



April 18, 2023

**The Manager
Listing Department
National Stock Exchange of India Ltd
Exchange Plaza, 5th Floor
Plot No.C-1, Block G
Bandra-Kurla Complex
Bandra (E)
Mumbai 400 051**

**The Secretary
BSE Limited,
25th Floor, Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai 400 001**

Scrip Code : 504973

Symbol : CHOLAHLDNG

Dear Sir / Madam,

Sub: Compliance Certificate under Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 – for the year ended March 31, 2023

Pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose a certificate from the Practicing Company Secretary for the period from April 1, 2022 to March 31, 2023.

We request you to take the above on record.

Thanking you,

For **CHOLAMANDALAM FINANCIAL HOLDINGS LIMITED**

**E KRITHIKA
COMPANY SECRETARY**

Encl: as above

**Cholamandalam Financial Holdings Limited
(Formerly known as TI Financial Holdings Limited)**

Dare House, 234, N.S.C Bose Road, Chennai - 600 001, India Tel: 91.44.4217 7770-5
Fax: 91.44.42110404 Website: www.cholafhl.com CIN -L65100TN1949PLC002905



COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

For the period from **1st April, 2022 to 31st March, 2023**

ISIN: INE149A01033

SECURITY CODE: 504973

We have examined all relevant books, registers, forms, files and other documents and papers relating to **Cholamandalam Financial Holdings Limited (the Company)**, having its Registered Office at "Dare House", 234, N.S.C. Bose Road, Chennai – 600001, maintained by its Registrar and Share Transfer Agents viz., **Kfin Technologies Limited ("RTA") (Formerly known as Kfin Technologies Private Limited)**, having its office at Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad - 500 032 pertaining to the period from **01st April, 2022 to 31st March, 2023** for the purpose of issuing a Certificate under Regulations 39 and 40 (9, 10 & 11) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended till date, SEBI Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 and the Uniform Listing Agreement entered into by **Cholamandalam Financial Holdings Limited** with the **BSE Limited** and based on our examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief and as confirmed by the Company/RTA, the Company has in relation to the year ended **31st March, 2023** has not received any request(s) for Transfer, Transposition of securities, change of name, sub division and Consolidation, renewal, exchange or endorsement of calls/allotment monies.

During the aforementioned period, **Cholamandalam Financial Holdings Limited** has received requests for Transmission of shares, Deletion of name and Issue of Duplicate share certificates and the Letter of Confirmation in lieu of physical share certificate(s) has been delivered to the securities holder(s) /claimant within the stipulated time period from the date of lodgment as stated in the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the Company has also complied with SEBI Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022.

**For R. SRIDHARAN & ASSOCIATES
COMPANY SECRETARIES**



CS R. SRIDHARAN
C.P.No.3239
FCS No.4775
PR NO.657/2020
UIN: S2003TN063400
UDIN: F004775E000116007

Place: Chennai
Date: 17th April, 2023

CHOLAMANDALAM FINANCIAL HOLDINGS LIMITED

CERTIFICATE UNDER SEBI (LODR) REG, 2015
FOR THE YEAR ENDED 31ST MARCH, 2023