

17th April, 2023

National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex, Mumbai
Kind Attn: Manager, Listing Department
Stock Code - SONATSOFTW

BSE Limited
P.J. Towers, Dalal Street, Mumbai
Kind Attn: Manager, Listing Department
Stock Code - 532221

Dear Sir/Madam,

SUB: CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

With reference to the above captioned subject, please find enclosed copy of Compliance Certificate for the year ended 31st March, 2023 as required under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, issued by the Practicing Company Secretary.

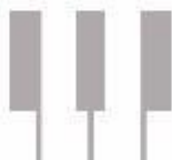
Please take the same on record.

Thanking you,

Yours faithfully,
For **Sonata Software Limited**

Mangal Kulkarni
Company Secretary, Compliance Officer and Head Legal

Encl.: As above





To,

Sonata Software Limited,
208 T V Indl Estate, 2ndFloor,
S. K. Ahire Marg, Worli
Mumbai- 400 030

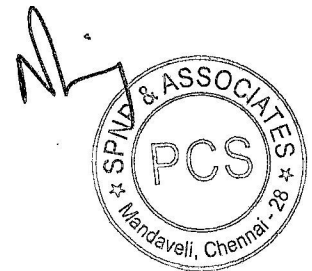
CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015.

FOR THE YEAR ENDED 31st MARCH 2023

Securities and Exchange Board of India (SEBI) by circulars number SEBI/HO/MIRSD_RTAMB/P/CIR/2022/8 dated January 25, 2022 and SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/70 dated May 25, 2022 has mandated that listed companies shall issue securities in dematerialized form only, while processing requests for inter alia issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, renewal / exchange of securities certificate, endorsement, sub-division/ splitting of securities certificate, consolidation of securities certificates/ folios, transmission and transposition. The circular further mandates that RTA/ Issuer companies shall after verifying and processing the requests, issue a 'Letter of confirmation' in lieu of physical securities certificate(s) to the securities holder / claimant within 30 days of the receipt of such requests after removing objections, if any.

Accordingly, on the basis of examination of all relevant registers, process sheets, documents and papers of **SONATA SOFTWARE LIMITED ("the Company")**, made available to us through its Registrar and Share Transfer Agent viz. **KFin Technologies Limited** for the purpose of issuing the certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that the Company, through its Registrar and Share Transfer Agent viz. **Kfin Technologies Limited**.

A) has not received any valid share transfer request during the period from **01stApril, 2022 to 31stMarch, 2023.**



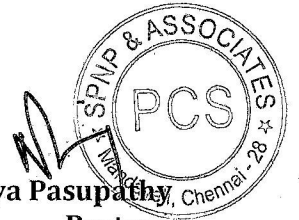
SPNP & ASSOCIATES
Practising Company Secretaries

No.10/28, II Floor, 3rd Cross Street, R.K. Nagar, Raja Annamalaipuram, Chennai - 600 028.
snpnassociates@gmail.com # Phone: 044 4215 3510, 4320 1250, Mobile : 95660 33011



B) has received ten (10) requests for issuance of Duplicate share certificate and the duplicate share certificate/Letter of confirmation have been issued within 30 days of lodgement. Further, during the period from **01st April, 2022 to 31st March 2023**, twenty six (26) requests for name change, exchange of securities certificate, endorsement, sub-division/splitting of securities certificate, consolidation of securities certificates/ folios, transmission and transposition and issue of Split Share Certificate has been received.

For SPNP & Associates



Nithya Pasupathy
Partner

Membership number- 10601
C.O.P number - 22562
Peer Review No.: 1913/2022
UDIN: F010601E000112670

Date: 17/04/2023
Place: Chennai

CC:Kfin Technologies Limited, Selenium Building, Tower B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally Mandal, Hyderabad Rangareddi, Telangana-500032

SPNP & Associates
Practising Company Secretaries
No.10/28, II floor, 3rd Cross street, R.K.Nagar, Raja Annamalaipuram, Chennai – 600028.
spnpassociates@gmail.com #Phone:044 42153510, 43201250, Mobile: 9566033011