



Ref: SSFL/Stock Exchange/2022-23/004

Date: April 12, 2022

To
BSE Limited,
Department of Corporate Services
P. J. Towers, 25th Floor,
Dalal Street,
Mumbai - 400001

To
National Stock Exchange of India Limited,
Listing Department
Exchange Plaza, C-1, Block G
BandraKurla Complex, Bandra (E)
Mumbai - 400051

Scrip Code:542759

Symbol: SPANDANA

Dear Sir/Madam,

Sub: Submission of Compliance Certificate under Regulation 7(3) SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year ended March 31, 2022.

In compliance of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are furnishing herewith compliance certificate for financial year ended March 31, 2022.

We request you to kindly take the above on record.

Thanking you.

For Spandana Sphoorty Financial Limited

Ramesh Periasamy
Company Secretary & Compliance Officer

Encl: as above



CERTIFICATE

This is to certify that, Spandana Sphoorty Financial Limited ("the Company") has appointed KFIN TECHNOLOGIES LIMITED ("KFIN") as the Share Transfer Agent of the Company for providing Registry and Share Transfer services to the Company.

Further certify that:

1. KFIN holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India ("SEBI").
2. KFIN is handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
3. KFIN is maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

This certificate is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year ended March 31, 2022.

Compliance certificates issued by KFIN dated April 08, 2022 is enclosed.

For Spandana Sphoorty Financial Limited

Ramesh Periasamy
Company Secretary & Compliance Officer

Date: April 12, 2022

Place: Hyderabad

COMPLIANCE CERTIFICATE

THIS IS TO CERTIFY that, KFIN TECHNOLOGIES LIMITED (KFIN) is providing Registry and Share Transfer services to SPANDANA SPOORTY FINANCIAL LTD and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFIN:

a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).

b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.

c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

For KFIN TECHNOLOGIES LIMITED



Authorised Signatory

Place : Hyderabad

Date : 08/04/2022

KFin Technologies Limited

(Formerly known as KFin Technologies Private Limited)

Registered & Corporate Office:

Selenium, Tower B, Plot No- 31 & 32, Financial District, Nanakramguda,
Serilingampally Hyderabad Rangareddi, Telangana – 500032, India

CIN : U72400TG2017PLC117649