



Abbott India Limited  
Godrej BKC, Plot C-68, "G" Block,  
15-16th Floor, Bandra-Kurla Complex,  
Near MCA Club, Bandra (E),  
Mumbai - 400 051. India

Registered Office:  
3, Corporate Park,  
Sion Trombay Road,  
Mumbai - 400 071. India

Tel: (91-22) 5046 1000/2000  
Fax : (91-22) 5016 9400  
E-mail : webmasterindia@abbott.com  
Website : www.abbott.co.in  
CIN: L24239MH1944PLC007330

BSE Limited  
Corporate Relationship Department  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai 400 001

May 25, 2022

**Scrip Code: 500488**

Dear Sirs,

**Sub: Secretarial Compliance Report under Regulation 24(A) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sirs,

Pursuant to Regulation 24(A) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby enclose Secretarial Compliance Report for the year ended March 31, 2022, in the prescribed format, issued by Ms Neena Bhatia, Practicing Company Secretary.

This is for your information and records.

Thank you.

Yours faithfully,  
**Abbott India Limited**

A handwritten signature in black ink, appearing to read 'Krupa', with a horizontal line drawn through it.

**Krupa Anandpara**  
**Company Secretary**  
**Membership No.: ACS 16536**

Encl: a/a



# **N. J. BHATIA**

## **COMPANY SECRETARY**

C-93, Snehadhara, Dadabhai Cross Road No. 3, Vile Parle (W), Mumbai - 400 056.  
Cell : 9870002130 E-mail : njbhatia@rediffmail.com / njbhatia21@gmail.com

### **SECRETARIAL COMPLIANCE REPORT**

#### **OF ABBOTT INDIA LIMITED FOR THE FINANCIAL YEAR ENDED MARCH 31, 2022**

I, Neena Bhatia, Company Secretary in Practice (Membership No.: FCS 9492 and CP No.: 2661), have examined:

- a. all the documents and records made available to me and explanation provided by Abbott India Limited ("the listed entity")
- b. the filings/submissions made by the listed entity to the stock exchanges,
- c. website of the listed entity,
- d. any other document/filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2022 ("Review Period") in respect of compliance with the provisions of:

- a. The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, Circulars, Guidelines issued thereunder; and
- b. The Securities Contracts (Regulation) Act, 1956 ("SCRA"), Rules made thereunder and the Regulations, Circulars, Guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, applicable to the listed entity during the review period, have been examined, include:

- a. Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b. Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c. Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d. Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- e. Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

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- f. Securities and Exchange Board of India (Investor Protection and Education Fund) Regulations, 2009;
- g. Securities and Exchange Board of India (Prohibition of Fraudulent and Unfair Trade Practices relating to Securities Market) Regulations, 2003 & Securities and Exchange Board of India (Prohibition of Fraudulent and Unfair Trade Practices relating to Securities Market) (Amendment) Regulations, 2018
- h. Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993

and circulars/guidelines issued thereunder;

and based on the above examination, I hereby report that, during the Review Period:

- a. The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder.

*In one instance, the Company issued physical share certificate for transmission of shares on March 30, 2022 in deviation of Circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25, 2022 issued by SEBI requiring companies to issue the letter of confirmation in lieu of physical certificate. However, from the documentary evidence, it was observed that the documents requesting the nomination were received prior to the date of notification of aforesaid SEBI Circular. Since the shareholder expired during the course of action, the Company initiated the process of transmission as per the request from the surviving legal heir and issued the physical share certificate. The shares were duly dematerialised immediately thereafter.*

- b. The listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued thereunder in so far as it appears from my examination of those records.

- c. The following are the details of actions taken against the listed entity/its promoters/directors/material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars/guidelines issued thereunder: **NA**

Sr No	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
Not Applicable				

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- d. The listed entity has taken the following actions to comply with the observations made in previous reports: **NA**

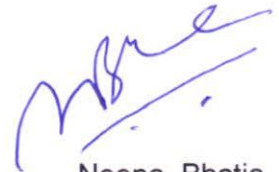
Sr No	Observations of the Practicing Company Secretary in the previous reports	Observations made in the Secretarial Compliance report for the year ended 31 March 2021	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
Not Applicable				

I have taken declaration from the management regarding the said compliances.

Place: Mumbai

Date: May 17, 2022

UDIN: F009492D000330637



Neena Bhatia

Practicing Company Secretary  
Membership No: FCS 9492  
Certificate of Practice No.: 2661

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