

SWAGTAM TRADING & SERVICES LIMITED

Regd. Office: R-489, GF-A, New Rajinder Nagar, New Delhi - 110060
Tel: 011-42475489 E-mail: swagtam1984@gmail.com Website: www.swagtam.com
CIN: L51909DL1984PLC289131

Date : 19.04.2024

To,
Bombay Stock Exchange Ltd.
P. J. Tower, Dalal Street,
Mumbai - 400 001
Maharashtra, India

To,
Calcutta Stock Exchange Ltd.
7, Lyons Range,
Kolkata - 700 001

Ref: Disclosure / Compliance as per Reg. 23(9) of SEBI (LODR) Regulation, 2015

Dear Sir / Madam,

We humbly submit that, as per the Understanding given to us, provisions of Reg. 15(2) & Reg. 23(9) are not applicable to the Company in view of the following :

- As per Regulation 15(2) of the SEBI (LODR) Regulations, 2015 it has been stipulated that the compliance with the corporate governance provisions as specified in Regulations 17, 17A, 18, 19, 20, 21, 22, **23**, 24, 24A, 25, 26, 27 and Clauses (b) to (i) and (t) of Sub - Regulation (2) of Regulation 46 and Para C, D and E of Schedule V shall **NOT** be Applicable in respect of:
 - listed entity having paid-up equity share capital not exceeding Rs. ten crore and net worth not exceeding Rs. twenty five crore, as on the last day of the previous financial year.
- As per Regulation 23 (9) of the SEBI (LODR) Regulations, 2015
 - the listed entity shall submit to the stock exchanges disclosures of related party transactions in the format as specified by the Board from time to time, and publish the same on its website:
 - provided that a 'high value debt listed entity' shall submit such disclosures along with its standalone financial results for the half year.

However, as per the Communication from your Office, we have been advised & have now preferred to make the said Compliance/ Disclosure as a measure of abundant caution & precaution, without Admitting any lapse, guilt, non-compliance, etc., on our part.

Accordingly, please find enclosed herewith Disclosure of 'Related Party Transactions' for the half year ended September 30, 2023.

Kindly consider and take this Disclosure on your records.

Thanking You,

For SWAGTAM TRADING & SERVICES LIMITED

For SWAGTAM TRADING & SERVICES LIMITED

SUMIT GUPTA
Director
DIN : 06911742

Director/Auth. Sign

Place : New Delhi

SWAGTAM TRADING & SERVICES LIMITED

Regd. Office: R-489, GF-A, New Rajinder Nagar, New Delhi – 110060
 Tel: 011-42475489, E-mail: swagtam1984@gmail.com, Website: www.swagtam.com
 CIN: L51909DL1984PLC289131

Disclosure / Compliance as per Reg. 23(9) of SEBI (LODR) Regulation, 2015
 Period : 01.04.2023 to 30.09.2023 ✓

Swagtam Trading & Services Ltd									
April 2023 - September 2023									
Name of Managerial Person	Nature of Relationship	Remuneration	Deposits		Goods/ Services	Shares Purchased	Loans/ Advances		
			Given	Taken			Outstanding Balance	Status	
Lalita Mittal	WTD & CFO	1,25,000.00							
Prabhjot Kaur	WTD & CFO	-							
Vinod Bala	WTD & CFO	-							
Pooja Mathur	Co. Secretary	1,50,000.00							
Best Properties Pvt Ltd	Rent	60,000.00	5,00,000.00						
Rajendra Ghagre	Rent	-							
Total		3,35,000.00	5,00,000.00						

Kindly consider and take this Disclosure on your records.

For and on behalf of Board of Directors
 Swagtam Trading & Services Limited
 For SWAGTAM TRADING & SERVICES LIMITED

SUMIT GUPTA
 Director - DIN: 06913742
 Director/Auth. Sign.

Date : 19.04.2024
 Place : New Delhi