

Date: 9th October, 2019

To,
The Department of Corporate Services
The Bombay Stock Exchange
Phiroz Jeejeebhoy Towers,
Dalal Street,
Mumbai- 400001

Sub: Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Script Code: 540393

Dear Sir/Madam,

Pursuant to Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015, we submit herewith the copy of the Certificate issued by M/S NILESH A PRADHAN & CO., LLP Practicing Company Secretary, for the half year ended on 30th September, 2019.

Kindly take the above Certificate on your records.

Thanking You,

**Yours faithfully,
For Sarthak Metals Limited**



Itika Singh

Company Secretary & Compliance Officer

NILESH A. PRADHAN & CO., LLP

Company Secretaries

CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2019


I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **SARTHAK METALS LIMITED** maintained by Company and Bigshare Services Private Limited pertaining to transfer of equity shares of the company for the period from **01st April, 2019** to **30th September, 2019** for the purpose of issuing a Certificate as Regulation 40 (9) Of The Securities And Exchange Board Of India (Listing Obligations And Disclosure Requirements) Regulations, 2015 ,by "**SARTHAK METALS LIMITED**" and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on **30th September, 2019**:

The Company was listed on the SME platform of BSE Limited on 27th March, 2017 and all its shares are in dematerialized form therefore the provisions related to issue of Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from **01st April, 2019** to **30th September, 2019** as entered in the Memorandum of Transfers to be issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed are not applicable to the Company.

Place: Mumbai

Date: 07th October, 2019

For **Nilesh A. Pradhan & Co., LLP**
Company Secretaries

P.V. Padhye


Prajakta V. Padhye
Partner

FCS NO. 7478

CP No. 7891

UDIN : F007478A000033911

LLP Identity No. AAN-6938

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