

BHARAT FORGE

SECT/

April 14, 2021

BSE Limited,
1st Floor, New Trading Ring,
Rotunda Building, P.J. Towers, Dalal
Street, Fort,
Mumbai - 400 001
BSE SCRIP CODE – 500493

National Stock Exchange of India Ltd.,
'Exchange Plaza',
Bandra-Kurla Complex, Bandra (East)
Mumbai- 400 051
Series: **EQ**
Symbol: **BHARATFORG**

Dear Sir,

Sub: Statement under Regulation 40(9) and (11) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended as on March 31, 2021

Please find enclosed herewith certificate dated April 14, 2021 issued by M/s. S. V. Deulkar & Co., Practicing Company Secretaries, Pune, certifying thereby that the share certificates received for transfer, sub-division, consolidation, renewal, deletion of name of the deceased holder(s) of equity shares, transmission of equity shares during the half year ended March 31, 2021 have been issued duly endorsed after transfer, sub-division, consolidation, renewal, deletion of name of the deceased holder(s) of equity shares, transmission of equity shares dispatched within thirty days from the respective date of lodgment of transfer, sub-division, consolidation, renewal, deletion of name of the deceased holder(s) of equity shares, transmission of equity shares and transposition of shares excepting those returned to the lodgers on technical grounds for rectification.

Thanking you,

Yours faithfully,
For **Bharat Forge Limited**


Tejaswini Chaudhari
Company Secretary



Encl: As above.



KALYANI
GROUP COMPANY

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015
FOR THE HALF YEAR ENDED ON 31ST MARCH, 2021

We have verified the documents and statements relating to equity shares of **Bharat Forge Limited** (“the Company”) having its registered office at Mundhwa, Cantonment Pune 411036, Maharashtra, sent to us on email, for the period from 01st October, 2020 to 31st March, 2021 for the purpose to issue certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015(‘SEBI LODR’).

Pursuant to the amended sub-regulation 1 of Regulation 40 of the SEBI (LODR) it is mandatory that the transfer of securities shall be carried out in dematerialized form only w.e.f. April 01, 2019. However, SEBI vide press release dated March 27, 2019, vide circular no. SEBI/HO/MIRSD/RTAMB/CIR/P/2020/166 dated September 7, 2020 and circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2020/236 dated December 02, 2020 provided operational guidelines for transfer and dematerialisation of re-lodged physical shares (herein refer as “the operational guidelines).

We hereby certify that during the half year ended on March 31, 2021 the Company has:

- a) Not received any re-lodged request for transfer of securities;
- b) Delivered the share certificates with respect to requests for deletion of the name of the deceased, transmission of securities, transposition of names wherever applicable received during this period within 30 days from the respective date of lodgement of each form except those rejected on technical grounds or those where seller notices have been sent;

