

APL/SECT/DLH/SE: 2019-20

19<sup>th</sup> October 2019

Electronic Filing

National Stock Exchange of India Limited  
"Exchange Plaza" Bandra-Kurla Complex,  
Bandra (E),  
Mumbai-400051

Department of Corporate Services/Listing  
BSE Limited  
Phiroze Jeejeebhoy Tower,  
Dalal Street, Fort,  
Mumbai-400001

NSE Symbol : APLAPOLLO

Scrip Code : 533758

Dear Sir/Madam,

Re: Regulation 40 (9) & (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to the Regulation 40 (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, enclosed please find herewith a certificate dated 16<sup>th</sup> October 2019 from M/s Shiv Kumar Gupta, a Practicing Company Secretary in compliance of Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 certifying that for the six months period ended 30<sup>th</sup> September 2019, the Company/RTA has duly complied with the requirements of the abovesaid regulation in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Submitted for your kind reference & records.

Thanking you

Yours faithfully  
**For APL Apollo Tubes Limited**

*Shivam*

**Shivam Maheshwari**  
Compliance Officer



Encl: a/a

**APL Apollo Tubes Limited** (CIN-L74899DL 1986PLC023443)

Corp. Office : 36, Kaushambi, Near Anand Vihar Terminal, Delhi -NCR -201010, India Tel: +91-120-4041400 Fax : +91-120-4041444

Corp. Office : Tapasya Corp. Heights 4th Floor, Sector-126, Noida, Uttar Pradesh-201303 India

Regd. Office : 37, Hargovind Enclave, Vikas Marg, Delhi - 110092, India Tel: +91-11-22373437 Fax : +91-11-22373537

Unit-I : A-19, Industrial Area, Sikandrabad, Distt. Bulandshahar, U.P.-203205, India Unit-II : 332-338, Alur Village, Perandapalli, Hosur, Tamilnadu-635109, India

Unit-III : Plot No. M-1, Additional M.I.D.C. Area, Kudavali, Murbad, Maharashtra, Thane-421401, India Unit-IV : Village Bendri Near Urla Indl. Area Raipur, Chhattisgarh-493661, India

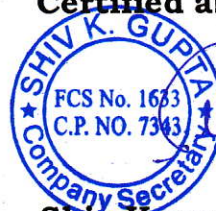
E-mail : info@aplapollo.com Website : www.aplapollo.com

**CERTIFICATE UNDER REGULATION 40(9) SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015  
FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2019**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to *M/s APL Apollo Tubes Limited* maintained by *M/s Abhipra Capital Limited* pertaining to transfer of Equity Shares of the Company for the period from **1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on **30<sup>th</sup> September, 2019**:

- (A) Shares(s) Certificate(s) relating to the transfer of share(s) received during the period from **1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019** as entered in the Register of Transfers have been issued within fifteen days from the date of lodgment of transfer deed excepting those rejected on technical grounds.
- (B) Share(s) Certificate(s) in respect of requests for sub-division, consolidation, renewal, exchange or endorsement of the calls / allotment monies have been issued within thirty days from the respective date of lodgment of each deed excepting those rejected on technical grounds.
- (B) Any other information: **Not Applicable**

**Certified above to be correct**



**Shiv Kumar Gupta**

Practising Company Secretary

FCS 1633

CP No. 7343

Place: Delhi

Date : 16 October, 2019

**UDIN: F001633A000092922**