

Date: 27/11/2020

To  
The Manager (Listing),  
The Bombay Stock Exchange (BSE Limited)  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

**Sub: Compliance Certificate under Regulation 40(9) of the Securities and exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Half year ended on 30<sup>th</sup> September, 2020.**

**Ref: Scrip Code - 542724**

Dear Sir / Madam,

Pursuant to Regulation 40(10) of the Securities and exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith a certificate Issued by PRO & Co., Company Secretaries for the half year ended on 30<sup>th</sup> September, 2020 in Compliance with Regulation 40(9) of the above mentioned Regulations.

This is for your information and record.

Thanking you,

Yours Faithfully,

**For Earum Pharmaceuticals Limited**

EARUM PHARMACEUTICALS LIMITED

DIRECTOR / AUTHORISED SIGNATORY

**Bhumishth Narendrabhai Patel**  
(MANAGING DIRECTOR)  
DIN: 02516641

Enclosure: As Above



www.cspro.in

# PRO & CO.

## Company Secretaries

To  
The Board of Directors  
**EARUM PHARMACEUTICALS LIMITED**  
G1, Ground Floor, V R Complex, Near Sanathal Cross Road,  
SP Ring Road, Sanathal, Ahmedabad, Gujarat - 382210

**Sub: Compliance Certificate in terms of Regulation 40(9) of the Securities and exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Half year ended on 30<sup>th</sup> September, 2020.**

Dear Sir / Madam,

We have examined all relevant books, registers, forms, documents and papers that were considered necessary of EARUM PHARMACEUTICALS LIMITED (hereinafter referred to as 'the Company') maintained by the Registrar and Share Transfer Agent (RTA) 'Bigshare Services Private Limited' pertaining to transfer of Equity Shares of the Company for the period from 01st April 2020 to 30th September 2020 for the purpose of issuing certificate to the stock exchange as per Regulation 40(9) of the Securities and exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Based on our examination and explanation furnished to us, which to the best of our knowledge and belief necessary for the purpose of our certification, we hereby certify that during the half year ended on 30<sup>th</sup> September, 2020. The company /RTA have not received any request for the lodgement for transfer, sub-division, consolidation, renewal, duplicate issue or exchange of share Certificates etc.

**For PRO & Co.**  
**Company Secretaries**

**Place: Ahmedabad**  
**Date: 27.11.2020**  
**UDIN: A040315B001329207**



**Om Prakash Agrawal**  
**Partner**

**M. No. ACS 40315**  
**C. P. No: 19068**

Head Office: 216, 2nd Floor, Jaina Tower-1, District Centre Janak Puri, New Delhi-110058  
Contact No.: 011-45583337, 9643513394 ✉️ procsdelhi@gmail.com

Branch office: 622, Vanijya Bhawan, D.B. Road., Kankaria, Ahmedabad, Gujarat- 380022  
Contact No. +91-9461845377 ✉️ procsahmedabad@gmail.com





# Bigshare Services Pvt. Ltd.

A-802, Samudra Complex, Near Girish Cold Drinks,  
off C. G. Road, Navranpura, Ahmedabad - 380 009.  
Email: bssahd@bigshareonline.com • website: www.bigshareonline.com

SEBI  
REGISTERED CATEGORY I  
REGISTRAR & TRANSFER  
AGENT



EARUM PHARMACEUTICALS LIMITED  
G1,GROUND FLOOR,V R COMPLEX  
NEAR SANATHAL CROSS ROAD,  
SP RING ROAD, SANATHAL  
AHMEDABAD,GUJARAT  
AHMEDABAD 382210

Dear Sir

UNIT :-EARUM PHARMACEUTICALS LIMITED

Sub : Clause 40 of the Securities Exchange Board of India (Listing Obligations and Disclosures Requirements) Regulations, 2017.

we hereby give the following details during 01/04/2020 30/09/2020  
with respect to Compliance Certificate under Clause40 of the Securities Exchange Board of India (Listing Obligation and Disclosures Requirements) Regulations, 2017.

Sr. No	Particulars	No. of Transact	No. of Shares
1	Consolidation	NIL	NIL
2	Correction Entry	NIL	NIL
3	Exchange	NIL	NIL
4	Folio Consolidation	NIL	NIL
5	Mutilation	NIL	NIL
6	Name Deletion	NIL	NIL
7	Re-materialization	NIL	NIL
8	Replacement	NIL	NIL
9	Share Duplicate Issue	NIL	NIL
10	split	NIL	NIL
11	Transmission	NIL	NIL
12	Transposition	NIL	NIL

Yours faithfully,  
for BIGSHARE SERVICES PVT LTD

Authorised Signatory



CIN : U99999MH1994PTC076534

(An Associate Company of Transfer Online Inc., USA)

Regd. Office: E-2/3, Ansa Industrial Estate, Sakivihar Road, Saki Naka, Andheri (E), Mumbai - 400 072. India.  
Corporate Office: 1st Floor, Bharat Tin Works Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri East, Mumbai - 400 059.  
Tel. : +91 22 6263 8200 • Fax : +91 22 6263 8299 • Email : info@bigshareonline.com • Website : www.bigshareonline.com