



AUTOMOBILE CORPORATION OF GOA LIMITED

Scrip Code: 505036
CIN : L35911GA1980PLC000400

Ref: ACG:S&L:

May 28, 2022.

BSE Limited
Listing Compliance & Legal Regulatory
15th Floor New Trading Ring,
Rotunda Building,
P J Towers Dalal Street,
Fort
MUMBAI — 400 001.

Dear Sirs,

Sub: Annual Secretarial Compliance Report for the Financial Year 2021-22.

Ref: Regulation 24 (A) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, read along with CIR/CFD/CMD1/2712019 dated February 8, 2019, please find enclosed the Annual Secretarial Compliance Report of the Company issued by Mr. Shivaram Bhat, Practicing Company Secretary for the financial year 2021 -22.

This is for the information of the exchange and the members.

Thanking you,

Yours faithfully,
For Automobile Corporation of Goa Ltd.,

Sanu Kapoor
Company Secretary

Secretarial compliance report of
AUTOMOBILE CORPORATION OF GOA LIMITED
for the year ended 31st March, 2022

I, **CS Shivaram Bhat** have examined:

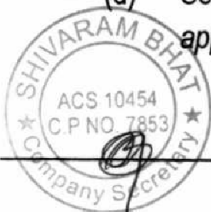
- (a) all the documents and records made available to me, and explanation provided by **AUTOMOBILE CORPORATION OF GOA LIMITED** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2022 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI").

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include: -

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 - *Not applicable as there was no reportable event during the review period;*
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 - *Not applicable as there was no reportable event during the review period;*

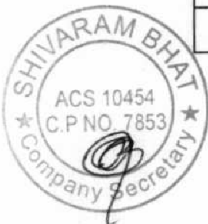


- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 (up to 12th August 2021) and Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 (with effect from 13th August 2021) - Not applicable as there was no reportable event during the review period;
- (f) Securities and Exchange Board of India (Issue and listing of Debt Securities Regulations, 2008 (up to 15th August 2021)- *Not applicable as there was no reportable event during the review period;*
- (g) Securities and Exchange Board of India (Non Convertible Redeemable Preference Shares) Regulations 2013 (up to 15th August 2021)- *Not applicable as there was no reportable event during the review period;*
- (h) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021 (with effect from 16th August 2021) - *Not applicable as there was no reportable event during the review period;*
- (i) Securities and Exchange Board of India (*Delisting of Equity Shares*) Regulations, 2009 (up to June 10th 2021) and Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2021(with effect from 11th June 2021) - *Not applicable as there was no reportable event during the review period;*
- (j) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (k) Other Rules/Regulations and circulars/guidelines issued thereunder applicable to the listed entity: including Clause 6A and 6B of SEBI Circular- CIR/CFD/CMD1/114/2019 dated October 18, 2019

and based on the above examination and taking into consideration the relaxations granted by the Ministry of Corporate Affairs and Securities and Exchange Board of India warranted due to COVID-19 pandemic, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/ circulars /guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
-----NIL-----			



- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

Sr. No.	Action taken by	Details of violation	Details of action taken e.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
Nil				

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the Secretarial Compliance Report for the year ended 2021	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1	Continual Disclosure under Regulation 30(2) of Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011	The annual disclosures to be made in respect of 405,302 shares held by one of the promoters was not available on record for our verification as on the date of this report.	The Company applied for reclassification of Promoter holding said shares as non-promoter. Vide letter dated May 02, 2022, reclassification as per resolution passed was confirmed by BSE Limited.	Nil



Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the Secretarial Compliance Report for the year ended 2021	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
2	Regulation 6 (1) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	The vacancy caused by resignation of Company Secretary w.e.f. November 24, 2019 was filled up on August 29, 2020.	The vacancy caused thereafter were filled in within the time allowed by the Statue.	During the year under review, there was no such non-compliance.

Place: Panaji, Goa
Date: May 28, 2022



A handwritten signature in black ink, appearing to read "Shivaram Bhat", with a horizontal line underneath.

Shivaram Bhat
Practicing Company Secretary
ACS10454 CP7853 PR1775/2022

UDIN: A010454D000417465

This Report is to be read with my letter of even date which is annexed as **Annexure A** and forms an integral part of this report.

'ANNEXURE A'

(My Secretarial compliance report of even date is
to be read along with this Annexure.)

I have been engaged by **AUTOMOBILE CORPORATION OF GOA LIMITED** (whose equity shares are listed on BSE Limited) to issue the Annual Secretarial Compliance Report in the manner specified under Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 issued by Securities Exchange Board of India (SEBI).

It is the responsibility of the management of the Company to maintain records, devise proper systems to ensure compliance with the provisions of all applicable Regulations and Circulars / Guidelines issued by SEBI.

My responsibility is to express an opinion on these secretarial records based on my audit. I have followed the audit practices and processes as were appropriate to obtain reasonable assurance about the correctness of the contents of the Secretarial records. I believe that the processes and practices I followed provide a reasonable basis for my opinion.

Secretarial Compliance Report is neither an assurance as to the future viability of the company nor of the efficacy or effectiveness with which the management has conducted the affairs of the company.



Place: Panaji, Goa
Date: May 28, 2022

A handwritten signature in black ink, appearing to read "Shivaram Bhat", with a horizontal line underneath.

Shivaram Bhat
Practicing Company Secretary
ACS10454 CP7853 PR1775/2022

UDIN: A010454D000417465