



Excel Industries Ltd.



IS/ISO9001:2015
IS/ISO14001:2015
IS18001:2007
Certified by BIS.

26th April, 2023

BSE Ltd.
Listing Department,
PheerozeJeejeebhoy Towers,
Dalal Street,
Fort,
Mumbai-400 001

National Stock Exchange of India Ltd.
Listing Department,
Exchange Plaza,
Bandra-Kurla Complex,
Bandra (E),
Mumbai-400 051

Sub: Certificate under Regulation 40(9) from Practicing Company Secretary

Ref: BSE Scrip Code: 500650; NSE Scrip Code: EXCELINDUS

Dear Sir,

Pursuant to Regulation 40(9) of the (Listing Obligation and Disclosure Requirements) Regulations 2015, please find enclosed Certificate received from Practicing Company Secretary for the period from 1st April, 2022 to 31st March, 2023 certifying that:

1. The Company has delivered/sent letters of confirmation as per SEBI's operational guidelines, for execution of deletion of name, change of name, Transmission and issue of duplicate securities certificate received during the financial year ended 31st March, 2023, within the prescribed time from the respective date of lodgment.
2. No request were received related to transfer, transposition of securities, renewal, consolidation, exchange and sub-division (split) etc. as per SEBI's operational guidelines.

Kindly take the aforesaid on your record.

Thanking you
Yours faithfully,
For Excel Industries Limited

S K Singhvi
Company Secretary
Encl: As above



PRASHANT DIWAN

B.Com, LL.B, FCS, AICWA

Practicing Company Secretary

B-703/704, Anand Sagar

M. G. Road, Kandivali (W), Mumbai 400067

24.04.2023

To

Mr. Surendra Singhvi

Excel Industries Limited

Mumbai

Sub: Certificate under Regulation 40(9) of the SEBI (LODR) Regulations, 2015 for the year ended 31.03.2023

Sir

Please find enclosed herewith certificate in respect of Regulation 40(9) of the SEBI (LODR) Regulations, 2015 for the year ended 31.03.2023 of Excel Industries Limited.

I hope you will find the above in order.

Thanking you

Yours faithfully

CS Prashant Diwan

Practicing Company Secretary

Encl: As above



PRASHANT DIWAN

B.Com, LL.B, FCS, AICWA

Practicing Company Secretary

B-703/704, Anand Sagar

M. G. Road, Kandivali (W), Mumbai 400067

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE YEAR ENDED 31st MARCH, 2023

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018 ("SEBI's operational guidelines"), amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated transfer of securities only in dematerialized form w.e.f. 1st April, 2019.

Further, SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 ("SEBI's operational guidelines") had mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Subdivision/Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, I refer to the confirmation received from **M/s. Link Intime India Private Limited** vide letter dated 8th April, 2023, in respect of all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Excel Industries Limited** maintained by **M/s. Link Intime India Private Limited** pertaining to transfer of equity shares of the company for the period from 1st April, 2022 to 31st March, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, by, **M/s. Excel Industries Limited** and based on the information provided by the Company, I hereby certify that the during the financial year 2022-2023:-

- A. The Company has delivered/sent letters of confirmation as per SEBI's operational guidelines, for execution of deletion of name, change of name, Transmission and issue of duplicate securities certificate received during the financial year ended 31st March, 2023, within the prescribed time from the respective date of lodgment.
- B. No request were received related to transfer, transposition of securities, renewal, consolidation, exchange and sub-division (split) etc. as per SEBI's operational guidelines.

This certificate is issued for the year ended 31st March, 2023 pursuant Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulation, 2015.



CS PRASHANT DIWAN
PRACTISING COMPANY SECRETARY
FCS: 1403 COP:1979

PR NO: 1683/2022

UDIN: F001403E000177370

Date: 24.04.2023

Place: Mumbai