



**Ambalal Sarabhai Enterprises Limited**

Registered Office : Shantisadan, Mirzapur Road, Ahmedabad-380001.  
Telephone : +9179-25507671 / 25507073, Fax : +9179-25507483, E-mail : ase@sarabhai.co.in

Ref. No. :

Date :

09.10.2019

To,  
Manager (Corporate Relations Deptt.)  
BSE Ltd.  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai – 400001

**Sub.: Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the half year ended on 30<sup>th</sup> September, 2019.**

Dear Sir,

We herewith submit Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 for the half year ended on 30<sup>th</sup> September, 2019 which is given by M/s. Ajay Parikh & Associates, Company Secretaries, Ahmedabad.

Please take the same on your record.

Yours Faithfully

For Ambalal Sarabhai Enterprises Limited

Company Secretary

Encl: a.a

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURES REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30.09.2019.

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s Ambalal Sarabhai Enterprise Limited** maintained by M/s MCS Share Transfer Agent Ltd, Registrar & Transfer Agents pertaining to transfer of equity shares of the company for the period from 01.04.2019 to 30.09.2019 for the purpose of issuing a Certificate as per Regulation 40(9) of the 'SEBI (LISTING OBLIGATIONS AND DISCLOSURES REQUIREMENTS) REGULATIONS, 2015 and Sign in uniform Listing Agreement with Company and BSE for above said LODR-2015 and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on 30.09.2019:

A) Share Certificate(s) relating to the transfer of Shares received during the period from 01.04.2019 to 30.09.2019 as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

B) *Any other information, if applicable*  
The Company has not received any request for issue of Duplicate Share Certificates.

Date : 06.10.2019  
Place : Ahmedabad

For Ajay Parikh & Associates,  
COMPANY SECRETARIES

UDIN No: F006075A000033141



*Ajay Parikh*

Proprietor  
Practicing Company Secretary  
FCS No. 6075; C.P. No. 6503