



 Regd. Office : JSW Centre,

 Bandra Kurla Complex,

 Bandra (East), Mumbai - 400 051

 CIN.
 : L27102MH1994PLC152925

 Phone
 : +91 22 4286 1000

 Fax
 : +91 22 4286 3000

 Website
 : www.jsw.in

Ref: JSWSL: SEC: MUM: 2020-21 October 20, 2020

Το,			
1.	National Stock Exchange of India	2.	Bombay Stock Exchange Limited
	Ltd.		Corporate Relationship Dept.
	Exchange Plaza		Phiroze Jeejeebhoy Towers
	Plot No. C/1, G Block		Dalal Street, Mumbai – 400 001.
	Bandra – KurlaComplex		Fax No. 2272 2037/2039/ 2041/ 20 61
	Bandra (E), Mumbai – 400 051		Scrip Code No.500228.
	Fax No.: 2659 8237-38		-
	Kind Attn.: Mr. Hari K, President		Kind Attn: The General Manager
	(Listing)		(CRD).

## Sub: <u>Submission of Certificate required as per Regulation 40(9) of the Securities</u> and Exchange Board of India (Listing Obligation and Disclosure <u>Requirements) Regulations, 2015</u>

Dear Sirs,

In compliance with Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015, we enclose herewith a copy of the Compliance Certificate issued by Manisha Ghia & Associates., Practicing Company Secretaries, for the half year ended on 30<sup>th</sup> September 2020.

Thanking you,

Yours faithfully, For **JSW STEEL LIMITED** 

Lancy Varghese Company Secretary





Place: Mumbai

Date: October 20, 2020

UDIN: F006252B001007661

Manish Ghia & Associates :+91 22 2682 6286/87/88 : info@mgconsulting.in : www.mgconsulting.in

## CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30<sup>Th</sup> SEPTEMBER, 2020

## TO WHOMSOEVER IT MAY CONCERN

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to JSW Steel Limited having its registered office at "JSW Centre" Bandra Kurla Complex, Bandra (East), Mumbai – 400051 maintained by KFin Technologies Private Limited (formerly known as Karvy Fintech Private Limited), the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from 1st April, 2020 to 30<sup>th</sup> September, 2020 for the purpose of issuing certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by JSW Steel Limited and based on information provided by the Company, we hereby certify that during the half year ended on 30<sup>th</sup> September, 2020 the Company:

- a) has issued the Share Certificate(s) relating to the Share Transfer Form(s) received during the period from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 (which was earlier lodged prior to 1<sup>st</sup> April, 2019 and returned due to deficiency), as entered in the Memorandum of Transfers within thirty days from the respective date of lodgement of each Form(s) except those rejected on technical grounds;
- b) has issued Share Certificate(s) relating to request(s) for sub-division /split of share certificate(s) received during the said half year (from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020) within thirty days from the respective date(s) of receipt of such request(s) except those rejected on technical grounds;
- c) has issued the Duplicate Share Certificate(s) in lieu of original lost/ misplaced, relating to request(s) received during the period from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 within thirty days from the respective date(s) of receipt of such request(s) except those rejected on technical grounds; and
- d) has not received any request for consolidation, renewal, exchange or endorsement of calls/allotment monies.



For Manish Ghia& Associates Company Secretaries MANISH Digitally signed by MANISH LALITCHAN LAUTCHANDRA GHIA DRA GHIA Date: 2020.10.20 DRA GHIA

Manish L. Ghia Partner M. No. FCS 6252 C.P. No. 3531

**Note:** Due to lockdown (on account of COVID – 19 Pandemic), we have not been able to carry out physical visit to RTA office for verification of data. The Certificate is based on inputs received via email from RTA and oral confirmation with RTA.