

GE Power India Limited CIN-L74140MH1992PLC068379

Corporate Office: Axis House, Plot No 1-14, Towers 5 & 6, Jaypee Wish Town, Sector 128 Noida Uttar Pradesh - 201301

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25 June 2021

To, The Manager - Listing **National Stock Exchange of India Ltd.** Exchange Plaza, Plot No. C/1, G Block, Bandra-Kurla Complex, Bandra (E) Mumbai - 400 051 To, The Manager - Listing **BSE Ltd.** 25th Floor, P.J. Towers, Dalal Street, Mumbai – 400 001

Symbol : GEPIL

Scrip Code : 532309

Sub.: : Annual Secretarial Compliance Report for the year ended 31 March 2021 Re : SEBI Circular No. CIR/CFD/CMDI/27/2019 dated February 8, 2019

Dear Sir/Madam,

Pursuant to clause 3(b) of SEBI Circular No. CIR/CFD/CMDI/27 /2019 dated February 8, 2019, please find enclosed Annual Secretarial Compliance Report for the year ended 31 March 2021, issued by M/s Hemant Singh & Associates, Company Secretaries and Secretarial Auditor of the Company.

This is for your information and records.

Thanking you, Yours truly,

For GE Power India Limited

Kamna Tiwari Company Secretary

ANNUAL SECRETARIAL COMPLIANCE REPORT OF

GE POWER INDIA LIMITED

For the year ended March 31, 2021

To,

GE Power India Limited

Unit No. 211-212, 2nd Floor, The Capital, G- Block, Plot No. C-70, Bandra Kurla Complex, Bandra, Mumbai -400051

We, Hemant Singh & Associates, Company Secretaries, have examined:

- a) All the documents and records made available to us and explanation provided by **GE Power India Limited** ('the listed entity'),
- b) The filings/ submission made by the listed entity to the stock exchanges, i.e., BSE Limited and National Stock Exchange of India Ltd (NSE).
- c) Website of the listed entity,
- d) Any other document/filing, as may be relevant, which has been relied upon to make this certification,

For the year ended March 31, 2021 ('Review Period') in respect of compliance with the provision of:

- a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the regulations, circulars, guidelines issued thereunder; and
- b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities Exchange Board of India ("SEBI"),

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 (Not Applicable on Company during the review period);
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 (Not Applicable on Company during the review period);
- d) Securities and Exchange Board of India (Buy-back of Securities) Regulations, 2018 (Not Applicable on Company during the review period);

Head Off.: 306, Surya Complex, 21, Veer Savarkar Block, Shakarpur, Delhi-110 092 Phone No. : 011-4301 1821 Mob. : 9717792134, 93127 07354 Email: <u>hemantsinghcs@gmail.com</u>, hemant_singh111@rediffmail.com

- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 (Not Applicable on Company during the review period);
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not Applicable on Company during the review period);
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Redeemable Preference Shares) Regulations, 2013; (Not Applicable on Company during the review period);
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

and circulars/guidelines based thereunder;

and based on the above examination, we hereby report that, during the review period:

a) The Listed Company has complied with the provisions of above regulations and circulars/ guideline issued thereunder, <u>except</u> in respect of matters specified below:

S. No	Compliance Requirement (Regulation/circulars/guidelines including specific clause)	Deviation	Observations/ remarks of the Practicing Company Secretary	
NIL				

- b) The Company has maintained proper records under the provisions of the above regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.
- c) The following are the details of the actions taken against the listed entity/its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchange (including the Standard operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circular/guideline issued thereunder:

S. No	Action	Details	Detail of action taken	Observations/ remarks of	
	Taken	of	E.g. Fines, warning	Practicing Company Secretary, if	
	By	violation	letter, debarment, etc.	any.	
NIL					

d) The listed entity has taken the following actions to comply with the observations made in previous reports:

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Sr. No	Observations of Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended March 31, 2020	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity		
	NOT APPLICABLE					

Disclaimer: It is to be noted that due to the prevailing nationwide pandemic scenario and unavoidable work from home circumstances, our team could not follow the usual course of audit procedure which includes physical verification of the requisite compliances. Our opinion, as stated in the report is based on documents and information as made available by the Company via email.

Date: 18.06.2021 Place: Delhi

For Hemant Singh & Associates **Company Secretaries**

HEMANT (Digitally signed by HEMANT KUMAR SINGH DN c-IN, sz-Ultar Piadeth, 25.4.20-bc3485012930bc6017485821451ea21659277 db195c1r6f6b6cfaar 1290578		
KUMAR	postalCode=201010, street=506, Gaur Height Sector=4, Vaishal, Opp. Chandia Laxmi Hospital, LE. Sahibabad, Ghaziabad U.P. 201010, scribINumber:r71d01855a292088bf510d3efd5643de		
SINGH	elsef8043338827857546669bdf9df66, o=Personal, cm=HDMANT KUMAR SINGH, pseudorym=ccfrc9b8bb045385a7506aef689c1f7b Date: 2021.06.18 12:46:28 +05'30'		

Hemant Kumar Singh (Partner) Membership No: F6033 **COP** No : 6370 UDIN: F006033C000481277