

Secretarial Section

Head Office, 57- V.E. Road,

Thoothukudi – 628 002.

☎: 0461-2325136

e-mail : secretarial@tmbank.in

CIN: L65110TN1921PLC001908

Ref.No.TMB.SE.11/2023-24



21.04.2023

The Manager,
Bombay Stock Exchange limited,
Phiroze Jeejeebhoy Towers,
Dalal Street.
Mumbai – 400 001.
Scrip Code: 543596

The Manager,
National Stock Exchange of India Ltd,
Exchange Plaza, 5th Floor, Plot No. C/1,
'G' Block, Bandra - Kurla Complex,
Bandra (East), Mumbai - 400 051.
Symbol: TMB

Dear Sir/Madam,

Sub: Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith the copy of the certificate issued by M/s. SPNP & Associates, Practicing Company Secretaries for the year ended March 31, 2023.

Kindly take the above intimation on your record.

Yours faithfully,

For Tamilnad Mercantile Bank Limited



Prakash Chandra Panda
Company Secretary and Compliance Officer



To,

TAMILNAD MERCANTILE BANK LIMITED,
57,Victoria Extension Road,
Thoothukudi, TamilNadu-628002

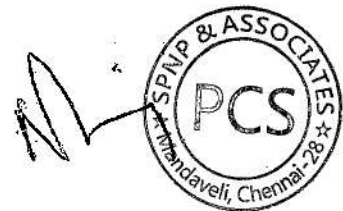
**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015.**

FOR THE YEAR ENDED 31st MARCH 2023

Securities and Exchange Board of India (SEBI) by circulars number SEBI/HO/MIRSD_RTAMB/P/CIR/2022/8 dated January 25, 2022 and SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/70 dated May 25, 2022 has mandated that listed companies shall issue securities in dematerialized form only, while processing requests for inter alia issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, renewal/exchange of securities certificate, endorsement, sub-division/ splitting of securities certificate, consolidation of securities certificates/ folios, transmission and transposition. The circular further mandates that RTA/ Issuer companies shall after verifying and processing the requests, issue a 'Letter of confirmation' in lieu of physical securities certificate(s) to the securities holder/claimant within 30 days of the receipt of such requests after removing objections, if any.

Accordingly, on the basis of examination of all relevant registers, process sheets, documents and papers of **TAMILNAD MERCANTILE BANK LIMITED ("the Company")**, made available to us through Registrar and Share Transfer Agent viz. **Link Intime India Private Limited** for the purpose of issuing the certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the company, we hereby certify the following for the year ended on 31st March 2023.

- A) The company has received a request for share transfer during the Period from **1st April 2022 to 31st March 2023** and the same has been processed within the stipulated period from the receipt of such requests.



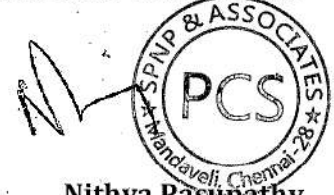
SPNP & ASSOCIATES
Practising Company Secretaries

No.10/28, II Floor, 3rd Cross Street, R.K. Nagar, Raja Annamalaipuram, Chennai - 600 028.
snpnassociates@gmail.com # Phone: 044 4215 3510, 4320 1250, Mobile : 95660 33011



- B) The Share Certificates/Letter of confirmation relating to the requests received for Share transmission, replacement, deletion, issuance of duplicate and split share certificates during the period from **01st April, 2022 to 31st March 2023** as entered in the Memorandum of Transfers have been issued within the stipulated period from the receipt of such requests.
- C) Based on the record available, the Company has not received any requests for the exchange of sharecertificates, endorsement, and sub-division, consolidation of Share certificates/folios, and transposition during the period from 01st April 2022 to 31st March 2023.

For SPNP & Associates



Nithya Pasupathy
Partner

Membership number- 10601

C.O.P number - 22562

Peer Review No.: 1913/2022

UDIN: F010601E000162181

Date: 21/04/2023

Place: Chennai

CC:C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West) Mumbai Mumbai City MH 400083

SPNP& Associates

Practising Company Secretaries

No.10/28, IIfloor, 3rd Cross street, R.K.Nagar, Raja Annamalaipuram, Chennai – 600028.

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