



Ref :SEC:152

Date : April 13, 2021

The Secretary, Listing Department
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001.
Maharashtra, India.
Scrip Code: **505854**

The Manager, Listing Department
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor, Plot No. C/1,
G Block, Bandra-Kurla Complex, Bandra (E),
Mumbai - 400 051.
Maharashtra, India.
Symbol: **TRF**

Dear Madam, Sir,

Sub : **Compliance Certificate under Regulation 40(10)**

Pursuant to Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are submitting herewith a Certificate dated April 12, 2021 issued by a Practicing Company Secretary under Regulation 40(9) of the said Regulations, which is self-explanatory.

This is for your information and records.

Thanking you,

Yours faithfully,
For TRF LIMITED

A handwritten signature in blue ink, appearing to read 'Subhashish Datta', written over a vertical line.

Subhashish Datta
Company Secretary & Compliance Officer

Encl: As above

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31st MARCH, 2021.

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 01st April, 2019. SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019. Further, SEBI vide its circular dated 07th September, 2020 has fixed 31st March, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02nd December, 2020.

Accordingly, on the basis of examination of the relevant documents relating to TRF LIMITED ('the Company') maintained and provided electronically by TSR Darashaw Consultants Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the period from 01st October, 2020 to 31st March, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

A) 1.a. The Company has sent during half year ended on 31st March, 2021 letter of confirmation as per SEBI's operational guidelines for execution of transfer on such re-lodged transfer deed, received during the period from 01st October, 2020 to 31st March, 2021.

1.b. The Company has delivered during half year ended on 31st March, 2021 share certificates in respect of requests relating to transmission of securities/deletion of name received during the period from 01st October, 2020 to 31st March, 2021, within thirty days, from the respective date of lodgment. As informed to us there were no requests relating to transposition of securities, sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies received during the said period.

B) Any other information, if applicable: --

For Parikh & Associates

P N
Parikh

Digitally signed by P N Parikh
DN: c=IN, ou=Personal,
postalCode=400104, st=Maharashtra,
2.5.4.20=02a59473a64d87ecbdfef0a8e3a
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serialNumber=e6a5dfe9f9f1e9732378e19
8497c9be1a9a5543aafae294fe7c98b0c5e
69c2fc, cn=P N Parikh
Date: 2021.04.12 11:53:24 +05'30'

Place: Mumbai
Date: April 12, 2021

P. N. Parikh
FCS: 327 CP: 1228
UDIN: F000327C000052324