

# SRESTHA FINVEST LIMITED

Date: April 20, 2024

To  
The Manager  
Listing Department  
1. Bombay Stock Exchange Ltd, Mumbai.  
2. Metropolitan Stock Exchange of India Limited, Mumbai.

Dear Sir,

**Sub: Submission of Compliances for the quarter and year ended 31/03/2024**

With reference to the above subject we are herewith submitting the compliances pertaining to quarter and year ended 31/03/2024 are as follows:

1. Compliance Report on Corporate Governance for the year ended March 31, 2024
2. Confirmation regarding status of Large Corporate pursuant to SEBI Circular No. SEBI/HO/DDHS/CIR/P/2018/144 dated November 26, 2018 and SEBI/HO/DDHS/PoD1/P/CIR/2023/119 dated August 10, 2021 as amended from time to time.
3. Practicing Company Secretary Certificate for the year ended March 31, 2024 under Regulation 40 (9) of SEBI (LODR) Regulations, 2015.
4. SDD Compliance Certificate for the quarter ended March 31, 2024.

This is for your information and records.

**Yours faithfully,  
For Srestha Finvest Ltd**

**Company Secretary & Compliance Officer**



**CERTIFICATE UNDER REGULATION 40(9)  
OF  
SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015  
FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2024**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8<sup>th</sup> June 2018 and vide its press release dated 3<sup>rd</sup> December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated transfer of securities only in dematerialized form w.e.f. 1<sup>st</sup> April, 2019.

Further, SEBI vide gazette notification dated 24<sup>th</sup> January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022 had mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, transmission and transposition.


Accordingly, on the basis of examination of the relevant documents relating to **Srestha Finvest Limited (Security Code SRESTHA /539217)** maintained by **M/s Purva Sharegistry (India) Private Limited (RTA)** pertaining to the financial year ended 31<sup>st</sup> March 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, and based on the information provided by the Company and its RTA we hereby certify that:

- A) a) As informed to us, there were no requests relating to transfers received during the financial year ended 31<sup>st</sup> March 2024.
- b) As informed to us, the Company has received request for transmission, and issue of duplicate shares and Letter of Confirmation have been issued within thirty days of the date of lodgment of complete and valid documents and rejections were dispatched within such time.
- B) Any other information, if applicable: **-Not Applicable**

Place: Chennai  
Date: 20-April-2024



For SPAN & Co. Company Secretaries LLP  
Practicing Company Secretaries

  
**SATYAKI PRAHARAJ**  
Principal Partner  
FCS 6458, CP No. 10755

**UDIN: F006458F000197541**

*SPAN & Co. Company Secretaries LLP, Chennai is Peer Reviewed and ICSI has issued Certificate to this effect vide Cert No. 1957/2022 dated 25th April, 2022*