



# CITY UNION BANK LTD.,

"NARAYANA" Administrative Office,

No. 24-B, Gandhi Nagar, Kumbakonam - 612 001.

Phone : 0435 - 2402322 - Fax : 0435 - 2431746

E-mail : [shares@cityunionbank.com](mailto:shares@cityunionbank.com) Website: [www.cityunionbank.com](http://www.cityunionbank.com)

CIN - L65110TN1904PLC001287

C.O/Shares/LR-5/2019-20

11<sup>th</sup> April, 2019

National Stock Exchange of India Limited,  
Exchange Plaza, 5<sup>th</sup> Floor,  
Plot No.C/1, G Block,  
Bandra-Kurla Complex,  
Bandra (E),  
**Mumbai 400 051**

BSE Ltd.  
DCS – CRD  
Phiroze Jeejeebhoy Towers  
25<sup>th</sup> Floor, Dalal Street  
**Mumbai 400 001**

**Scrip Code: CUB**

**Scrip Code: 532210**

Dear Sir,

Sub: Intimation u/r 40(10) of SEBI (LODR) Regulations, 2015

In compliance with Regulation 40(10) of SEBI (LODR) Regulations, 2015 we enclose herewith a compliance certificate on share transfer systems for the half-year ended 31<sup>st</sup> March, 2019, issued by M/s. DSMR & Associates, Practicing Company Secretaries, Hyderabad.

This is for your information and records please.

Thanking you

Yours faithfully  
for **City Union Bank Ltd**

*V. Ramesh*

**V. Ramesh**  
General Manager – CFO & CS



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**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 31<sup>st</sup> MARCH 2019

I have examined all share transfer deeds, Memorandum of Transfers (MOT's), Registers, files and other documents relating to CITY UNION BANK LTD, maintained by the Registrars Karvy Fintech Private Limited (formerly known as Karvy Computershare Private Limited) at Hyderabad, pertaining to transfer of Equity Shares of the Bank for the period from 1<sup>st</sup> October 2018 to 31<sup>st</sup> March 2019 for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Bank, I hereby certify that the Bank during half year ended on 31<sup>st</sup> March, 2019.

- a. That all valid requests for transfer, transmission, consolidation, subdivision, issue of duplicate shares have been given effect to and all the relevant equity share certificates have been dispatched within the stipulated time.
- b. That all valid requests for transfer, transmission, consolidation, subdivision, issue of duplicate shares, which were not in order but could be rectified, the necessary objection/intimation letter was sent within the stipulated time and in case of rectification of defect/desired action, the request was given effect to and all relevant certificates were dispatched within the stipulated time from the date of rectification of such defect/desired action by the applicant.
- c. That all valid request for transfer, transmission, consolidation, subdivision, duplicate issue of shares, which were not in order and could not be rectified were rejected and returned within the stipulated time.

Place: Hyderabad  
Date: 10.04.2019

For DSMR & Associates  
Company Secretaries



D S M Ram  
Proprietor  
C. P. No. 4239