

# PANKAJ PIYUSH TRADE AND INVESTMENT LTD

Regd. Off. :314, 3rd Floor, Opposite Plot No. 39, R. G. Mall, Sector-9, Rohini, New Delhi-110085  
(CIN : L65990DL1982PLC256291)

Website : www.pptinvestment.com  
E-mail : info@pptinvestment.com  
Ph. : 011-42804171, 9891442777

Date: 22.10.2019

To,  
The Listing Department  
BSE Limited  
PJ Towers  
Dalal Street  
Mumbai- 400 001

Subject: Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir,

With reference to the above-mentioned subject, please find enclosed herewith the Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 issued by Practicing Company Secretary for the half year ended September 30, 2019.

Kindly take the same on your record.

Thanking You,  
Yours Faithfully,

For Pankaj Piyush Trade and Investment Limited

*Sarita*

Sarita Singh  
CS & Compliance Officer  
Membership No.: 55937



Place: Delhi



**Certificate under Regulation 40(9) of the SEBI (LODR) Regulations, 2015**  
**For the half year ended 30.09.2019**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to **Pankaj Piyush Trade and Investment Limited** pertaining to transfer of equity shares of the company for the period from **01.04.2019** to **30.09.2019** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that during the half year ended on 30.09.2019:

- A. No request has been received for share certificates relating to transfer of shares during the period from **01.04.2019** to **30.09.2019**.
- B. No request has been received for share certificates in respect of the transmission of shares, duplicate share certificates, sub-division /consolidation of share certificates, renewal, exchange or endorsement of calls/allotment of monies during the period from **01.04.2019** to **30.09.2019**.

Date: 22-10-2019  
Place: Delhi

For & on behalf of  
VMS & Co.



**Megha Sharan**  
Practicing Company Secretary  
Membership No. F9802  
C.P. No.- 12171

UDIN: F009802A000157807