



**ASSOCIATED  
KEDIA GROUP**

*Dreams Determination Dexterity*

**Associated  
Alcohols & Breweries Ltd.**

**Registered / Corporate Office :**  
4th Floor, BPK Star Tower, A.B. Road,  
Indore - 452 008, (M.P.) India  
Contact No. +91 731 4780400/490  
Fax : +91 731 4780410  
E-mail : info@aabl.in  
CIN : L15520MP1989PLC049380

4<sup>th</sup> July, 2020

To,  
The Listing Department  
National Stock Exchange of India Limited  
Exchange Plaza, C-1, G Block,  
Bandra Kurla Complex,  
Mumbai - 400051  
NSE Symbol: ASALCBR

The Department of Corporate Services  
BSE Limited  
PJ Tower, Dalal Street,  
Mumbai - 400001  
Scrip Code: 507526

**SUB: ANNUAL SECRETARIAL COMPLIANCE REPORT UNDER REG. 24(A) OF SEBI (LODR)  
REGULATION, 2015, FOR THE YEAR ENDED AS ON 31<sup>ST</sup> MARCH, 2020.**

Dear Sir/Madam,

For the purpose of compliance of Regulation 24(A) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015, please find enclosed herewith Annual Secretarial Compliance Report for the year ended as on 31st March, 2020.

For your information and record please.

Thanking you.

Yours Faithfully,  
For Associated Alcohols & Breweries Limited

Sumit Jaitely  
Company Secretary & Compliance Officer



**SECRETARIAL COMPLIANCE REPORT  
OF ASSOCIATED ALCOHOLS & BREWERIES LIMITED  
FOR THE FINANCIAL YEAR ENDED 31<sup>ST</sup> MARCH, 2020  
[Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure  
Requirements) Regulations, 2015]**

We, K. Arun & Co., Company Secretaries, being the Secretarial Auditor of **M/s. Associated Alcohols & Breweries Limited** (herein after mentioned as "the Company"), have examined:

- a) all the documents and records made available to us and explanation provided by the Company.
- b) the filings/ submissions made by the Company to the stock exchanges,
- c) website of the Company and
- d) such other documents, as may be relevant, which has been relied upon to make this certification for the Financial Year ended **31<sup>st</sup> March, 2020** in respect of compliance with the provisions of:
  - I. the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
  - II. the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include:-

- A. Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 along with the circulars and guidelines issued there under;
- B. Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 along with the circulars and guidelines issued there under;
- C. Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 along with the circulars and guidelines issued there under;
- D. Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 along with the circulars and guidelines issued there under;
- E. The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 along with the circulars and guidelines issued there under;



Based on the above examination, we hereby report that, during the Review Period:

- I. The Company has complied with all the provisions of the above Regulations and Circulars and guidelines issued there under;
- II. The Company has maintained proper records under the provisions of the above Regulations and Circulars and Guidelines issued there under insofar as it appears from my/our examination of those records;
- III. No action has been taken against the Company or its promoters, directors, material subsidiaries either by Securities & Exchange Board of India or by Stock Exchanges under the aforesaid Acts/ Regulations and Circulars/ Guidelines;

**Place: Kolkata**  
**Date: 29-06-2020**



**For K. Arun & Co**  
**Company Secretaries**

**Arun Kr. Khandelia**  
**Partner**  
**FCS: 3829**  
**C.P. No.: 2270**  
**UDIN: F003829B000392943**