

# Alps Industries Limited

**REGD. / CORP. OFFICE**

Plot No 15-B, G. T. Road  
Chaudhary Morh, Ghaziabad  
Uttar Pradesh – 201001

ALPS/192/SE11/2023-24

April 10, 2023

The General Manager  
Market Operations Deptt.,  
**National Stock Exchange of India Ltd.,**  
Exchange Plaza, 5th Floor,  
Plot No. C/1, G-Block, Bandra-Kurla Complex  
Bandra (E), **Mumbai - 400 051**  
Ph – 91-22-2659 8101 – 8114  
Fax : 022 - 26598237/38/ 2659 8100  
**Email - cmlist@nse.co.in**

The Relationship Manager  
Corporate Relationship Deptt.,  
**Bombay Stock Exchange Limited,**  
1<sup>ST</sup> Floor New Trading Ring,  
Rotunda Building, P. J. Towers,  
Dalal Street, Fort, **Mumbai-400 001.**  
Ph – 022-22728995  
Fax: 022 – 22723121 /  
3719/2037/2039/2041/2061  
Email – intern.erika@bseindia.com,  
corp.relations@bseindia.com

**SUB : SUBMISSION OF CERTIFICATE UNDER REGULATION 40(10) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED ON MARCH 31, 2023.**

Dear Sir,

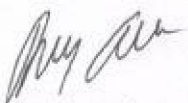
With reference to the Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are submitting herewith the Certificate regarding transfer/transmission of shares as required under the said regulation for the financial year ended on March 31, 2023 as received from Rajiv Khosla and Associates, Practicing Company Secretary.

This is for your kind information and record please.

Thanking you,

Yours faithfully,

For **ALPS INDUSTRIES LIMITED**

  
(Ajay Gupta)  
Company Secretary  
& General Manager - Legal  
Encl. a/a.



Contact : +91-9871692058  
Email: info@alpsindustries.com

Website : www.alpsindustries.com  
CIN: L51109UP1972PLC003544

# RAJIV KHOSLA & ASSOCIATES

PRACTISING COMPANY SECRETARIES, M.COM, FCS

Rama Chandra Residency, Plot No 126, G-1, Ground Floor, Rajender Nagar,  
Sector - 5, Near Khaitan Public School, Gate No 2, Sahibabad, Distt. Ghaziabad, U.P.-201005

Email: khosla.rajivfcs@gmail.com, khosla\_rk1972@yahoo.co.in

Tel: +91 9811583699

## CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

### FOR THE FINANCIAL YEAR ENDED ON MARCH 31, 2023.

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **ALPS INDUSTRIES LIMITED (CIN: L54409UP1972PLC003544)** having its Registered & Corporate office at Plot No 15-B, G.T. Road Chaudhary Morh, Ghaziabad, Uttar Pradesh-201001 maintained by Registrar & Transfer Agent M/s. **ALANKIT ASSIGNMENTS LIMITED (CIN: U74210DL1991PLC042569)**, Alankit House 4E/2 Jhandewalan Extn., New Delhi-110055, pertaining to transfer of equity shares of the company for the period from *April 1, 2022 to March 31, 2023* for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 entered into by, **ALPS INDUSTRIES LIMITED** with Bombay Stock Exchange Limited, Mumbai and based on the information provided by the Company, I hereby certify that during the financial year ended on *March 31, 2023*:

A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from *April 1, 2022 to March 31, 2023* as entered in the Memorandum of Transfers have been issued within 30 days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

B) There was no case for transfer, transmission, transposition, consolidation, duplicate and split of shares during the year ended on 31.03.2023.

FOR RAJIV KHOSLA & ASSOCIATES

(RAJIV KHOSLA)

C.P. NO. -3927

UDIN: F005197E000045292



PLACE: GHAZIABAD

DATE : April 10, 2023