020, being the Cut-off date for the purpose of determining the voting rights of Members, entitled to participate in the remote e-voting process.

The remote e-voting period begins on Tuesday, the August 25, 2020 at 10:00 A.M. and ends on Thursday, the August 27, 2020 at 05:00 P.M.

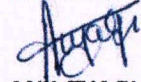
The above said information is also being made available on the website of the Company at www.lumaxworld.in/lumaxautotech.

This is for your information and record.

Thanking you,

Yours faithfully,

For **LUMAX AUTO TECHNOLOGIES LIMITED**



**ANIL TYAGI
COMPANY SECRETARY
M.NO. A-16825**



Encl.: as above

CC to:

National Securities Depository Limited

Trade World, A Wing, 4th & 5th Floors,
Kamala Mills Compound,
Lower Parel, Mumbai-400013

Central Depository Services (India) Limited

Marathon Futurex, A-Wing,
25th Floor, NM Joshi Marg,
Lower Parel, Mumbai-400013

Bigshare Services Private Limited

Bharat Tin Works Building,
1st Floor, Opp. Vasant Oasis,
Makwana Road, Andheri – East,
Mumbai – 400059

LUMAX AUTO TECHNOLOGIES LIMITED

Registered Office: 2nd Floor, Harbans Bhawan-II, Commercial Complex,
Nangal Raya, New Delhi-110046

Website: www.lumaxworld.in/lumaxautotech, Tel: 011 49857832

Email: shares@lumaxmail.com, CIN: L31909DL1981PLC349793

NOTICE

Notice is hereby given that the 39th Annual General Meeting (AGM) of the Members of Lumax Auto Technologies Limited will be held as under:

Day	:	Friday
Date	:	August 28, 2020
Time	:	03:00 P.M.

via two-way i.e. Video Conferencing (“VC”) or Other Audio-Visual means (“OAVM”) to transact the following businesses:

ORDINARY BUSINESS:

- To receive, consider and adopt the Audited Financial Statements (including Consolidated Financial Statements) of the Company for the Financial Year ended March 31, 2020, Audited Balance Sheet as at March 31, 2020 and the Statement of Profit and Loss for the Financial Year ended on that date together with the reports of the Board of Directors and Auditors thereon.
- To declare Final Dividend of ₹ 1 per equity share as recommended by the Board of Directors and to confirm an interim dividend of ₹ 2 per equity share, already declared and paid for the Financial Year ended March 31, 2020.
- To appoint a Director in place of Mr. Deepak Jain (DIN: 00004972), who retires by rotation and being eligible, offers himself for re-appointment.

SPECIAL BUSINESS:

4. TO CONSIDER AND APPROVE THE PROPOSAL FOR THE CONTINUATION OF DIRECTORSHIP OF MR. KANCHAN KUMAR GANDHI (DIN: 08165876), NON-EXECUTIVE AND INDEPENDENT DIRECTOR FOR THE PRESENT TERM.

To consider and if thought fit, to pass, with or without modification(s), the following Resolution as a **Special Resolution:**

“**Resolved that** pursuant to Regulation 17(1A) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, other applicable provisions, if any, of the Companies Act, 2013 and the applicable Rules made thereunder, including any amendment(s), statutory modification(s) and/or re-enactment thereof for the time being in force and on the recommendation of Nomination and Remuneration committee and Board of Directors of the Company, the consent of the members be and is accorded for the continuation of directorship

of Mr. Kanchan Kumar Gandhi (DIN: 08165876), who shall attain the age of 75 years in the financial year 2020-21, as Non-Executive Independent Director of the Company for his current term of office i.e. till July 27, 2023, not liable to retire by rotation at the Annual General Meeting.

Resolved further that the Board of Directors of the Company be and are hereby authorized to take necessary actions and steps required to give effect to the aforesaid resolution and settle any question or difficulty arising in connection therewith or incidental thereto.”

5. RATIFICATION / APPROVAL OF REMUNERATION BEING PAID TO MR. DEEPAK JAIN (DIN: 00004972), NON-EXECUTIVE DIRECTOR OF THE COMPANY.

To consider and if thought fit, to pass, with or without modification(s), the following resolution as a **Special Resolution:**

“**Resolved that** pursuant to the provisions of sections 196, 197, 198 and other applicable provisions, if any, of the Companies Act, 2013 (“the Act”), Regulation 17(6) (ca) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and subject to other approvals, permissions or sanctions as may be necessary, the consent of the members be and is hereby accorded for payment of remuneration in the form of commission @ 1% of net profit as calculated in terms of Section 198 and other applicable provisions of the Act, being paid to Mr. Deepak Jain (DIN: 00004972), a Non-Executive Director which exceeds 50% (fifty percent) of total remuneration payable to all Non-Executive Directors for the Financial Year 2019-20, which is in accordance with the recommendation of Nomination and Remuneration Committee and Board of Directors of the Company.

Resolved further that the Board of Directors of the Company be and are hereby authorized to take

necessary actions and steps required to give effect to the aforesaid resolution and settle any question or difficulty arising in connection therewith or incidental thereto.”

6. RATIFICATION / APPROVAL OF THE FEES OR COMPENSATION PAYABLE TO EXECUTIVE DIRECTOR - MR. D. K. JAIN, CHAIRMAN (DIN: 00085848)

To consider and if thought fit, to pass, with or without modification(s), the following Resolution as a **Special Resolution:**

“**Resolved that** pursuant to the provisions of Sections 196, 197, 198 read with Schedule V and other applicable provisions, if any, of the Companies Act, 2013 (“the Act”), Regulation 17(6)(e) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and such other approvals, permissions or sanctions as may be necessary, the consent of the Company be and is hereby accorded for the payment of fees or compensation to Mr. D.K. Jain (DIN: 00085848), Executive Chairman, who is also promoter and member of the promoter group, on such terms and conditions as approved by the Shareholders on August 21, 2018 notwithstanding that the remuneration payable to Mr. D.K. Jain in any year exceeds Rupees 5 Crores or 2.5% of the net profits of the Company, whichever is higher or the aggregate annual remuneration of all the Executive Directors exceeds 5% of the net profits of the Company, during the remaining tenure of his appointment up to May 27, 2023, which is in accordance with the recommendation of Nomination and Remuneration Committee and Board of Directors.

Resolved further that the Board of Directors of the Company be and are hereby authorized to take necessary actions and steps required to give effect to the aforesaid resolution and settle any question or difficulty arising in connection therewith or incidental thereto.”

7. RATIFICATION / APPROVAL OF THE FEES OR COMPENSATION PAYABLE TO EXECUTIVE DIRECTOR - MR. ANMOL JAIN, MANAGING DIRECTOR (DIN: 00004993)

To consider and if thought fit, to pass, with or without modification(s), the following Resolution as a **Special Resolution:**

“**Resolved that** pursuant to the provisions of Sections

196, 197, 198 read with Schedule V and other applicable provisions, if any, of the Companies Act, 2013 (“the Act”), Regulation 17(6)(e) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and such other approvals, permissions or sanctions as may be necessary, the consent of the Company be and is hereby accorded for the payment of fees or compensation to Mr. Anmol Jain (DIN: 00004993), Managing Director, who is also promoter and member of the promoter group, on such terms and conditions as approved by the Shareholders on August 21, 2018 notwithstanding that the remuneration payable to Mr. Anmol Jain in any year exceeds Rupees 5 Crores or 2.5% of the net profits of the Company, whichever is higher or the aggregate annual remuneration of all the Executive Directors exceeds 5% of the net profits of the Company, during the remaining tenure of his appointment up to May 27, 2023, which is in accordance with the recommendation of Nomination and Remuneration Committee and Board of Directors.

Resolved further that the Board of Directors of the Company be and are hereby authorized to take necessary actions and steps required to give effect to the aforesaid resolution and settle any question or difficulty arising in connection therewith or incidental thereto.”

8. RATIFICATION OF REMUNERATION OF COST AUDITORS FOR THE FINANCIAL YEAR 2020-21.

To consider and if thought fit, to pass, with or without modification(s), the following Resolution as an **Ordinary Resolution:**

“**Resolved that** pursuant to the provisions of Section 148 and other applicable provisions, if any, of the Companies Act, 2013 and the Companies (Audit and Auditors) Rules, 2014, (including any statutory modification(s) or re-enactment thereof, for the time being in force), M/s Jitender Navneet & Co., Cost Accountants (Firm Registration No. 000119) appointed by the Board of Directors of the Company, to conduct the audit of the cost records of the Company for the Financial Year Ending March 31, 2021, be paid the remuneration as set out in the Explanatory Statement annexed to the Notice convening this Meeting.

Resolved further that the Board of Directors of the Company be and are hereby authorized to take

necessary actions and steps required to give effect to the aforesaid resolution and settle any question or difficulty arising in connection therewith or incidental thereto.”

By Order of the Board
For Lumax Auto Technologies Limited

Place: New Delhi

Anil Tyagi

Dated: June 17, 2020

Company Secretary
Membership No. A16825

**Registered Office: 2nd Floor,
Harbans Bhawan-II, Commercial Complex,
Nangal Raya, New Delhi-110046.
Website: www.lumaxworld.in/lumaxautotech
Email: shares@lumaxmail.com
CIN: L31909DL1981PLC349793**

NOTES:

1. In view of the massive outbreak of the COVID-19 pandemic, social distancing is a norm to be followed and pursuant to the Circular No. 14/2020 dated April 08, 2020, Circular No.17/2020 dated April 13, 2020 issued by the Ministry of Corporate Affairs followed by Circular No. 20/2020 dated May 05, 2020, physical attendance of the Members to the AGM venue is not required and Annual General Meeting (AGM) be held through video conferencing (VC) or other audio visual means (OAVM). Further, Securities and Exchange Board of India (“SEBI”) vide its Circular dated May 12, 2020 (“SEBI Circular”) has also granted certain relaxations. In compliance with the provisions of the Companies Act, 2013 (“the Act”), Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and MCA Circulars, the AGM of the Company is being held through VC/OAVM on Friday, August 28, 2020 at 03:00 P.M. (IST). Hence, the Members can attend and participate in the ensuing AGM through VC/OAVM. The deemed venue for the AGM shall be the Registered Office of the Company.
2. Pursuant to the Circular No. 14/2020 dated April 08, 2020, issued by the Ministry of Corporate Affairs, the facility to appoint proxy to attend and cast vote for the members is not available for this AGM. The requirement of physical attendance of members has also been dispensed with. Hence the proxy form, attendance slip and route map of the AGM venue are not annexed to this notice.
3. The Members can join the AGM in the VC/OAVM mode 15 minutes before and after the scheduled time of the commencement of the Meeting by following the procedure mentioned in the Notice. The detailed instructions for joining the Meeting through VC/OAVM form part of the Notes to this Notice.
4. Institutional Investors, who are Members of the Company, are encouraged to attend the AGM through VC/OAVM mode and vote electronically. Corporate Members intending **to appoint their authorised representatives pursuant to Sections 112 and 113 of the Act, as the case may be, to attend the AGM through VC/OAVM or to vote through remote e-Voting are requested to send a certified copy of the Board Resolution to Bigshare Services Pvt. Ltd., Registrar and Transfer Agent of the Company, by e-mail at vinod.y@bigshareonline.com with a copy marked to the Company at shares@lumaxmail.com.**
5. The attendance of the Members attending the AGM through VC/OAVM will be counted for the purpose of reckoning the quorum under Section 103 of the Companies Act, 2013.
6. Pursuant to the provisions of Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014 (as amended), Secretarial Standard on General Meetings (SS-2) issued by the Institute of Company Secretaries of India (“ICSI”) and Regulation 44 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (as amended), and the Circulars issued by the Ministry of Corporate Affairs dated April 08, 2020, April 13, 2020 and May 05, 2020, the Company is providing facility of remote e-voting to its Members in respect of the business to be transacted at the AGM. For this purpose, the Company has entered into an agreement with National Securities Depository Limited (NSDL) for facilitating voting through electronic means, as the authorized agency. The facility of casting votes by a member using remote e-voting system as well as e-voting on the date of the AGM will be provided by NSDL.
7. In line with the MCA Circular dated May 5, 2020 and SEBI Circular dated May 12, 2020, the Notice of the AGM along with the Annual Report 2019-20 is being sent only through electronic mode to those Members whose e-mail addresses are registered with the Company/ Depositories. Further, the Notice of the AGM has been uploaded on the website of the Company at www.lumaxworld.in/lumaxautotech. The Notice can also be accessed from the websites of the Stock Exchanges i.e. BSE Limited and National Stock Exchange of India Limited at www.bseindia.com and www.nseindia.com respectively and the AGM Notice is also available on the website of NSDL (agency for providing the Remote e-Voting facility) i.e. www.evoting.nsdl.com.

8. **Book Closure:** The Register of Members and Share Transfer Books of the Company will remain closed from Friday, August 21, 2020 to Friday, August 28, 2020 (both days inclusive) for determining the names of the members eligible for dividend on equity shares, if declared at the AGM.
9. **Dividend Entitlement:** Dividend on Equity Shares, as recommended by the Board of Directors, if declared at the meeting will be payable to those Members whose names appear on the Register of Members of the Company, in the case of beneficial owners as at the close of August 20, 2020 as per the beneficial ownership data furnished by NSDL/CDSL for the purpose and in respect of Shares held in physical form after giving effect to all valid Shares Transfers, which are lodged with the Company as at the end of business hours on August 20, 2020.

The Board of Directors have recommended a dividend of Re. 1 per equity share of the face value of ₹ 2 each (@50%), payable to those Shareholders whose names appear in the Register of Members as on the Book Closure / Record Date.

The Board of Directors in their meeting held on February 19, 2020 considered & approved an interim dividend of ₹ 2 per equity shares of the face value of ₹ 2 each (@100%) for the Financial Year 2019-2020.

Cumulatively, the Board of Directors has declared / recommended a total Dividend of ₹ 3 per equity share of the face value of ₹ 2 each (@150%) for the Financial Year 2019-2020.

Pursuant to the Finance Act, 2020, dividend income will be taxable in the hands of the Shareholders w.e.f. April 1, 2020 and the Company is required to deduct TDS from dividend paid to the Members at prescribed rates as per Income Tax Act, 1961 ('the IT Act'). In general, to enable compliance with TDS requirements, Members are requested to complete and/or update their Residential Status, PAN, Category as per the IT Act with their Depository Participants ('DPs') or in case shares are held in physical form, with the Company by sending documents through e-mail by Friday, August 14, 2020. The note for '**Communication on Tax Deduction on Dividend**' is annexed with this notice as Annexure - III.

Dividend amount for Members holding shares in Electronic Form and to those Members holding in Physical Form, who have given their Bank details, will be credited to their respective Bank Account through Electronic Clearing Service (ECS), wherever such facilities are available, soon after the declaration of the Dividend in the AGM, subject to deduction of income-

tax at source ('TDS'). For others, Dividend DD's will be posted at the earliest once the normalcy is restored. In order to avoid any fraudulent encashment, such Members are requested to furnish their Bank Account Number and Bank's name so as to incorporate the same in the Dividend DD, immediately, if not submitted earlier. If there is any change in the Bank Account of Demat Members, they are requested to intimate the same to their respective Depository Participants for their further action.

Further, in order to receive dividend/s in a timely manner, Members holding shares in physical form who have not updated their mandate for receiving the dividends directly in their bank accounts through Electronic Clearing Service or any other means ("Electronic Bank Mandate"), can register their Electronic Bank Mandate to receive dividends directly into their bank account electronically or any other means, by sending scanned copy of the following details/documents by email to reach the Company's email address shares@lumaxmail.com by August 14, 2020 as per the details mentioned in Annexure to this Notice.

In the event the Company is unable to pay the dividend to any Member directly in their bank accounts through Electronic Clearing Service or any other means, due to non-registration of the Electronic Bank Mandate, the Company shall dispatch the dividend warrant/ Bankers' cheque/ demand draft to such Member, at the earliest once the normalcy is restored.

10. **Transfer of Unclaimed/Unpaid amounts to the Investor Education and Protection Fund (IEPF):** Members are requested to note that pursuant to the provisions of Section 124 of the Companies Act, 2013, the amount of Dividend unclaimed or unpaid for a period of 7 years from the date of transfer to Unpaid Dividend Account, shall be transferred to the Investor Education & Protection Fund (IEPF) set up by Government of India and no claim shall lie against the Company after the transfer of Unpaid or Unclaimed dividend amount to the Government. The amount lying in the Unpaid Dividend Account for the Financial Year 2011-12 has been transferred to the IEPF on October 24, 2019. The Final Unpaid Dividend Amount for the Financial Year 2012-13 shall be transferred to IEPF on or before October 26, 2020. Members who have not encashed their Dividend for the Financial Year 2012-13 and onwards are therefore, requested to make their claims to the Company immediately.

The Members are also requested to note that all shares on which dividend remains unclaimed for seven consecutive years or more shall be transferred to the

IEPF account in compliance with Section 124 of the Companies Act, 2013 and the applicable rules. In view of this, Members are requested to claim their dividends from the Company, within the stipulated timeline. The Members, whose unclaimed dividends/shares have been transferred to IEPF, may claim the same by making an application to the IEPF Authority after complying with the procedure prescribed under the IEPF Rules.

11. As per the provision of Section 89 read with Section 90 of the Companies Act, 2013, the combined effect of both the sections is that every person who is holding a beneficial interest in the shares of the Company shall submit his/her declaration to the Company in the prescribed form and thereafter the Company shall intimate to the Registrar in the prescribed form along with such declaration.

Every member(s) of the Company is requested to provide the declaration(s) regarding their beneficial interest, if any in the shares of the Company under the aforesaid provisions of the Act. The shareholders are further advised to refer Companies (Significant Beneficial Owners) Amendment Rules, 2019 before making declaration in respect of Beneficial Owner and Significant Beneficial Owner.

12. **Change of Address:** The Members holding shares in physical mode are requested to intimate to the Registrar and Share Transfer Agent M/s Bigshare Services Pvt. Ltd. Bharat Tin Works Building, 1st Floor, Opp. Vasant Oasis, Makwana Road, Andheri – East, Mumbai – 400059, immediately, if there is any change in their registered address. Demat Members should inform the change of address to their respective Depository Participants.
13. **Declaration:** Details as per Regulation 36(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 in respect of the Directors seeking appointment / re-appointment at the Annual General Meeting, forms integral part of the notice enclosed as Annexure - I. Other details as required under Secretarial Standard - 2 are included in the Corporate Governance Report, which forms part of the Annual Report. The Directors have furnished the requisite consents/ declarations for their appointment/ re-appointment.
14. For mandatory updation of Permanent Account Number (PAN), Dematerialization of Shares held by members in physical form, updation of email – id and updation of any other details with the Company/Depository, Members are requested to refer to the important instructions given in Annexure – II of this Notice.
15. Electronic copy of all the documents referred to in the accompanying Notice of the AGM and the Explanatory Statement shall be available for inspection in the

Investor Section of the website of the Company at www.lumaxworld.in/lumaxautotech.

During the AGM, Members may access the scanned copy of Statutory Registers maintained under the Companies Act, 2013, upon Log-in to NSDL e-Voting system at <https://www.evoting.nsdl.com>.

16. Members are requested to send their queries, if any, on the accounts and operations of the Company to the Company Secretary at least 7 days before the ensuing Annual General Meeting by sending an e-mail to shares@lumaxmail.com mentioning their DP ID & Client ID/Physical Folio Number.
17. Members, who would like to ask questions during the AGM with regard to the financial statements or any other matter to be placed at the AGM, need to register themselves as a speaker by sending their request from their registered email address mentioning their name, DP ID and Client ID number/folio number and mobile number, to reach the Company's email address shares@lumaxmail.com atleast 7 days in advance before the start of the AGM i.e. by August 21, 2020 by 05:00 P.M. IST. Only those Members who have pre-registered themselves as a speaker will be allowed to express their views/ask questions during the AGM. The Company reserves the right to restrict the number of speakers depending on the availability of time for the AGM.
18. **Explanatory Statement:** Pursuant to Section 102(1) of the Companies Act, 2013, Explanatory Statement in respect of Special Business to be transacted at the meeting is furnished hereunder.
19. **Voting through electronic means:** In terms of the provisions of section 108 of the Companies Act, 2013 (the Act), read with rule 20 of the Companies (Management and Administration) Rules, 2014 as amended (hereinafter called 'the Rules' for the purpose of this section of the Notice) and Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Company is providing remote e-voting facility to exercise votes on the items of business given in the Notice through electronic voting system, to Members holding shares as on August 20, 2020, being the Cut-off date for the purpose of Rule 20(4)(vii) of the Rules fixed for determining voting rights of Members, entitled to participate in the remote e-voting process, through the e-voting platform provided by National Securities Depository Limited (NSDL) i.e. <https://www.evoting.nsdl.com/> or to vote at the Annual General Meeting.
20. The Board of Directors has appointed Mr. Maneesh Gupta, Practicing Company Secretary, (Membership

No. F4982) New Delhi as the Scrutinizer to the remote e-voting process and e-voting during the Annual General Meeting in a fair and transparent manner.

21. During the AGM, the Chairman shall, after response to the questions raised by the Members in advance or as a speaker at the AGM, formally propose to the Members participating through VC/OAVM Facility to vote on the resolutions as set out in the Notice of the AGM and announce the start of the casting of vote through the e-Voting system. After the Members participating through VC/OAVM Facility, eligible and interested to cast votes, have cast the votes, the e-Voting will be closed with the formal announcement of closure of the AGM.
22. The recorded transcript of the forthcoming AGM on August 28, 2020, shall also be made available on the website of the Company www.lumaxworld.in/lumaxautotech in the Investor Section, as soon as possible after the Meeting is over.
23. The Scrutiniser shall after the conclusion of e-voting at the AGM, first download the votes cast at the AGM and thereafter unlock the votes cast through remote e-voting and shall make a consolidated scrutinizer's report of the total votes cast in favour or against, invalid votes, if any, and whether the resolution has been carried or not, and such Report shall then be sent to the Chairman or a person authorized by him, within 48 (forty eight) hours from the conclusion of the AGM, who shall then countersign and declare the result of the voting forthwith.

The Scrutiniser shall submit his report to the Chairman, who shall declare the result of the voting. The results declared along with the Scrutiniser's report shall be placed on the Company's website www.lumaxworld.in/lumaxautotech and National Securities Depository Limited (NSDL) i.e. <https://www.evoting.nsdl.com/> and shall also be communicated to the stock exchanges.

24. The Notice of AGM, Annual Report and instructions for e-voting are being sent in electronic mode to Members whose e-mail IDs are registered with the Company or the Depository Participant(s). Members who have still not registered their e-mail addresses are requested to register their e-mail addresses, in respect of shares held in electronic mode, with their depository participant and in respect of the shares held in physical mode, with the Company/ Bigshare Services Pvt. Ltd., the Registrar and Share Transfer Agent.
25. Members who wish to inspect the relevant documents referred to in the Notice can send an e-mail to shares@lumaxmail.com by mentioning their DP ID & Client ID/ Physical Folio Number.

26. Notice of this Annual General Meeting, Audited Financial Statement for Financial Year 2019-20 together with Directors' Report and Auditors' Report are available on the website of the Company www.lumaxworld.in/lumaxautotech. Person who is not a member as on the cut-off date should treat this Notice for information purposes only.

27. Instructions for attending the AGM through VC/OAVM and remote e-voting are given below:

A. Instructions for Members for attending the AGM through VC/OAVM are as under:

1. Member will be provided with a facility to attend the AGM through VC/OAVM through the NSDL e-Voting system. Members may access the same at <https://www.evoting.nsdl.com> under shareholders/members login by using the remote e-voting credentials. The link for VC/OAVM will be available in shareholder/members login where the EVEN of Company will be displayed. Please note that the members who do not have the User ID and Password for e-Voting or have forgotten the User ID and Password may retrieve the same by following the remote e-Voting instructions mentioned in the notice to avoid last minute rush. Further, members can also use the OTP based login for logging into the e-Voting system of NSDL.
2. Members are encouraged to join the Meeting through Laptops for better experience.
3. Further, members will be required to allow Camera and use Internet with a good speed to avoid any disturbance during the meeting.
4. Please note that Participants Connecting from Mobile Devices or Tablets or through Laptop connecting via Mobile Hotspot may experience Audio/Video loss due to Fluctuation in their respective network. It is therefore recommended to use stable Wi-Fi or LAN Connection to mitigate any kind of aforesaid glitches.

B. The instructions for remote e-voting are as under:

The remote e-voting period begins on Tuesday, the August 25, 2020 at 10:00 A.M. and ends on Thursday, the August 27, 2020 at 5:00 P.M. The remote e-voting module shall be disabled by NSDL for voting thereafter.

How do I vote electronically using NSDL e-Voting system?

The way to vote electronically on NSDL e-Voting system consists of "Two Steps" which are mentioned below:

Step 1: Log-in to NSDL e-Voting system at <https://www.evoting.nsdl.com/>

Step 2: Cast your vote electronically on NSDL e-Voting system.

Details on Step 1 is mentioned below:

How to Log-in to NSDL e-Voting website?

1. Visit the e-Voting website of NSDL. Open web browser by typing the following URL: <https://www.evoting.nsdl.com/> either on a Personal Computer or on a mobile.

4. Your User ID details are given below :

Manner of holding shares i.e. Demat (NSDL or CDSL) or Physical	Your User ID is:
a) For Members who hold shares in demat account with NSDL.	8 Character DP ID followed by 8 Digit Client ID For example if your DP ID is IN300*** and Client ID is 12***** then your user ID is IN300***12*****.
b) For Members who hold shares in demat account with CDSL.	16 Digit Beneficiary ID For example if your Beneficiary ID is 12***** then your user ID is 12*****.
c) For Members holding shares in Physical Form.	EVEN Number followed by Folio Number registered with the company For example if folio number is 001*** and EVEN is 101456 then user ID is 101456001***

5. Your password details are given below:

a) If you are already registered for e-Voting, then you can user your existing password to login and cast your vote.

b) If you are using NSDL e-Voting system for the first time, you will need to retrieve the 'initial password' which was communicated to you. Once you retrieve your 'initial password', you need to enter the 'initial password' and the system will force you to change your password.

c) How to retrieve your 'initial password'?

(i) If your email ID is registered in your demat account or with the company, your 'initial password' is communicated to you on your email ID. Trace the email sent to you from NSDL from your mailbox. Open the email and open the attachment i.e. a .pdf file. Open the .pdf file. The password to open the .pdf file is your 8 digit client ID for NSDL account, last 8 digits of client ID for CDSL account or folio number for shares held in physical form. The .pdf file contains your 'User ID' and your 'initial password'.

2. Once the home page of e-Voting system is launched, click on the icon "Login" which is available under 'Shareholders' section.

3. A new screen will open. You will have to enter your User ID, your Password and a Verification Code as shown on the screen.

Alternatively, if you are registered for NSDL eservices i.e. IDEAS, you can log-in at <https://eservices.nsdl.com/> with your existing IDEAS login. Once you log-in to NSDL eservices after using your log-in credentials, click on e-Voting and you can proceed to Step 2 i.e. Cast your vote electronically.

(ii) If your email ID is not registered, please follow steps mentioned below in **process for those shareholders whose email ids are not registered**

6. If you are unable to retrieve or have not received the "Initial password" or have forgotten your password:

a) Click on "**Forgot User Details/Password?**"(If you are holding shares in your demat account with NSDL or CDSL) option available on www.evoting.nsdl.com.

b) **Physical User Reset Password?** (If you are holding shares in physical mode) option available on www.evoting.nsdl.com.

c) If you are still unable to get the password by aforesaid two options, you can send a request at evoting@nsdl.co.in mentioning your demat account number/folio number, your PAN, your name and your registered address.

d) Members can also use the OTP (One Time Password) based login for casting the votes on the e-Voting system of NSDL.

7. After entering your password, tick on Agree to “Terms and Conditions” by selecting on the check box.
8. Now, you will have to click on “Login” button.
9. After you click on the “Login” button, Home page of e-Voting will open.

Details on Step 2 is given below:

How to cast your vote electronically on NSDL e-Voting system?

1. After successful login at Step 1, you will be able to see the Home page of e-Voting. Click on e-Voting. Then, click on Active Voting Cycles.
2. After click on Active Voting Cycles, you will be able to see all the companies “EVEN” in which you are holding shares and whose voting cycle is in active status.
3. Select “EVEN” of company for which you wish to cast your vote.
4. Now you are ready for e-Voting as the Voting page opens.
5. Cast your vote by selecting appropriate options i.e. assent or dissent, verify/modify the number of shares for which you wish to cast your vote and click on “Submit” and also “Confirm” when prompted.
6. Upon confirmation, the message “Vote cast successfully” will be displayed.
7. You can also take the printout of the votes cast by you by clicking on the print option on the confirmation page.
8. Once you confirm your vote on the resolution, you will not be allowed to modify your vote.

General Guidelines for Shareholders

1. Institutional shareholders (i.e. other than individuals, HUF, NRI etc.) are required to send scanned copy (PDF/JPG Format) of the relevant Board Resolution/ Authority letter etc. with attested specimen signature of the duly authorized signatory(ies) who are authorized to vote, to the Scrutinizer by e-mail to guptamaneeshcs@gmail.com with a copy marked to evoting@nsdl.co.in.
2. It is strongly recommended not to share your password with any other person and take utmost care to keep your password confidential. Login to the e-voting website will be disabled upon five unsuccessful attempts to key in the correct password. In such an event, you will need to go through the “Forgot User Details/Password?” or

“Physical User Reset Password?” option available on www.evoting.nsdl.com to reset the password.

3. In case of any queries, you may refer the Frequently Asked Questions (FAQs) for Shareholders and e-voting user manual for Shareholders available at the download section of www.evoting.nsdl.com or call on toll free no.: 1800-222-990 or send a request to Ms. Sarita Mote at evoting@nsdl.co.in

Process for those shareholders whose email ids are not registered with the depositories for procuring user id and password and registration of e mail ids for e-voting for the resolutions set out in this notice:

In case shares are held in physical mode please provide Folio No., Name of shareholder, scanned copy of the share certificate (front and back), PAN (self-attested scanned copy of PAN card), AADHAR (self-attested scanned copy of Aadhar Card) by email to the Company at shares@lumaxmail.com or to Registrar and Transfer Agent at vinod.y@bigshareonline.com.

In case shares are held in demat mode, please contact your DP and register your e-mail address in your demat account, as per the process advised by your DP.

C. The instructions for members for e-voting on the day of the AGM are as under:-

1. The procedure for e-Voting on the day of the AGM is same as the instructions mentioned above for remote e-voting.
2. Only those Members/ shareholders, who will be present in the AGM through VC/OAVM facility and have not casted their vote on the Resolutions through remote e-Voting and are otherwise not barred from doing so, shall be eligible to vote through e-Voting system in the AGM.
3. Members who have voted through remote e-Voting will be eligible to attend the AGM. However, they will not be eligible to vote at the AGM.
4. The details of the person who may be contacted by the Member needing assistance with the use of technology, before or during the AGM shall be the same persons mentioned for remote e-Voting and reproduced hereunder for convenience:
 - Mr. Amit Vishal, Senior Manager, NSDL at the designated email ID: evoting@nsdl.co.in or AmitV@nsdl.co.in or at telephone number :+91-22-24994360
 - Ms. Pallavi Mhatre, Manager, NSDL at the designated email ID: evoting@nsdl.co.in, pallavid@nsdl.co.in or at telephone number +91 22 2499 4545.

EXPLANATORY STATEMENT:

(PURSUANT TO SECTION 102 OF COMPANIES ACT, 2013)

ITEM NO. 4

Pursuant to the Regulation 17(1A) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, no listed entity shall appoint a person or continue the directorship of any person as a Non-Executive Director who has attained the age of Seventy-Five (75) years unless a Special Resolution is passed to that effect.

In-view of above provision, Mr. Kanchan Kumar Gandhi, Non- Executive Independent Director shall attain the age of Seventy-Five (75) years in the month of December 2020 and thus for continuation of his current term it is proposed to pass the Special Resolution for his present term.

Mr. Kanchan Kumar Gandhi is Principal Adviser of Society of Indian Automobile Manufacturers (SIAM), New Delhi. He has represented the Indian Auto Industry both in National and International forums and also holds membership of various Government Policy Committees including Expert Committee on Auto Fuel Vision and Policy 2025, Air Quality Monitoring, Emission inventory & Source Apportionment study for Indian Cities, etc. where he prepared Policies outlining and addressing various technical issues confronting the Indian Automobile Industry.

Mr. Kanchan Kumar Gandhi has also been Chairman of the India GRPE and GRRF Group of Experts for UN WP 29 and Director of Indian Institute of Petroleum, Dehradun.

The Board affirmed that his association as an Independent Director of the Company will be beneficial in form of continuous support and guidance in Company affairs.

The Board recommends her appointment as an Independent Director for a period of five (5) years w.e.f. July 28, 2018, not liable to retire by rotation.

The Company has received a declaration from Mr. Kanchan Kumar Gandhi that he meets the criteria of independence as prescribed both under sub-section (6) of Section 149 of the Companies Act, 2013 and Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015. In the opinion of the Board, Mr. Kanchan Kumar Gandhi fulfills the conditions for his appointment as an Independent Director as specified in the Act and the Listing Regulations. Mr. Kanchan Kumar Gandhi is independent of the management and possesses appropriate skills, experience and knowledge.

Except, Mr. Kanchan Kumar Gandhi, none of the Directors and Key Managerial Personnel of the Company and their relatives are concerned or interested, financial or otherwise, in the agenda as set out at Item No. 4 of the Notice.

Your Directors recommend the resolution set forth in Item No. 4 for approval of the Members as a Special Resolution.

ITEM NO. 5.

Mr. Deepak Jain has undergone extensive training at I. I. Stanley Co., Limited U.S. and Stanley Electric Co., Limited Japan after qualifying his MBA from Illinois Institute of Technologies USA with specialization in operational management & international business. Mr. Deepak Jain, aged 45 years, is associated with various key positions in different Association and has more than 25 years of work experience in the manufacturing Automotive Components. He is holding various positions in different associations like ACMA, Toyota Kirloskar Suppliers Association.

His rich experience and continued valuable guidance to the management, strong Board performance, has been instrumental in providing expert guidance in the area of marketing, business development and customer relationship.

In view of his valuable contribution made to the Company, he is being paid commission @1% of the net profit as calculated in terms of Companies Act, 2013 as amended up-to-date. Since this amount exceeds/likely to exceed 50% (fifty Percent) of total remuneration payable to all Non-Executive Directors for the financial year 2019-20; approval/ratification of such payment is sought due to recent amendment in the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Except, Mr. D. K. Jain, Mr. Deepak Jain, Mr. Anmol Jain, Mrs. Poysha Goyal Jain and Mrs. Shivani Jain, being relatives to each other, none of the Directors and Key Managerial Personnel of the Company and their relatives are concerned or interested, financial or otherwise, in the agenda as set out at Item No. 5 of the Notice.

Your Directors recommend the resolution set forth in Item No. 5 for approval of the Members as Special Resolution.

ITEM NO. 6.

Pursuant to Regulation 17(6)(e) inserted in the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, the approval of the shareholders by way of special resolution shall be obtained for fees or compensation payable to executive directors who are promoters or members of the promoter group, if,

- i) the annual remuneration payable to such executive director exceeds rupees 5 crore or 2.5 percent of the net profits of the listed entity, whichever is higher; or
- ii) where there is more than one such director, the aggregate annual remuneration to such directors exceeds 5 percent of the net profits of the listed entity.

Provided that the approval of the shareholders under this provision shall be valid only till the expiry of the term of such director.

Mr. D.K. Jain (DIN: 00085848), Executive Chairman, who is also a promoter and a member of the promoter group, was re-appointed as Chairman of the Company for a period of 5 years on such terms and conditions as approved by the Shareholders in the Annual General Meeting held on August 21, 2018.

In order to comply with the requirement of Regulation 17(6)(e)(i) of the Listing Regulations and based on the recommendation of Nomination and Remuneration Committee and Board of Directors, approval of the Shareholders is being sought by way of Special Resolution for the already approved remuneration paid to Mr. D.K. Jain as now it exceeds Rupees Five Crores or 2.5% of the Net Profits of the Company.

Further, pursuant to Regulation 17(6)(e)(ii) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the recommendation of Nomination and Remuneration Committee and Board of Directors, approval of the Shareholders is also being sought by way of Special Resolution for the already approved remuneration paid to Mr. D.K. Jain, Executive Chairman and Mr. Anmol Jain, Managing Director as in aggregate it exceeds 5% of the Net Profits of the Company.

Except Mr. D. K. Jain, Mr. Deepak Jain, Mr. Anmol Jain, Mrs. Poysha Goyal Jain and Mrs. Shivani Jain, being relatives to each other, none of the Directors and Key Managerial Personnel of the Company and their relatives are concerned or interested, financial or otherwise, in the agenda as set out at Item No. 6 of the Notice.

Your Directors recommend the Resolution set forth in Item No. 6 for approval of the Members as Special Resolution.

ITEM NO. 7.

Pursuant to Regulation 17(6)(e) inserted in the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, the approval of the shareholders by way of special resolution shall be obtained for fees or compensation payable to executive directors who are promoters or members of the promoter group, if,

- i) the annual remuneration payable to such executive director exceeds rupees 5 crore or 2.5 percent of the net profits of the listed entity, whichever is higher; or
- ii) where there is more than one such director, the aggregate annual remuneration to such directors exceeds 5 percent of the net profits of the listed entity.

Provided that the approval of the shareholders under this provision shall be valid only till the expiry of the term of such director.

Mr. Anmol Jain (DIN: 00004993), Managing Director, who is also a promoter and a member of the promoter group, was re-appointed as Managing Director of the Company for a period of 5 years on such terms and conditions as approved by the Shareholders in the Annual General Meeting held on August 21, 2018.

In order to comply with the requirement of Regulation 17(6)(e)(i) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the recommendation of Nomination and Remuneration Committee and Board of Directors, approval of the Shareholders is being sought by way of Special Resolution for the already approved remuneration paid to Mr. Anmol Jain, Managing Director as now it exceeds Rupees Five Crores or 2.5% of the Net Profits of the Company.

Further, pursuant to Regulation 17(6)(e)(ii) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the recommendation of Nomination and Remuneration Committee and Board of Directors, approval of the Shareholders is also being sought by way of Special Resolution for the already approved remuneration paid to Mr. D.K. Jain, Executive Chairman and Mr. Anmol Jain, Managing Director as in aggregate it exceeds 5% of the Net Profits of the Company.

Except Mr. D. K. Jain, Mr. Deepak Jain, Mr. Anmol Jain, Mrs. Poysha Goyal Jain and Mrs. Shivani Jain, being relatives to each other, none of the Directors and Key Managerial Personnel of the Company and their relatives are concerned or interested, financial or otherwise, in the agenda as set out at Item No. 7 of the Notice.

Your Directors recommend the Resolution set forth in Item No. 7 for approval of the Members as Special Resolution.

ITEM NO. 8.

The Board, on the recommendation of the Audit Committee, has approved the appointment and remuneration of M/s Jitender Navneet & Co., Cost Accountants as the Cost Auditors of the Company to conduct the audit of the cost records of the Company for the Financial Year 2020-21 at a fee of Rs. 1.50 Lacs plus Taxes as applicable and out of pocket expenses.

In accordance with the provisions of Section 148 of the Companies Act, 2013 and the Companies (Audit and Auditors) Rules, 2014, the remuneration payable to the cost auditor has to be ratified by the Members of the Company. Accordingly, consent of the Members is sought for passing an ordinary resolution as set out at Item no. 8 of the notice for ratification of the remuneration payable to the Cost Auditors for the Financial Year ending March 31, 2021.

None of the Directors, Key Managerial Personnel of the Company and their relatives are concerned or interested, financial or otherwise, in the agenda as set out at Item No. 8 of the Notice.

Your Directors recommend the resolution set forth in Item No. 8 for approval of the Members as an Ordinary Resolution.

**By Order of the Board
For Lumax Auto Technologies Limited**

Place: New Delhi
Dated: June 17, 2020

Anil Tyagi
Company Secretary
Membership No. A16825

**Registered Office: 2nd Floor,
Harbans Bhawan-II,
Commercial Complex,
Nangal Raya, New Delhi-110046.**

Website: www.lumaxworld.in/lumaxautotech

Email: shares@lumaxmail.com

CIN: L31909DL1981PLC349793

Annexure - I

BRIEF DETAILS OF DIRECTORS SEEKING APPOINTMENT/RE-APPOINTMENT AS PER REGULATION 36(3) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

Particulars	Mr. Kanchan Kumar Gandhi (DIN: 08165876)	Mr. Deepak Jain (DIN: 00004972)
Date of Birth	December 25, 1945	April 06, 1975
Qualification	B.E. Mechanical from BITS	Business Graduate from Illinois Institute of Technology, USA with specialization in Operations Management & International Business
Experience & Expertise	He is associated with SIAM for the last 18 years and is currently Principal Advisor.	He has undergone extensive training at Stanley Co. Limited, U.S.A. & Stanley Electric Co. Limited, Japan. He has over 25 years' of experience. He was Chairman of ACMA's HR/IR & Skill Development Committee, past Chairman of Sustainable Technology Development Committee of ACMA, past National Coordinator of Young Business Leader Forum of ACMA, and past President of Supplier's club, Honda Cars India Limited. He is Member of Young President Organization and Entrepreneurs' Organization. He also holds the position of Chairman of Northern Region of ACMA & Vice President of Toyota Kirloskar Supplier's Association.
Name of Listed Companies in which Directorship held other than Lumax Auto Technologies Limited	He is Member of various Government Policy Committees including Expert Committee on Auto Fuel Vision and Policy 2025, Air Quality Monitoring, Emission inventory and preparing policy documents for issues confronting the Indian Automobile Industry.	<ol style="list-style-type: none"> Lumax Industries Limited RSWM Limited
Chairman/ Member of the Committee of the Board of Listed Companies other than Lumax Auto Technologies Limited	NIL	Member of Audit Committee and Share Transfer/ Stakeholder Relationship Committee of Lumax Industries Limited
Relationship with Directors Inter-se	NIL	Related as son of Mr. D.K. Jain, Executive Chairman and Brother of Mr. Anmol Jain, Managing Director of the Company
No. of Shares held in the Company	Not related with any Director	99,52,315

Particulars	Mr. D. K. Jain (DIN: 00085848)	Mr. Anmol Jain (DIN: 00004993)
Date of Birth	November 08, 1942	April 04, 1979
Qualification	MBA from Delhi university & has successfully completed President Management Program from Harvard Business School	Bachelors in Business Administration in Finance & Supply Chain Management (Double major) from Michigan State University, U.S.A.
Experience & Expertise	<p>He is among the pioneers of the Indian Auto-Component Industry. His enigmatic vision and management skills has been the guiding light behind the DK Jain Group of companies.</p> <p>He holds Over more than 55 years of experience in the automotive industry in management, operations & administrative roles. He has held various industry positions like:</p> <ul style="list-style-type: none"> • Past president of ACMA, • President suppliers association – Toyota Kirloskar Motors, • Chairman of Trade Fairs Committee ACMA, • Co-chairman of Regional Committee on Membership of Northern Region CII, • Past Chairman of CSR subcommittee of the Northern Region of CII 	<p>He worked as a Management Trainee with GHSP, U.S.A. & subsequently, joined Lumax Group, in 2000 & has over 20 years of experience</p> <p>He has held various positions in Industry associations like:</p> <ul style="list-style-type: none"> • The National Coordinator of ACMA-YBLF from 2014-16. • The Chairman CII Haryana State Council in 2012-13. <p>He is currently:-</p> <ul style="list-style-type: none"> • The EC member of Honda Cars India Supplier's Club • Bajaj Auto Vendor Association MC member
Name of Listed Companies in which Directorship held other than Lumax Auto Technologies Limited	NIL	1. Lumax Industries Limited
Chairman/ Member of the Committee of the Board of Listed Companies other than Lumax Auto Technologies Limited	NIL	NIL
Relationship with Directors Inter-se	Related as father of Mr. Deepak Jain, Director and Mr. Anmol Jain, Managing Director of the Company.	Related as son of Mr. D.K. Jain, Executive Chairman and Brother of Mr. Deepak Jain, Director of the Company
No. of Shares held in the Company	NIL	99,49,380

Annexure - II

IMPORTANT AND URGENT INFORMATION FOR THE SHAREHOLDERS

Mandatory Updation of PAN and Bank Account details (Only for Physical Shareholding)

Securities Exchange Board of India ("SEBI") vide Circular No. SEBI/HO/MIRSD/DOPI/CIR/P/2018/73 dated April 20, 2018, has mandated Listed Entities to seek the subject details from the Shareholders holding shares in physical form with an objective to streamline the processes relating to maintenance of records, transfer of securities and seamless payment of dividend/interest/redemption amounts to the Shareholders.

Therefore, we request your good self to provide the following details for our records, which shall be linked to your respective folios:

1. Enclosed Format duly filled and signed by all the Shareholders.
2. Self-attested copy of PAN Card of all the Shareholders.
3. Original Cancelled Cheque leaf with Name (if the name is not printed, self-attested copy of Passbook duly attested by the Bank first page).
4. Self-attested copy of Address Proof of the Shareholder.

If the Shareholder is a resident of Sikkim, the Shareholder is required to submit a valid Identity proof issued by Government.

Mandatory Dematerialization of Shares

Pursuant to SEBI Notification No. SEBI/LAD-NRO/GN/2018/24 dated June 8, 2018 read with BSE Circular No. LIST/COMP/15/2018-19 dated July 5, 2018 issued to all Listed Companies has directed for Dematerialization of Shares held in physical form. In order to Dematerialize your share, please open a Demat Account with any of Depository Participants (DP) and submit your physical share certificate to DP along with necessary documents in this regard.

Updation of Email ID

To support "Green Initiative" and to further strengthen the communication and for providing the documents through electronic mode, the Shareholders are requested to get their Email Id's registered with the Company.

Registration of Mobile No. and Merging of Multiple Folios

Shareholders are requested to register their Mobile No. for direct and speedy communication and those Shareholders who are having Multiple Folios are requested to get the same merged in One Folio which will help in ease of communication.

FORMAT FOR FURNISHING PAN AND BANK DETAILS

To
Bigshare Services Pvt. Ltd.
Bharat Tin Works Building,
1st Floor, Opp. Vasant Oasis, Makwana Road,
Andheri – East, Mumbai – 400059

Dear Sir/Ma'am,

Unit: LUMAX AUTO TECHNOLOGIES LIMITED

I/ We furnish below our folio details along with PAN and Bank mandate details for updation and confirmation of doing the needful. I/we are enclosing the self-attested copies of PAN Card of all the holders, original Cancelled Cheque leaf, Bank Passbook and Address Proof viz., Aadhaar Card as required for updation of the details:

Folio No.	
Address of the 1st named Shareholder as per the share certificate	
Mobile No.	
Email ID	

Bank Account Details (for electronic credit of unpaid dividends and all future dividends):

Name of the Bank									
Name of the Branch									
Account Number (as appearing in your cheque book)									
Account Type (Saving/ Current/ Cash Credit)	Saving			Current			Cash Credit		
9 Digit MICR Number (as appearing on the cheque issued by the bank)									
11 Digit IFSC Code									

	PAN No.	Name	Signature
First Holder :			
Joint Holder 1 :			
Joint Holder 2 :			

Place:

Date:

Note: The above details will not be updated if the supporting documents are not attached and not duly signed by all the shareholders.

Annexure - III

COMMUNICATION ON TAX DEDUCTION AT SOURCE (TDS) ON DIVIDEND DISTRIBUTION

As per Finance Act, 2020, the Company would be under an obligation to deduct TDS in accordance with the provisions of the IT Act, from dividend distributed on or after April 01, 2020.

All Members are requested to ensure that the below details are completed and/or updated, as applicable, in their respective Demat account/s maintained with the Depository Participant/s; or with the Company in case of shares held in physical form latest by August 14, 2020.

- A. Valid Permanent Account Number (PAN).
- B. Residential status as per the Income Tax Act i.e. Resident or Non-Resident for FY 2020-21.
- C. Category of the Member i.e. Individual, HUF, AOP, Firm, Trust, LLP, Domestic Company, Foreign Company, FPI, FII, Mutual Fund, Insurance Company, AIF category I and II, AIF category-III, Central/State Government
- D. Email Address.
- E. Address.

Documents required to be submitted by relevant category of Members to the Company latest by August 14, 2020 as per detail below:

SECTION I. FOR RESIDENT MEMBERS:

NO TDS if dividend paid to Mutual Funds, Category I and II Alternative Investment Fund, Insurance companies, Recognized Provident funds, Approved Superannuation fund, Approved Gratuity Fund, National Pension Scheme and Government (Central/State) subject to submission of certificate of identity.

For Any other Entity as per detail below:

- a) TDS is required to be deducted @7.5% u/s 194 of the IT Act, if aggregate dividend distributed or likely to be distributed during the FY 2020-21 to individual shareholder exceed INR 5000 if PAN is available/provided otherwise 20% if no PAN.
- b) TDS is required to be deducted at the rate prescribed in the lower tax withholding certificate issued u/s 197 of the Act, if such valid certificate is provided.
- c) No TDS is required to be deducted on furnishing of valid Form 15G or Form 15H

SECTION II. FOR NON-RESIDENT MEMBERS:

- i. FII: TDS is required to be deducted at the rate of 20% (plus applicable surcharge and cess) u/s 196D of the IT Act.
- ii. Any entity entitled to exemption from TDS: Valid self-attested documentary evidence in support of the entity being entitled to exemption from TDS needs to be submitted.
- iii. Other non-resident Members:
 - a) TDS is required to be deducted at the rate of 20% (plus applicable surcharge and cess) u/s 195 of the IT Act.
 - b) Shareholder may be entitled to avail lower TDS rate as per Double Tax Avoidance Treaty (DTAA) between India and the country of tax residence of the shareholder, on furnishing the Self-attested copy of **1) PAN, 2) TRC, 3) Form 10F and 4) No PE declaration**

Details and / or documents as mentioned above in Section I and Section II, as applicable to the Member, need to be sent, duly completed and signed, through registered email address of the Member with PAN being mentioned in the subject of the email to reach **shares@lumaxmail.com** by August 14, 2020. Please note that no communication in this regard, shall be accepted after August 14, 2020.

Note: Above communication on TDS sets out the provisions of law in a summary manner only and does not purport to be a complete analysis or listing of all potential tax consequences. Shareholders should consult with their own tax advisors for the tax provisions applicable to their particular circumstances.