

April 12, 2021

DCS-CRD BSE Limited First Floor, New Trade Wing Rotunda Building, Phiroze Jeejeebhoy Towers Dalal Street, Fort Mumbai 400 023 Fax No.2272 3121/2037/2039 Stock Code: 543213	Listing Compliance National Stock Exchange of India Ltd. Exchange Plaza, 5th Floor Plot No.C/1, 'G'Block Bandra- Kurla Complex Bandra East Mumbai 400 051 Fax No.2659 8237/8238 Stock Code: ROSSARI
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Dear Sir/Madam,

Sub: Compliance Certificate pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (Listing Regulations)

With regards to the captioned matter, please find enclosed herewith compliance certificate issued by Ms. Ashita Kaul of Ashita Kaul & Associates, Practicing Company Secretaries, for the period October 01, 2020 to March 31, 2021.

The same may please be taken on record and suitably disseminated to all concerned.

Thanking you,
Yours Sincerely,

Thanking You,
For Rossari Biotech Limited





Parul Gupta
Company Secretary & Compliance Officer
Membership No.: A38895

Encl.: as above

ROSSARI BIOTECH LIMITED

(An ISO 9001:2015 & 14001:2015 Certified Company)

Regd. Office : 201 A & B, Akruti Corporate Park, Next to GE Gardens, LBS Marg, Kanjurmarg (W), Mumbai - 400078. India. T +91-22-6123 3800 F +91-22-2579 6982

Factory : Plot No. 10 & 11, Survey No. 90/1/10 & 90/1/11/1, Kumbharwadi, Village Naroli, Dadra & Nagar Haveli (U.T.), Silvassa - 396 235. India. T 0260 - 669 3000

E info@rossarimail.com W www.rossari.com CIN: L24100MH2009PLC194818

ASHITA KAUL & ASSOCIATES
Company Secretaries
F-76-A, 1st Floor, Eternity Commercial Complex,
Teen Haath Naka, LBS Marg, Thane West-400602
Phone: 022 4123 2128, Mobile: 9326212731/9324676519

TO WHOM SO EVER IT MAY CONCERN

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF
INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015
FOR THE HALF YEAR ENDED MARCH 31, 2021**

We have examined the necessary Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Rossari Biotech Limited** ('the company'), a company registered under Companies Act, 1956, bearing registration number L24100MH2009PLC194818 and having its registered office address at 201 A - B, 2nd Floor, Akruiti Corporate Park, L.B.S Marg, Next to GE Gardens, Kanjurmarg (W) Mumbai-400078, Maharashtra, India, maintained by company's Registrar and Transfer Agent – Link Intime India Private Limited, Mumbai (RTA) pertaining to transfer of equity shares of the Company for the period from October 01, 2020 to March 31, 2021, for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

On the basis of information/explanation provided by the Company/Registrar and Transfer agent, we hereby certify that:-

- A) There were no requests relating to transfers received during the period from October 1, 2020 to March 31, 2021.
- B) There were no requests for transmissions, name deletions, transposition of securities, sub-division (split), consolidation, exchange or endorsement of calls/allotment monies period from October 1, 2020 to March 31, 2021.

Place: Mumbai

Date: 12th April, 2021

UDIN : F006988C000058842



ASHITA KAUL & ASSOCIATES

Practising Company Secretaries

Proprietor
FCS No.: 6988
C.P. No. 6529

Note: Due to lockdown (on account of COVID – 19 Pandemic), we have not been able to carry out physical visit to RTA office for verification of data. The Report is based on inputs received and confirmation received from RTA via email.