



# SHAMROCK INDUSTRIAL COMPANY LTD.

CIN: L24239MH1991PLC062298

Regd. Off: 83-E, Hansraj Pragji Building, Off Dr. E. Moses Road, Worli, Mumbai - 400 018. India.

Email Id: shamrockfin@gmail.com | Tel.: +91-22 4077 8884 | Fax.: +91-22 2498 3300

**Date: 16/04/2022**

**To,**  
**The Bombay Stock Exchange Ltd**  
Corporate Relationship Dept,  
1<sup>st</sup>Floor, New Trading Ring,  
Rotunda Building, P. J. Towers,  
Dalal Street, Fort, Mumbai - 400 001

**BSE Scrip Code: 531240**

**Sub: Submission of Compliance Certificate under Regulation 40(9) and 40(10) of SEBI (LODR) Regulations, 2015 for the year ended 31.03.2022**

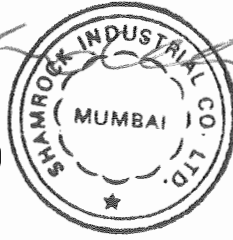
**Sir/Madam**

We are enclosing herewith Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligation & Disclosure Requirement) Regulations, 2015 for the year ended **31.03.2022** for your information and record.

Kindly acknowledge the Receipt.

**Thanking You**  
**Yours Faithfully,**  
**For Shamrock Industrial Company Ltd**

**Kamlesh Khokhani**  
**(Managing Director)**  
**DIN: 00322223**  
**Place: Mumbai**



**Encl: a/a**

**Pankaj Trivedi**

B.Com, CS, LLB

Mob: 9224174118

Email: [pankajtrivedicsllb@gmail.com](mailto:pankajtrivedicsllb@gmail.com)

**PANKAJ TRIVEDI & Co.**

Practicing Company Secretaries

A/601, Maitri Residency, Liberty Garden

Road No.1, Opp. Trimurti Tower, Malad

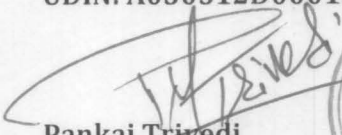
(West), Mumbai – 400064

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LODR) REGULATIONS,  
2015 FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2022**

I have examined the relevant Books, Registers and Records relating to the transfer of securities of **M/s. Shamrock Industrial Company Limited** as maintained and made available by M/s. Bigshare Services Pvt Ltd, the Registrars and Share Transfer Agents (RTA) of the Company for the financial year ended **March 31, 2022** for the purpose of rendering certificate pursuant to Regulation 40(9) of the SEBI (LODR) Regulations, 2015 and on the basis of such examination and on the basis of information provided to us by the RTA and to the best of our knowledge I hereby certify that:

- (a) The Company was not required to deliver Share Certificate relating to the Share Transfer as no transfer request was received and pending during the period from 01.04.2021 to 31.03.2022.
- (b) The Company was not required to deliver Share Certificates relating to the Share Transmission as there was no transmission request received and pending during the period from 01.04.2021 to 31.03.2022.
- (c) The Company has not received any request for Duplicate Share Certificates, Split, Consolidate of Certificates, Renewal, Exchange or Endorsement of Calls/ Allotment monies during the period from 01.04.2021 to 31.03.2022.

For Pankaj Trivedi & Co.  
(Company Secretary in Practice)  
UDIN: A030512D000139676

  
Pankaj Trivedi  
(Proprietor)

ACS: 30512

COP: 15301

Place: Mumbai

Date: April 16<sup>th</sup>, 2022

