

Ref. No.: AUSFB/SEC/2023-24/291

Date: December 27, 2023

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
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Dear Sir/Madam,

Sub: Change in List of officials under Senior Management Personnel of AU Small Finance Bank Limited

Ref: Regulation 30 read with clause 7 of Para A of Part A of Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")

This is in continuation to the investor presentation submitted to stock exchanges on October 28, 2023 and earnings call for Q2/FY 2023-24 held on October 30, 2023 wherein it was informed that the Bank has realigned itself in five business groups to further strengthen & augment organizational effectiveness and to support the existing SBU structure.

In view of the said realignment, we would like to inform that the Board of Directors of the Bank has approved today i.e. on December 27, 2023, re-categorization of the following officials from Senior Management Personnel ("SMP") to non-Senior Management Personnel ("non-SMP") of the Bank:

1. Mr. Amar Bahl, Head of Micro Business Loan
2. Mr. Aalekh Vijayvargiya, National Credit Manager Micro Business Loan
3. Mr. Shantanu Prasad, Head of Treasury

The above changes have been necessitated due to the organizational realignment and the above officials will continue to handle their present roles and continue serving with the Bank.

The above disclosure is made in compliance of the Listing Regulations read with SEBI circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023 and details are covered in the **Annexure**.

The above information is also made available on the website of the Bank www.aubank.in.

This is for your information and records.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami

Company Secretary and Compliance Officer

Membership No.: F9999

investorrelations@aubank.in

Encl: As above

Registered Office

AU SMALL FINANCE BANK LIMITED

19-A Dhuleshwar Garden, Ajmer Road,

Jaipur - 302001, Rajasthan, India

Phone: +91 141 4110060/61, Fax: +91 141 4110090

CIN: L36911RJ1996PLC011381

Annexure

Details required under Regulation 30 of the Listing Regulations read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023:

Sr. No.	Particulars	Details
1.	Name	1. Mr. Amar Bahl, Head of Micro Business Loan 2. Mr. Aalekh Vijayvargiya, National Credit Manager Micro Business Loan 3. Mr. Shantanu Prasad, Head of Treasury
1.	Reason for change viz. appointment, re-appointment, resignation, removal, death or otherwise	Re-categorization of the officials from Senior Management Personnel (" SMP ") to non-Senior Management Personnel (" non-SMP ")
2.	Date of Appointment/ re-appointment/ cessation (as applicable) & Terms of Appointment/ re-appointment	Re-categorization effective from December 27, 2023
3.	Brief Profile (in case of Appointment)	Not Applicable
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Not Applicable

Registered Office

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