

SWAN ENERGY LIMITED

(Formerly Swan Mills Limited)
6, Feltham House, 2nd Floor, 10 J.N. Heredia Marg, Ballard Estate, Mumbai – 400001.
Tel: 022 4058 7300, Email: invgrv@swan.co.in
CIN: L17100MH1909PLC000294

Swan/nse/bse 6th October, 2022

Dept. of Corporate Compliances, National Stock Exchange Limited, Exchange Plaza, Plot No. C/1, G Block, Bandra –Kurla Complex, Bandra-East, Mumbai – 400 051 Listing Department, Bombay Stock Exchange Limited, P.J. Tower, Dalal Street, Fort, Mumbai – 400 001

Dear Sir,

<u>Sub: Certificate under Regulation 74(5) of SEBI (Depositories and Participants) Regulation, 2018 for the Quarter ended 30th September, 2022</u>

We are hereby submitting Certificate received by the Company under Regulation 74(5) of SEBI (Depositories and Participants) Regulation, 2018 from the Registered Transfer Agent of the Company for the Quarter ended 30th September, 2022.

The above is for your information and dissemination please.

Thanking you,

Yours faithfully,

For Swan Energy Limited

ARUN

CAGAWAL

Digitally signed by ARUN SATYANARAIN
ACARWAL

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CAGAWAL

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Date: 2022.10.06 15:46:31 +05:30'

(Arun Agarwal) Company Secretary



PURVA SHAREGISTRY (I) PVT. LTD.

(SEBI Regn. INR000001112 Category 1 Registrars to IPO & Share Transfer Agents)
GST No. 27AAACP4924D1ZO • CIN No. U67120MH1993PTC074079

9 Shiv Shakti Industrial Estate, J. R. Boricha Marg, Near Lodha Excelus, Lower Parel East, Mumbai - 400 011 Tel: 2301 2518 / 2301 6761 • Email: support@purvashare.com • Web: www.purvashare.com

04/10/2022

To,

SWAN ENERGY LIMITED - INE665A01038

Dear Sir/Madam.

Sub: Confirmation Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

As per Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, we hereby confirm that security received from the depository participants for dematerialisation during the quarter ended September, 2022, were confirmed (accepted / rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the prescribed timelines. We request you to kindly take note of the above in your records.

Thanking you,

Yours faithfully,

For Purva Sharegistry (India) Pvt. Ltd.

Ms. Deepali Dhuri

Compliance Officer.

SWAN ENERGY LIMITED - INE665A01038 - Details of Share certificates dematerialised from 01/07/2022 to 30/09/2022

FOLIO	NAME OF SHAREHOLDE R	CERTIFICATE NO.	DIST. NO. (FROM)	DIST. NO.(TO)	SHARES	DEMATERIALIS ED ON
H0010010	HEMENDRABH AI SHYAMJIBHAI GAJJAR	0302479	1858401	1858900	500	02/07/2022
B0010004	BHAVANA N BHUTA	0301700	1101401	1101500	100	13/07/2022
048587	PRATIBHA M JADEJA	0302281	1579301	1579400	100	19/07/2022
048959	HANIF GULAM HUSEN TOORAWA	0302347	1626701	1627300	600	17/08/2022
041617	VRISHALI SHARADCHAN DRA JOSHI	0300941	686101	686800	700	26/08/2022
N0010006	NAYANA JASWANT ZAVERI	0300152	56801	56900	100	24/09/2022