

SAPTAK CHEM AND BUSINESS LIMITED

Regd. Office: 3, Mohan Chamber, Beside Post Office, Dakor Kheda GJ 388225

Contact No.: + 919662061816, Website: www.saptakchem.com

Email id: info@saptakchem.com CIN: L24299GJ1980PLC101976

16th October, 2019

To,
BSE Limited
Ground Floor, P.J. Tower
Dalal Street
Mumbai- 400001

Scrip Code: 506906

Dear Sir/Madam,

Sub: Compliance with the Regulation 40(10) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015

With reference to above, please find Half Yearly compliance certificate for the half year ended 30th September, 2019 in compliance with the Regulation 40(10) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015.

Please take the same on your record.

Thanking You,

Yours Faithfully

For Saptak Chem and Business Limited


Nitendra Sharma
CFO

Encl.: As above

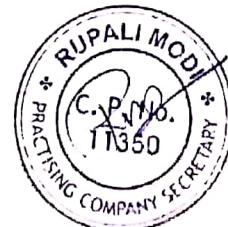
UDIN A025467A000066013

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2019.

The Securities and Exchange Board of India vide gazette notification dated 08th June, 2018 and its press release on 03rd December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01st April, 2019. Further SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019.

Accordingly, we have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Saptak Chem and Business Limited (the Company) maintained by MCS Share Transfer Agent Limited, Registrar and Share Transfer Agents pertaining to transfer deeds which were lodged prior to 01st April, 2019 and re-lodged thereafter, for transfer of equity shares of the company for the period from 01st April, 2019 to 30th September, 2019 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, We hereby certify that:

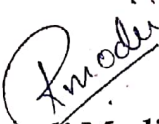
- A) 1.a. the Company has delivered during half year ended on 30th September, 2019 share certificates relating to the transfer deeds lodged prior to 01st April, 2019 and re-lodged thereafter, received during the period from 01st

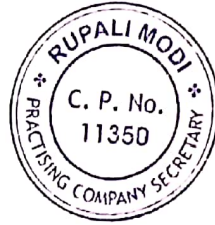


April, 2019 to 30th September, 2019, within thirty days, as entered in the Memorandum of Transfers from the respective date of lodgment of each deed excepting those rejected on technical grounds.: **Not Applicable as no requests received for the same during the reporting period.**

1.b. the Company has delivered during half year ended on 30th September, 2019 share certificates in respect of requests relating to renewal and sub-division (split) received during the period from 01st April, 2019 to 30th September, 2019, within thirty days, from the respective date of lodgment excepting those rejected on technical grounds. As per the records made available to us there were no requests for consolidation and exchange or endorsement of calls/allotment monies during the said period. **Not Applicable as no requests received for the same during the reporting period.**

B) Any other information, if applicable: ---


Rupali Modi
Practicing Company Secretary
C. P. No. 11350
Membership No. 25467



Place: Mumbai
Date: 12TH October, 2019