



DB (INTERNATIONAL) STOCK BROKERS LTD.

- NSE-CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- BSE-CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- DEPOSITORY PARTICIPANT-CDSL • IPO, MUTUAL FUND & BONDS

402, New Delhi House, 27 Barakhamba Road, New Delhi - 110 001

TEL.: 011-43606162, Email : compliance@dbonline.in, website: www.dagabusiness.com

CIN : L67120HR1992PLC035349

27th May, 2019

Department of Corporate Services
Bombay Stock Exchange Limited
Phiroze Jeejeebhoy Towers,
Dalal Street
Mumbai-400001

Sub: Submission of Secretarial Compliance Report as per Regulation 24A of SEBI Regulation (LODR) Regulations, 2015 for the year ended 31st March, 2019.


Dear Sir,

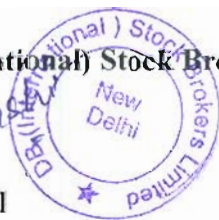
Please find enclosed the Secretarial Compliance Report duly certified by the Practicing Company Secretary for the year ended 31st March, 2019.

Kindly take the above on record.

Thanking you,
Yours faithfully,

For DB (International) Stock Brokers Limited


Himanshi Mittal
Company Secretary
Encl: As Above





D BANSAL & ASSOCIATES

(COMPANY SECRETARIES)

SECRETARIAL COMPLIANCE REPORT

OF

DB (INTERNATIONAL) STOCK BROKERS LIMITED

FOR THE YEAR ENDED 31ST MARCH, 2019

I CS Deepika Bansal have examined:

- (a) All the documents and records made available to us and explanation provided by DB (INTERNATIONAL) STOCK BROKERS LIMITED (the listed entity),
- (b) The filings/ submissions made by the listed entity to the stock exchanges,
- (c) Website of the listed entity,
- (d) Any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended 31ST MARCH, 2019 ("Review Period") in respect of compliance with the provisions of:

- (a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
- (b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;



(e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;

(f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;

(g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;

(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

(i) Other regulations as applicable and circulars/ guidelines issued there under;

And based on the above examination, I hereby report that, during the Review Period:

(a) The listed entity has complied with all the provisions of the above Regulations and circulars/ guidelines issued there under,

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under insofar as it appears from my/our examination of those records.

(c) There are no actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under,

(d) The listed entity has taken the following actions to comply with the observations made in previous reports: **N.A**

Sr. no	Observations/ (Remarks of the Practicing clause) Company Secretary in the previous reports	Observation made in the secretarial compliance report for the year ended (the year are to be mentioned)	Actions taken by the listed entity, if any	Comments of the practicing company secretary on the actions taken by the listed entity


Place: Delhi

Date: 16/05/2019

FOR D BANSAL & ASSOCIATES

(COMPANY SECRETARIES)

C.P. No. 17506
Delhi



DEEPIKA BANSAL
(PROPRIETOR)

ACS NO.: 47810

C P No.:17506