SAM INDUSTRIES LTD. CIN: L70102MP1994PLC041416



Works & Registered Office: Village Dakachiya, A. B. Road, Tehsil: Sanwer, District-Indore - 453 771 (M.P.) INDIA Phone : 0091-731-4229717, Fax : 0091-731-4229724 Website : www.sam-industries.com, email : secretarial@sam-industries.com

13/10/2020

To, The Secretary, Department of Corporate Services BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Fort, Mumbai – 400001

Dear Sir,

Sub. Submission of Compliance Certificate pursuant to Regulation 40(9) of the SEBI (LODR) Regulations, 2015 for the half year ended 30th September 2020

BSE Scrip Code 532005

ISIN: INE653D01012

Please find enclosed herewith on Compliance Certificate received from M. Maheshwari & Associates, Practicing Company Secretaries, pursuant to Regulation 40(9) of the Securities Exchange Board of India (Listing Obligations and Discloser Requirements), Regulations, 2015 for the half year ended 30th September 2020.

Kindly take on record the same.

Thanking you,

Yours faithfully,

For Sam Industries Limited

Navin S. Patwa Company Secretary Encl: as above *M. Maheshwari & Associates* Company Secretary

Manish Maheshwari M.COM., LL.B., FCS



301, Shalimar Corporate Centre, 8-B, South Tukojanj, Indore -452001 Ph. : 0731 - 4068730 Cell. 98260 40473 e-mail : mmaheshwarics@gmail.com

<u>CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND</u> <u>DISCLOSURE REQUIREMENTS) 2015</u>

FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2020

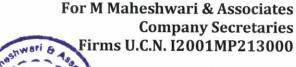
I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **SAM INDUSTRIES LIMITED**, Village Dakachiya, A.B. Road, Tehsil, Sanwer, Indore 453771 (M.P.) maintained by **ANKIT CONSULTANCY PVT. LTD.**, Plot No. 60, Electronic Complex, Pardeshipura, Indore – 452 010 as Registrar and Share Transfer Agent, pertaining to transfer of equity shares of the company for the period from 1st **April**, 2020 to 30th **September**, 2020 for the purpose of issuing a Certificate as per **Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) 2015** and based on the information provided by the Company and / or RTA, I hereby certify that:

A) All the Certificates have been issued within 30 (Thirty) days from the date of lodgment for transfer, sub division, consolidation, renewal, exchange or endorsement of calls / allotment monies, as applicable.

.P. 3860

B) Any other information, if applicable: N.A.

Date : 13th October, 2020 Place : Indore UDIN: F005174B000930549



Mucheshum

MANISH MAHESHWARI FCS 5174 CP NO. 3860