

KPL/2023-24/BSE 12.04.2023

Bombay Stock Exchange Ltd. Phiroze Jeejeebhoy Towers 25th Floor, Dalal Street MUMBAI - 400 001

National Stock Exchange Of India Ltd. Exchange Plaza 5th Floor Plot No.C/1, G Block Bandra Kurla Complex Bandra (E) MUMBAI - 400 051

Scrip Code: 530299

Scrip Code: KOTHARIPRO

Dear sir,

Re: SUBMISSION OF COMPLIANCE CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATION, 2015 (LISTING REGULATIONS)

With reference to the captioned subject we are attaching herewith a Compliance Certificate from a practising Company Secretary in respect of despatch of Share Certificate(s) after transfer of shares of our Company for the year ended March, 2023.

Kindly acknowledge the receipt.

Thanking you,

Yours faithfully for KOTHARI PRODUCTS LTD.

RAJ KUMAR GUPTA

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(RAJ KUMAR GUPTA) CS & COMPLIANCE OFFICER FCS - 3281

Regd. Off.: "Pan Parag House", 24/19, The Mall, Kanpur - 208 001 (INDIA)
Phone: +91 512 2312171-72-73-74
E-mail: info@kothariproducts.in & kothari@kothariproducts.in

il: info@kothariproducts.in & kothari@kothariproducts.in CIN No. - L 16008 UP 1983 PLC 006254

ADESH TANDON & ASSOCIATES

COMPANY SECRETARIES

Adesh Tandon

FCS, LL.B., B.Com., AAIMA

811, 8th Floor, KAN Chambers, 14/113, Civil Lines, Kanpur - 208 001 (U.P.) Tel.: 0512 - 2332397 • Mobile: +91-9839100709 E-mail: adesh.tandon11@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATION. 2015 FOR THE YEAR ENDED MARCH 31, 2023.

The Securities and Exchange Board of India ("SEBI") vide gazette notification dated June 08th, 2018 and vide its press release dated December 03rd, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. April 01st, 2019. Further, SEBI vide its press release dated March 27th, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be relodged for transfer even after the deadline of April 01st, 2019. Further, SEBI vide its circular dated 07th September, 2020 has fixed 31st March, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02nd December, 2020.

SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HC/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 has mandated that the companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of all the relevant documents and to the best of our information and explanation given to us relating to Shares of KOTHARI PRODUCTS LIMITED ("the Company"), having its registered office at Pau Parag House, 24/19, The Mall. Kanpur-208001 and paid up share capital of Rs. 29,84,38.650/- (Rupees Twenty Nine Crores Eighty Foor Lakhs of Thirty Eight Thousand Six Hundred and Fifty only)

ADESH TANDON & ASSOCIATES

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COMPANY SECRETARIES

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E-mail: adesh.tandon11@gmail.com

divided into 2,98,43,865 (Two Crores Ninety Eight Lakhs Forty Three Thousand Eight Hundred and Sixty Five only) equity shares of Rs. 10/- (Rupees Ten only) each, maintained and provided electronically by ALANKIT ASSIGNMENTS LIMITED, Registrar and Transfer Agent (RTA) of the Company, pertaining to period ending on March 31st, 2023 for the purpose of issuing a Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

- (A) There were no requests for transfer/transmission/transposition of securities, duplicate/sub division (split), consolidation, renewal, exchange of certificates or endorsement of calls/allotment monies received during the said period;
- (B) The Company has not issued any debentures, hence the reporting of the same is not applicable.
- (C) Any other information, if applicable: N.A.

For ADESH TANDON AND ASSOCIATES Company Secretaries

For ACESH Tax-

UDIN: F002253E000059486

Date: April 11, 2023

Place: Kanpur

Adesh Tandon Proprietor

FCS No.2253

C. P. No.1121



Clarkit Assignments LIMITED

TO WHOMSOEVER IT MAY CONCERN

This is to certify that we, as a common agency of Kothari Products Ltd. for the period from 01/04/2022 to 31/03/2023, have to confirm that,

- A. There was no any request for transfer of shares.
- B. There was no any request for issue of duplicate shares certificates.
- C. There was no any request for consolidation/split of share certificates.
- D. There was no any request for sub-division, renewal, exchange of certificates and endorsement for payment of call / allotment money.
- E. There was no any request for Remat of share certificates.

For ALANKIT ASSIGNMENTS LIMITED

Abhinav Kumar Agarwal Digitally signed by Abblidge Kumar Agestyal Date: 2023.04.08 14.45.02 +05/10

Abhinav Kumar Agrawal Deputy General Manager

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