



YAMINI INVESTMENTS COMPANY LTD.

(CIN: L67120MH1983PLC029133)

Regd. Off.: B-102, CRYSTAL PLAZA, OPP. INFINITY MALL, NEW LINK ROAD, ANDHERI (W), MUMBAI- 400 053

Tel.: 022-40164455; E-mail ID: yaminiinvestments@gmail.com; Website: www.yaminiinvestments.com

Date: 19/06/2021

To,
Department of Corporate Services,
Bombay Stock Exchange,
Ground Floor, P.J. Towers,
Dalal Street Fort, Mumbai-400001.

BSE Scrip Code: 511012, Scrip Id: YAMNINV

Subject: Annual Secretarial Compliance Report for the year ended 31st March 2021.

Dear Sir/Madam,

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, we are submitting here with the Annual Secretarial Compliance Report for the year ended 31st March, 2021.

Please take the same on your record and acknowledge the receipt of the same.

Thanking You.

Yours Faithfully,
For YAMINI INVESTMENTS COMPANY LIMITED

Yamini Investments Company Limited

Vandana Agarwal
Whole Time Director

Vandana Agarwal
Whole Time Director
DIN No. 02347593

SECRETARIAL COMPLIANCE REPORT
FOR THE FINANCIAL YEAR ENDED 31ST MARCH 2021

PURSUANT TO REGULATION 24A OF THE SEBI [LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS] REGULATION, 2015 READ ALONGWITH
SEBI CIRCULAR CIR/CFD/CMF1/27/2019 DT 08/02/2019

I, Akhil Agarwal have examined:

- all the documents and records made available to us and explanation provided by M/s. Yamini Investments Company Limited ("the listed entity"),
- the filings/ submissions made by the listed entity to the stock exchanges,
- website of the listed entity,
- any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended 31st March, 2021 ("Review Period") in respect of compliance with the provisions of:

- the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (Not Applicable for the year under review.)
- Securities and Exchange Board of India (Share Based Employees Benefit) Regulations, 2014; (Not Applicable for the year under review.)
- Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not Applicable for the year under review.)
- Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; (Not Applicable for the year under review.)



(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and circulars/ guidelines issued thereunder;

Based on the above examination, I hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

| Sr. No. | Compliance Requirement (Regulations/circulars/ including specific clause) | Deviations | Observations/Remarks of the Practising Company Secretary |
|---------|---|------------|--|
| NIL | | | |

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records,

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

| Sr. No. | Action taken by | Details of Violation | Details of action taken e.g. fines, warning letter, debarment, etc | Observations/Remarks of the Practising Company Secretary, if any |
|---------|-----------------|----------------------|--|--|
| NIL | | | | |

(d) The listed entity has taken the following actions to comply with the observations made in previous reports

| Sr. No. | Action taken by | Details of Violation | Details of action taken e.g. fines, warning letter, debarment, etc | Observations/Remarks of the Practising Company Secretary, if any |
|---------|-----------------|----------------------|--|--|
| NIL | | | | |

Date: 18-06-2021

Place HOWRAH



Akhil Agarwal

ACS No. 35073

CP No. 16313

UDIN: A035073C000482058