

# PALASH SECURITIES LIMITED

CIN – L74120UP2015PLC069675

REGD. OFFICE - P.O. HARGAON, DIST SITAPUR (U.P.), PIN – 261 121

Phone No. (05862) 256220-221; Fax No.: (05862) 256 225

E-mail – [palashsecurities@birlasugar.org](mailto:palashsecurities@birlasugar.org); Website-[www.birla-sugar.com](http://www.birla-sugar.com)

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10th April, 2024

The Secretary  
National Stock Exchange of India Ltd.  
Exchange Plaza, 5th Floor  
Plot No. C/1, G Block  
Bandra- Kurla Complex, Bandra (E)  
Mumbai 400 051  
**Symbol : PALASHSECU**

The Dy. General Manager  
Corporate Relationship Department  
BSE Ltd.  
1st Floor, New Trading Ring,  
Rotunda Building  
P.J. Towers, Dalal Street, Fort  
Mumbai-400 001  
**Stock Code : 540648**

Dear Sir/Madam

**Sub: Compliance Certificate for the financial year ended 31st March, 2024**

In pursuance of Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we send herewith a copy of the Compliance Certificate dated April 9, 2024 received from M/s. S. M. Gupta & Co., Company Secretaries, regarding issue of Share Certificates within 30 days of the date of lodgment for transfer, sub-division, consolidation, renewal etc. for the the financial year ended 31st March, 2024.

You are requested to take the same on records.

Thanking you  
Yours faithfully,  
**For Palash Securities Limited**

Vikram Kumar Mishra  
**Company Secretary**  
FCS: 11269

**Encl:** As above

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smguptaandco@gmail.com  
Website: www.smguptaandco.com

Offi. :2236 1385,2236 7135  
Mobile :98300 57568

**S. M. GUPTA & CO.**  
**COMPANY SECRETARIES**  
**P-15 BENTINCK STREET, CALCUTTA-700 001**

Ref. No.....

Dated.....

**CERTIFICATE**  
**FOR THE FINANCIAL YEAR ENDED 31.03.2024**

We have examined all Share Transfer Deeds, Register of Transfers, files and other documents relating to **M/s. PALASH SECURITIES LIMITED (CIN:L74120UP2015PLC069675)** having its registered office at P O HARGAON, Sitapur, Uttar Pradesh, India, 261121 maintained by them and their Share Transfer Agents M/s. Link Intime India Private Limited pertaining to transfer of equity shares of the company for the Financial year ended 31<sup>st</sup> March, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information/ explanation provided by the Company/ STA and on the basis of such checks which to the best of our knowledge and belief were necessary for the purpose of this certification including by way of electronic mode, we hereby certify that the Company has generally issued during the above financial year:-

- A) Share Certificate(s)/Letter(s) of Confirmation in lieu thereof relating to valid Share Transfer Deeds (re-lodgement cases) sub-division, consolidation and renewal received during the financial year ended 31<sup>st</sup> March, 2024 as entered in the said Registers within thirty days from respective dates of lodgement of such deeds excepting those rejected on technical grounds, as and when received.
- B) Share Certificate(s)/Letter(s) of Confirmation in lieu thereof with respect to valid requests for exchange or endorsement of calls/ allotment monies wherever applicable within thirty days of lodgement.

Place : Kolkata  
Date : 09.04.2024

For S. M. Gupta & Co.  
Company Secretaries  
Firm Registration No.: S1993WB816800



  
S. M. Gupta  
Proprietor

Membership Number: FCS-896  
C. P. No: 2053  
Peer Review: 2464/2022  
**UDIN: F000896F000067006**