

Ref No.: LIL/REG40/SE/CS/2023-24

Date: 17.04.2023

The National Stock Exchange of India Limited Listing & Compliance Department Exchange Plaza, C-1 Block G, Bandra Kurla Complex, Bandra (E), Mumbai – 400051	BSE Limited Listing & Compliance Department Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400001
Symbol: LUMAXIND	Security Code: 517206

Subject: Submission of Certificate in terms of Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31st March, 2023

Ref: Certificate issued by Practicing Company Secretary under Regulation 40(9) of the SEBI (LODR) Regulations, 2015

Dear Sir/Ma'am,

Please find enclosed herewith the Certificate issued by Mr. Maneesh Gupta, Practicing Company Secretary, New Delhi as required under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31st March, 2023.

You are requested to kindly take the same in your records.

Thanking you,

Yours faithfully,

For LUMAX INDUSTRIES LIMITED



PANKAJ MAHENDRU
COMPANY SECRETARY
M.NO. A-28161



Encl: As stated above

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE YEAR ENDED MARCH 31, 2023

I have examined all the relevant documents including but not limited to Registers, Memorandum of transfer(s) and files relating to Lumax Industries Limited maintained by Kfin Technologies Limited (formerly known as Kfin Technologies Private Limited) pertaining to transfer of equity shares of the Company for the period from April 01, 2022 to March 31, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company/ RTA (Kfin Technologies Limited), I hereby certify that:

- A) During the period April 01, 2022 to March 31, 2023, there were no cases received relating to Transfer including relodgement request, hence compliance of Regulation 40(9) with respect to issuance of share certificates in physical form did not arise for transfer (relodgement) of equity shares.
- B) During the period April 01, 2022 to March 31, 2023, Certificates/Letter of Confirmation(s) in respect of requests received for issue of duplicate/exchange/split/consolidation/renewal/endorsement of calls/allotment monies as the case may be have been issued within One month of lodgment/relodgement and have duly complied with the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.


Signature: *Maneesh Gupta*

Name: Maneesh Gupta
CP No: 2945

Date: April 15, 2023
Place: New Delhi
UDIN: **F004982E000103454**
Peer Review No.: 2314/2022