



**Date: 29-April-2022**

**The BSE Limited**  
Phiroje Jeejeebhoy Towers  
Dalal Street Fort  
Mumbai – 400 001  
**(Scrip Code – 532776)**

**The National Stock Exchange Limited**  
“Exchange Plaza” Bandra Kurla Complex,  
Bandra East,  
Mumbai – 400 051  
**(Scrip Code – SHIVAMAUTO)**

**Sub: Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for year ended 31-Mar-2022.**

Dear Sir,

This is in reference to the above mentioned subject; please find enclosed herewith the Certificate from Practicing Company Secretary as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for year ended 31-Mar-2022.

Kindly take the above information on your records and oblige.

Thanking you.

**FOR SHIVAM AUTOTECH LIMITED**

A handwritten signature in blue ink is written over a circular blue stamp. The stamp contains the text 'SHIVAM AUTOTECH LIMITED' around the perimeter and 'HARYANA' in the center.

**PREETI SHARMA\***  
**COMPANY SECRETARY**  
**M.NO. A32847**

**SHIVAM AUTOTECH LIMITED [www.shivamautotech.com](http://www.shivamautotech.com)**  
**CIN: L34300HR2005PLC081531**

**Registered Office:** 10, 1<sup>st</sup> Floor, Emaar Digital Greens, Tower A, Sector  
61, Golf Course Extension Road, Gurugram, Haryana-122102

**Tel:** 0124-4698700  
**Fax:** 0124-4698798



**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND  
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR  
ENDED 31<sup>st</sup> MARCH, 2022.**

Based on the examination of the relevant documents relating to fully paid equity shares of **Shivam Autotech Limited** (hereinafter referred to as 'the Company') maintained and provided electronically by MCS Share Transfer Agent Limited, Registrar and Share Transfer Agents (RTA), for the purpose of issuing certificate to the Company as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (hereinafter referred to as 'the Listing Regulations'), in respect of effecting transfer, sub-division, consolidation, renewal, exchange or endorsement etc. of shares received by the Company during the period from 1<sup>st</sup> April 2021 to 31<sup>st</sup> March 2022, and based on our such examination as well as the information and explanations given to us by the Company on a remote basis, which to the best of our knowledge and belief were necessary for the purpose, **We hereby certify that:**

- A) 1. The Company has delivered during the year ended on 31<sup>st</sup> March, 2022 share certificates in respect of requests relating to transmission of securities, received during the period from 1<sup>st</sup> April 2021 to 31<sup>st</sup> March 2022, within the periods stipulated under the Listing Regulations, from the date of lodgement with the Company excepting those rejected on technical grounds.
2. As informed to us there were no requests relating to transfer of securities, transposition, deletion of name, split, consolidation, exchange or endorsement of calls/allotment monies etc. received during the said period.
- B) Any other information, if applicable: Physical verification of the documents could not be done due to COVID-19 situation.

For **SATYENDER KUMAR & ASSOCIATES**  
Company Secretaries



Place : Gurugram  
Date : April 29, 2022

*Satyender Kumar*  
**SATYENDER KUMAR**  
Proprietor  
(C.P. NO. 5189)  
FCS 4087

UDIN NO. F004087D000240499  
Peer Reviewed Unit