

# THE UGAR SUGAR WORKS LIMITED.

Works \* Ugar Khurd – 591 316, Dist.Belgaum, Karnataka  
E-mail \* helpdesk@ugarsugar.com  
Regd. Office \* Mahaveernagar, Sangli – 416 416, Maharashtra.  
E-mail \* Usw.sangli@ugarsugar.com

Phone \* -91 8339 272230 (5 Lines) Fax \* -918339 272232  
Website \* www.ugarsugar.com  
Phone \* -91 233 2623717, 2623716 Fax \* -91 233 2623617  
TIN No. \* 29520007001, PAN-AAACT7580R  
SERV.TAX \* AAAC7580 RST 004. ECC No.AAACT7580 RXM001  
(CIN – L15421PN1939PLC006738)

Sec/

Date: 02/06/2022

To,  
**The Executive Director,**  
Bombay Stock Exchange Ltd.,  
P J Towers, Dalal Street,  
Mumbai.  
Tel No: (022) 22721234  
Fax No: (022) 22721278/22722039  
**Stock Code: 530363**

To,  
**Corporate Communications**  
National Stock Exchange of India Ltd.  
Exchange Plaza, Plot no. C/1, G Block  
Bandra-Kurla Complex, Bandra (E)  
Mumbai - 400 051 Tel No: (022)  
26598148 Fax No: (022) 26598120  
**Stock Code: UGARSUGAR**

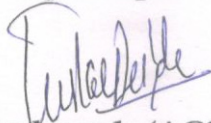
Dear Sir / Madam,

Sub: Revised Yearly Compliance Certificates under Regulations 40(9).

We are enclosing along with this letter Revised Yearly Certificate under Regulations 40(9) of the Listing Regulations for the period from 1<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022 duly certified and issued by Practicing Company Secretary Mr. Abhay Gulavani and the Compliance Officer of M/s. Bigshare & Services Pvt. Ltd., along with Compliance Officer of Company.

Kindly acknowledge the receipt.

Thanking You,  
Yours faithfully,  
For The Ugar Sugar Works Ltd.,



T. V. Deshpande (ACS-45586)  
Company Secretary & Compliance Officer





**ABHAY R. GULAVANI**

B.Com., LL.B.(Spl.), PGDIB, CS

**PRACTICING COMPANY SECRETARY**

Office Address : 'GANESH VANDAN' Apts, Flat No. 202, Gandhi Colony, Opp. Ganapati Mandir, Vishrambag, Sangli - 416415.

Residence : "Yadneshwar Bunglow", Near Murtikar Joshi, Behind Court, Killa Bhag, Miraj - 416 410, Dist-Sangli.

Office Phone : (0233) 2302482, M : +91 9423871452, Email : abhaygulavani@rediffmail.com

**CERTIFICATE UNDER CLAUSE 40(9) OF THE SEBI LISTING REGULATIONS**  
**(LODR)**

**FOR THE YEAR ENDED MARCH, 2022.**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to *(The Ugar Sugar Works Limited)* maintained by *(M/s. Bigshare Services Pvt. Ltd.)* pertaining to transfer of equity shares of the company for the period from *(1<sup>st</sup> April, 2021)* to *(31<sup>st</sup> March, 2022)* for the purpose of issuing a Certificate as per Clause 7(3) of the Uniform Listing Regulations entered into by, *(The Ugar Sugar Works Limited, CIN – L15421PN1939PLC006738; Scrip Code 530363)* with BSE and *(The Ugar Sugar Works Limited., CIN – L15421PN1939PLC006738; Scrip Code UGARSUGAR)* with NSE based on the information provided by the Company, I hereby certify that the Company has delivered during year ended on *(31<sup>st</sup> March, 2022)* :

- A) Share/Debtenture Certificate(s) relating to the transfer of Shares/Debtentures received during the period from *(1<sup>st</sup> April, 2021)* to *(31<sup>st</sup> March, 2022)* as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or ~~endorsement of calls/allotment monies~~ from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) *Any other information, if applicable.- N.A.*

Date: 06-04-2022

Place: Sangli

UDIN:

Peer Review Certificate No:



*Abhay R. Gulavani*

Abhay R. Gulavani  
Practicing Company Secretary  
FCS: 10668 ~ C.P. No 10741  
F010668D000028983  
1841/2022