

Phone : +91.20.61356135 / 67022000 Fax : +91.20.67022100 / 67022200

E-mail: pune@suzlon.com
URL: www.suzlon.com

14<sup>th</sup> October 2020.

National Stock Exchange of India Limited,

"Exchange Plaza", Bandra-Kurla Complex, Bandra (East), <u>Mumbai-400051.</u> **BSE Limited,**P.J. Towers,
Dalal Street,
Mumbai-400001.

Dear Sirs,

Sub.: Compliance in terms of SEBI (Listing Obligations and Disclosure Requirements)

Regulations, 2015, as amended (the "Listing Regulations") for the quarter ended September 2020.

Enclosed please find the following in terms of the Listing Regulations for the quarter ended September 2020:

- 1. Certificate under Regulation 7(3) of the Listing Regulations for the half year ended September 2020, certifying that all activities in relation to both physical and electronic share transfer facility are maintained by KFin Technologies Private Limited (formerly Karvy Fintech Private Limited) (SEBI Registration No.INR000000221), the Registrar and Share Transfer Agent of the Company;
- 2. A statement of investors' complaints under Regulation 13(3) of the Listing Regulations; and
- 3. Corporate Governance Report in terms Regulation 27(2) of the Listing Regulations.

Hope you would find the same in order.

Thanking you,

Yours faithfully,

For Suzlon Energy Limited

Geetanjali S.Vaidya, Company Secretary.

G. S. Vaidya

Encl.: As above.



#### COMPLIANCE CERTIFICATE

### FOR THE HALF YEAR ENDED 30TH SEPTEMBER 2020

THIS IS TO CERTIFY that, KFin Technologies Pvt. Ltd (KFIN) is providing Registry and Share Transfer services to Suzlon Energy Limited and having the valid agreement in the form of Memorandum of Understanding (MOU).

#### **FURTHER CERTIFIED that KFIN:**

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide Registration NO.INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic from (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issue in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

Thanking you,

Yours faithfully, For KFin Technologies Private Limited

Ganesh Chandra Patro Senior Manager

Place: Hyderabad Date: 03/10/2020

**KFin Technologies Private Limited** 

(Formerly known as "KarvyFintech Private Limited")

One Earth, Hadapsar, Pune - 411 028, India



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## **COMPLIANCE CERTIFICATE**

# FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER 2020

This is to certify that Suzlon Energy Limited (hereinafter referred to as the "Company") has entered into an arrangement with KFin Technologies Private Limited ("KFIN") for providing Registrar and Share Transfer services to the Company and has valid agreement in place in the form of Memorandum of Understanding.

As confirmed by KFIN, this is to further certify that KFIN is:

- a. Holding and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide Registration No.INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents / correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of the investors holding shares in electronic from (Demat) as received from the depositories for a minimum period of one year.

This certificate is being issued by the Company in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015. A similar certificate has also been issued by KFIN in compliance with Regulation 7(3).

For Suzlon Energy Limited

Place : Pune

Dated: 13th October 2020

Geetanjali S.Vaidya, Company Secretary.