

PRIME

October 10, 2020

✓ **Corporate Relationship Department**
BSE Limited

Phiroze Jeejeebhoy Towers, Dalal Street,
Fort, Mumbai 400001

Capital Markets-Listing

National Stock Exchange of India Ltd

Exchange Plaza, 5th Floor, Plot No. C/1,
G Block, Bandra Kurla Complex, Bandra (East),
Mumbai 400051

Dear Sir,

Sub: Regulation 40(9) & 40(10) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Re: Stock Code: 500337 (BSE) / PRIMESECU (NSE)

Pursuant to the provisions of Regulation 40(9) & 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Certificate dated October 9, 2020, from M/s. Pramod Shah & Associates, Practicing Company Secretaries, for the Half-Year ended September 30, 2020.

This is for your information and records.

Thanking you,

Yours faithfully,
For Prime Securities Limited


Ajay Shah
Company Secretary
(ACS-14359)

Prime Securities Limited

1109 / 1110, Maker Chambers V,
Nariman Point, Mumbai 400 021.
CIN: L67120MH1982PLC026724
Website: www.primesec.com

Tel : +91-22-6184 2525
Fax: +91-22-2497 0777

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS 2015**

FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2020

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Prime Securities Limited** maintained by **Link Intime India Private Limited(the RTA)** pertaining to transfer of equity shares of the Company for the period from **01st April, 2020 to 30th September, 2020** for the purpose of issuing a Certificate as per Clause 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 entered into by **Prime Securities Limited** with Stock Exchanges and based on the information provided by the Company, We hereby certify that the Company has delivered during half year ended on **30th September, 2020**:

- A) Share Certificate(s) relating to the transfer of Shares received during the period from **01st April, 2020 to 30th September, 2020** as entered in the Memorandum of Transfers and had been issued within thirty days from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) The Company has not received any request for issue of duplicate share certificates and has received requests for transmission of shares during the period from **01st April, 2020 to 30th September, 2020** and the certificates for the same has been issued within thirty days from respective date of lodgment.

Date: October 9, 2020

Place: Mumbai

**PRAMOD
SHANTILAL
SHAH** Digitally signed by
PRAMOD
SHANTILAL SHAH
Date: 2020.10.09
19:08:52 +05'30'

For Pramod S. Shah & Associates

Pramod S. Shah - Partner

Membership No.: 334 (FCS)

C. P. No.: 3804

UDIN: F000334B000893963