



***Unleash your potential***

Aptech Limited  
Regd. office: Aptech House  
A-65, MIDC, Moroi, Andheri (E),  
Mumbai - 400 093.  
T: 91 22 2827 2300  
F: 91 22 2827 2399  
www.aptech-worldwide.com

**15<sup>th</sup> April, 2021**

**To,  
BSE Limited**  
25<sup>th</sup> Floor, P J Towers,  
Dalal Street,  
Mumbai – 400 001  
**Scrip Code: 532475**

**To,  
National Stock Exchange of India Limited**  
Exchange Plaza, Plot no. C/1, G Block,  
Bandra-Kurla Complex  
Bandra (E), Mumbai - 400 051.  
**Symbol: APTECHT**

Dear Sir/Madam,

**Sub.: Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 31<sup>st</sup> March, 2021**

Please find enclosed herewith Certificate received from Mr. Nilesh Shah – Company Secretary in Practice, certifying compliance with Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 31<sup>st</sup> March, 2021.

Kindly take the same on record.

Thanking you.

Yours faithfully,

**For Aptech Limited**

**Jagruti Shah**  
**Company Secretary & Compliance Officer**  
**Membership no.: F10909**  
**Place: Mumbai**  
**Encl.: as above.**

## Company Secretaries

Ref

**UDIN: F004554C000091511**

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 31.03.2021**

I have examined all ~~Share Transfer Deeds~~, Memorandum of Transfers, Registers, files and other documents relating to **APTECH LIMITED** maintained by KFin Technologies Pvt. Ltd (Formerly known as Karvy Fintech Private Limited) ("RTA") pertaining to transfer of equity shares of the company for the period from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021 for the purpose of issuing a Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 to Aptech Limited and based on the information provided by the Company / RTA, I hereby certify that:

- A) Share Certificate(s) relating to the ~~transfer and~~ transmission of Shares received / processed during the period from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021 as entered in the Memorandum of Transfers have been issued within permissible extended timeline of SEBI from the date of lodgment for ~~transfer/~~ transmission except those rejected on technical grounds;
- B) Share Certificates with respect to request relating to Deletion of Name, Change of Name and issuance of Duplicate Certificate have been issued within the prescribed / permissible extended time limit from the date of lodgment of such request except those rejected on technical grounds. As per records made available to us there was no request received relating to Transfer, Transposition, sub-division, consolidation, or endorsement of calls/allotment monies during the period from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021.

**Note:** Due to COVID-19 outbreak and Lockdown situation, this Certificate has been issued relying on the certificate, information, details, data, documents and explanation provided by the Company and its officers, agents and authorized representatives and Registrar and Transfer Agent in electronic form, without physically verifying at their office.

**Date: 14.04.2021**  
**Place: Mumbai**

**Signature:**

**NILESH G. SHAH.**  
**Company Secretary**  
**C. P. No: 2631**



*Nilesh G. Shah*  
14/04/2021