

**Ref No: AAVAS/SEC/2020-21/340**

**Date: September 29, 2020**

<b>To,</b> The National Stock Exchange of India Limited The Listing Department Exchange Plaza, Bandra Kurla Complex, Mumbai - 400051  Scrip Symbol: AAVAS	<b>To,</b> BSE Limited Dept. of Corporate Services Phiroze Jeejeebhoy Towers, Dalal Street, Fort, Mumbai - 400001  Scrip Code: 541988
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Dear Sir/Madam,

**Sub: Reporting to Stock Exchanges regarding violations under Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct (CoC)**

**Ref: SEBI/HO/ISD/ISD/CIR/P/2020/135 Circular dated July 23, 2020**

With reference to the above, please find enclosed herewith report on violation under SEBI (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct by Designated person.

You are requested to take the same on records.

Thanks & Regards,

For Aavas Financiers Limited

  
**Sharad Pathak**  
Company Secretary & Compliance Officer  
(FCS-9587)



Encl: a/a



**Report by Aavas Financiers Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015**

**Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015**

Sr No	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Aavas Financiers Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	
3	<p><b>A. Details of Designated Person (DP)</b></p> <p>i Name of the DP</p> <p>ii PAN of the DP</p> <p>iii Designation of DP</p> <p>iv Functional Role of DP</p> <p>v Whether DP is Promoter or belongs to Promoter Group</p> <p><b>B. If Reporting is for immediate relative of DP</b></p> <p>i. Name of the immediate relative of DP</p> <p>ii. PAN of the immediate relative of DP</p> <p><b>C. Details of transaction(s)</b></p> <p>i. Name of the scrip</p> <p>ii. No of shares traded and value (Rs.) (Date- wise)</p> <p><b>D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter</b></p> <p>i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015</p> <p>ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015</p>	<p>Piyush Audichya</p> <p>AOFPA8905B</p> <p>Assistant Vice President</p> <p>Sales</p> <p>No</p> <p>NA</p> <p>AAVAS</p> <p>860 shares pledged at a value of Rs. 1213245/- on 02/09/2020</p> <p>September 16, 2020</p> <p>September 18, 2020</p>
4	Details of violations observed under Code of Conduct	Delay in intimation to the Company





5	Action taken by Listed company/ Intermediary/ Fiduciary	Warning letter has been issued to the Designated Person
6	Reasons recorded in writing for taking action stated above	As per the Company's code of conduct- Prevention of Insider Trading Policy.
7	Details of the previous instances of violations, if any, since last financial year	NA
8	<p>If any amount collected for Code of Conduct violation(s)</p> <p>i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)</p> <p>ii. Details of transfer/payment</p> <p><b><u>In case of online:</u></b>  Name of the transferor  Bank Name, branch and Account number  UTR/Transaction reference Number  Transaction date  Transaction Amount (in Rs.)</p> <p><b><u>In case of Demand Draft (DD)</u></b>  Bank Name and branch  DD Number  DD date  DD amount (in Rs.)</p>	NA
9	Any other relevant information	NA

**Date and Place:** September 29, 2020 and Jaipur

**Name and Signature of Compliance Officer:** Sharad Pathak

**PAN:** BAOPP5646N

**Email ID:** [sharad.pathak@aavas.in](mailto:sharad.pathak@aavas.in)

*Sharad Pathak*

