

KPL/2020-21/BSE
15.05.2020



Bombay Stock Exchange Ltd. Phiroze Jeejeebhoy Towers 25th Floor, Dalal Street MUMBAI - 400 001 Scrip Code : 530299	National Stock Exchange Of India Ltd. Exchange Plaza 5th Floor Plot No.C/1, G Block Bandra Kurla Complex Bandra (E) MUMBAI - 400 051 Scrip Code : KOTHARIPRO
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Dear sir,

**Re : SUBMISSION OF COMPLIANCE CERTIFICATE UNDER
REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATION, 2015 (LISTING
REGULATIONS)**

With reference to the captioned subject we are attaching herewith a Compliance Certificate from a practising Company Secretary in respect of despatch of Share Certificate(s) after transfer of shares of our Company for the period of Six months ended March, 2020.

Kindly acknowledge the receipt.

Thanking you,

Yours faithfully
for **KOTHARI PRODUCTS LTD.**

A handwritten signature in black ink, appearing to read 'Raj Kumar Gupta', is written over a circular stamp.

(RAJ KUMAR GUPTA)
CS & COMPLIANCE OFFICER

FCS - 3281

Address :

"Pan Parag House
24/19, The Mall,
Kanpur



Regd. Off. : " Pan Parag House", 24/19, The Mall, Kanpur - 208 001 (INDIA)

Phone : +91 512 2312171-72-73-74 • Fax: +91 512 2312058

E-mail: kothari@panparag.com

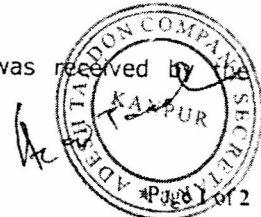
CIN No. - L 16008 UP 1983 PLC 006254

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATION, 2015 FOR THE HALF YEAR ENDED MARCH 31, 2020.**

The Securities and Exchange Board of India (“SEBI”) vide gazette notification dated June 08, 2018 and vide its press release dated December 03, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. April 01, 2019. Further, SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 01, 2019.

Accordingly, on the basis of examination of all the relevant documents relating to Shares of **KOTHARI PRODUCTS LIMITED** (“the Company”), having its registered office at Pan Parag House, 24/19, The Mall, Kanpur-208001 and paid up share capital of Rs. 29,84,38,650/- (Rupees Twenty Nine Crores Eighty Four Lakhs Thirty Eight Thousand Six Hundred and Fifty only) divided into 2,98,43,865 (Two Crores Ninety Eight Lakhs Forty Three Thousand Eight Hundred and Sixty Five only) equity shares of Rs. 10/- (Rupees Ten only) each, maintained and provided electronically by ALANKIT ASSIGNMENTS LIMITED, Registrar and Transfer Agent (RTA) of the Company, pertaining to period from October 01, 2019 to March 31, 2020 for the purpose of issuing a Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

- (A) The Company has delivered during half year ended on March 31, 2020 share certificates in respect of requests relating duplicate share certificates received during the period from October 01, 2019 to March 31, 2020, within thirty days, from the respective date of lodgment. As informed to us there were no requests relating to transmission, transposition of securities, sub-division (split), exchange or endorsement of calls/allotment monies received during the said period;
- (B) During the period under review, no request for Remat was received by Company;



**ADESH TANDON & ASSOCIATES
COMPANY SECRETARIES**

**“KAN Chambers”
Office No. 811, 8th Floor,
14/113, Civil Lines,
Kanpur-208001
Tel: 0512-2332397**

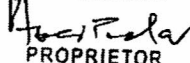
Email: adesh.tandon11@gmail.com

(C) The Company has not issued any debentures, hence the reporting of the same is not applicable.

(D) Any other information, if applicable: Physical verification of the documents could not be done due to the lockdown on account of COVID-19 situation.

**For ADESH TANDON AND ASSOCIATES
Company Secretaries**

For ADESH TANDON & ASSOCIATES
COMPANY SECRETARIES


PROPRIETOR
C. P. No. 1121

Adesh Tandon

Proprietor

FCS No.2253

C. P. No.1121

UDIN: F002253B000235761

Date: May 13, 2020

Place: Kanpur

TO WHOMSOEVER IT MAY CONCERN

This is to certify that we, as a common agency of **Kothari Products Ltd.** for the period from 01/10/2019 to 31/03/2020, have to confirm that,

- A. We have processed all valid transfer/transmission within fifteen days from the date of lodgment of transfer request.
- B. There are 09 cases containing 901 shares for issue of duplicate shares certificates.
- C. There are no cases for consolidation/split of share certificates.
- D. There are no case for sub-division, renewal, exchange of certificates and endorsement for payment of call / allotment money.
- E. There are no cases for Remat of share certificates.

For **ALANKIT ASSIGNMENTS LIMITED**

SD/-

J K SINGLA
SR. MANAGER

SEBI Registration Nos

IN500991234- IN-210051234, NE210051234, NB110051234, IIF010051234, IV5010051234, IVE210051234, NB050051234, IIF010051234, IN-010051234, IN-010051234, IN-010051234

CIN : U74210DL1991PLC042559

Registered Office : 205-208, Anarkali Complex, Jhandewalan Extension, New Delhi - 110055

Corporate Office : Alankit House, 4E/2, Jhandewalan Extension, New Delhi - 110055

Phone : +91-11-4254 1234 / 2354 1234 | Fax : +91-11-2355 2001 | Website : www.alankit.com | e-mail : info@alankit.com