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## SMC GLOBAL SECURITIES LIMITED

Member: NSE • BSE • MSE • NCDEX • ICEX • MCX

Clearing & Trading Member : Cash, F&O, Currency, Debt & Commodity

SEBI Regn. No. : INZ 000199438 • Research Analyst No. : INH100001849 • Investment Adviser No. : INA100012491

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E-mail : smc@smcindiaonline.com Website : www.smcindiaonline.com

Dated: 11<sup>th</sup> January, 2022

<b>Listing Operations</b> <b>BSE Limited,</b> <b>P J Towers, Dalal Street,</b> <b>Mumbai -400001, India</b>  <b>Scrip Code: 543263</b>	<b>Listing Department</b> <b>National Stock Exchange of India Limited,</b> <b>Exchange Plaza, C-1, Block G,</b> <b>Bandra Kurla Complex,</b> <b>Bandra</b> <b>(E) Mumbai – 400051</b>  <b>Symbol: SMCGLOBAL</b>
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**Subject: Certificate under Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended 31<sup>st</sup> December, 2021**

Please find enclosed herewith the certificate received from Link Intime India Pvt. Ltd, the Registrar and Share Transfer Agent of the Company, in compliance with Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the period from 1<sup>st</sup> October, 2021 to 31<sup>st</sup> December, 2021.

We request you to kindly take the same on record.

Thanking You,

**For SMC Global Securities Limited**

  
Suman Kumar

**E.V.P (Corporate Affairs), Company Secretary & Compliance Officer**  
**(Membership No. F5824)**

**MUMBAI OFFICE :** A Wing, 401/402, Lotus Corporate Park, Graham Firth Steel Compound, Off Western Express Highway, Jay Coach Signal, Goregaon East  
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**The Company Secretary  
M/S. SMC GLOBAL SECURITIES LIMITED  
17, Netaji Subhash Marg  
Opp. Golcha Cinema, Darya Ganj  
New Delhi - 110002**

**Date: 07/01/2022**

Dear Sir,

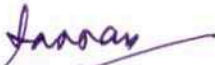
With reference to your letter and pursuant to SEBI circular D & CC/FITTC/CIR-16/2002, dated 30/12/2002, we confirm as under as on 31/12/2021.

1. That in term of para (1) 194,61,771 number of shares stands in NSDL A/c., 932,04,039 number of shares stands in CDSL A/c. and balance 4,68,640 number of shares stands in physical mode.
- 2.(a) That no request received for transfer of shares during the period of 01/10/2021 to 31/12/2021.  
(b) That no request received for issue of duplicate, transmission, name deletion, split, consolidation, renewal and endorsement of call monies during the period of 01/10/2021 to 31/12/2021.  
(c) That all the request received for dematerialization during the period of 01/10/2021 to 31/12/2021 have been considered, given effect in the register of member.
3. That in item of para (2) of the said circular the register of member giving effect to the above has been updates as on 31/12/2021.
4. That in item of para (3) of the said circular, the dematerialisation request have been confirmed and there is no shares pending confirmation for more than 15 days from the date of receipt.

Thanking You,

Yours faithfully,

**For Link Intime India Pvt. Ltd**



**Swapan Kumar Naskar  
Associate Vice-President & Head (North India)**

**Date: 07.01.2022**

**The Company Secretary**  
**M/s SMC Global Securities Limited**  
**17, Netaji Subhash Marg**  
**Opp. Golcha Cinema, Darya Ganj**  
**New Delhi-110002**

**Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.**

Dear Sir,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 31<sup>st</sup> December, 2021, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within 15 days. We request you to kindly take note of the above in your records.

Thanking You,

Yours faithfully,  
**For Link Intime India Pvt. Ltd**

  
**Swapan Kumar Naskar**  
**Associate Vice-President & Head (North India)**