



Empire Industries Limited

April 15, 2021

To,

BSE Limited
Corporate Relationship Department
1st Floor, P.J. Towers, Dalal Street
Fort, Mumbai – 400 001

Scrip Code: 509525

Dear Sir,

Sub : Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 40(9) of the Listing Regulations we enclose herewith a Compliance Certificate from Ms. Deepa Gupta, Practicing Company Secretary for the half year ended on March 31, 2021.

Kindly take same on records and acknowledge.

Thanking you,

Yours faithfully,
FOR EMPIRE INDUSTRIES LIMITED

SUHAS CHANDRA NANDA
DIRECTOR – FINANCE & COMPANY SECRETARY

Encl: AS ABOVE.

DEEPA GUPTA
Company Secretary

Address:-512, Batela Bldg. D-1, Mukta CHS, D. L. Marg, Chinchpokli, Mumbai 400 012.
Mob: 09322697460 Email: deepaguptas@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LODR)
REGULATIONS, 2015

FOR THE HALF YEAR ENDED 31ST MARCH 2021

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Empire Industries Limited** maintained by M/s. Bigshare Services Pvt Ltd, having its office at Bharat Tin Works Building 1st Floor Opp. Vasant Oasis, Next to Keys Hotel Marol Maroshi Road, Andheri East, Mumbai 400059, the Registrar and Share Transfer Agent, pertaining to transfer of equity shares of the Company for the period from **1st October 2020 to 31st March 2021** for the purpose of issuing a Certificate as per Clause 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company we hereby certify that:

- A) Share Certificates relating to the transfer/transmission of Shares received during the period from **1st October 2020 to 31st March 2021** as entered in the Memorandum of Transfers have been issued within one month of the respective date of lodgement for transfer.
- B) There were no requests for exchange of duplicate certificates and split certificates during the period from **1st October 2020 to 31st March 2021**.




Deepa Gupta
Company Secretary
M. No. 20860
C.P. No. 8168

Date: 13th April, 2021
Place: Mumbai

UDIN Number A020860C000081426