

Mangalam Drugs and Organics Ltd.

Regd. Office : Rupam Building, 3rd Floor, 239 P. D'Mello Road, Near G. P. O. Mumbai - 400 001.
Phone : 91-22-22616200 / 6300 / 8787 • Fax : 91-22-22619090 • CIN : L24230MH1972PLC116413



30th May, 2019

To
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai – 400 001.

To,
**National Stock Exchange of India
Limited**
“Exchange Plaza”,
Bandra - Kurla Complex,
Bandra(E), Mumbai – 400 051

Scrip Code: 532637

Symbol: MANGALAM

Sub: Annual Secretarial Compliance Report for the Financial Year 2018 - 2019.

Dear Sir/Madam,

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, please find attached herewith the Annual Secretarial Compliance Report for the financial year 2018-19 issued by M/s Ankit Sethi & Associates, Company Secretaries, in whole time practice.

This is for your information and records.

Thanking You,

Yours Faithfully,
For **Mangalam Drugs & Organics Ltd**

Bavishi

Nikita Bavishi
Company Secretary



Works: at Vapi (Gujarat) India • E-Mail : contactus@mangalamdrugs.com • Website : <http://www.mangalamdrugs.com>

SECRETARIAL COMPLIANCE REPORT OF MANGALAM DRUGS AND ORGANICS LIMITED
FOR THE YEAR ENDED MARCH 31, 2019

To,
MANGALAM DRUGS AND ORGANICS LIMITED
L24230MH1972PLC116413
3RD FLOOR, RUPAM BUILDING 239, P. D'MELLO ROAD
MUMBAI - 400001 IN

We, Ankit Sethi & Associates, Practicing Company Secretaries, have examined:

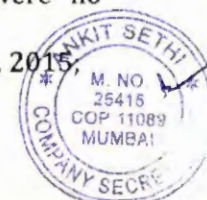
- (a) all the documents and records made available to us and explanation provided by MANGALAM DRUGS AND ORGANICS LIMITED ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended on March 31, 2019 ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (Not Applicable as there were no instance falling under the said regulation during the audit period under review)
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (Not Applicable as there were no instance falling under the said regulation during the audit period under review)
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (Not Applicable as there were no instance falling under the said regulation during the audit period under review)
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not Applicable as there were no instance falling under the said regulation during the audit period under review)
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; (Not Applicable as there were no instance falling under the said regulation during the audit period under review)
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 and circulars/ guidelines issued thereunder; and based on the above examination,



Reg. Off. : Office No. 1, 1st Floor, Shipping House Kumbhta Street, Fort Mumbai - 400 001.

Branch Office : Study Centre, Plot No. 67, Surya Nagar, Nr. Paramhans Dham,
Riddhi Siddhi Tiraha, Jaipur - 302 020. | Contact No. 8655682736

we hereby report that, during the Review Period.

- a) The listed entity has generally complied with all the material provisions of the above Regulations and circulars/ guidelines issued thereunder,
- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- c) As per the undertaking and declaration given by the Management, apart from the below mentioned action, no other action has been taken against the Company ~~/ its promoters/ directors/ material subsidiaries~~ either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.:-

Sr. No.	Action taken by	Details of violation	Details of action taken e.g. fines warning letter debarment etc.	Observations / remarks of the Practicing Company Secretary, if any.
1.	SEBI (for the period March, 2015 to December, 2015)	Notice under Rule 4 of the SEBI (Procedure for holding Inquiry and Imposing penalties by adjudicating officer), with reference to following violations :- 1) Regulation 13 (6) of SEBI (PIT) Regulations. 2) Section 21 of SCRA read with clause 35 of listing agreement.	Company had effectively replied and attended the the personal hearing w.r.t show cause notice served to the company vide No. SEBI/HO/A&E/EA D/SR/SJ/2534/1/2 018 and had also paid the fine of Rs. 1,50,000/- vide Demand Draft, bearing no. 183249 dated 9 th October, 2018 to SEBI on receipt of the Adjudication order.	The company had received the Adjudication order dated 28 th September, 2018 and has made the payment of fine amounting to Rs. 1,50,000/- vide Demand Draft bearing 183249 dated 9 th October, 2018.

- d) No observations has been issued as this is the first Secretarial Compliance report of the Company.

FOR ANKIT SETHI & ASSOCIATES
COMPANY SECRETARIES



ANKIT SETHI
PRACTICING COMPANY SECRETARY

PROPRIETOR
ACS No.: 25415 C. P. No.: 11089

Place: Mumbai
Date : 27.05.2019

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