

To,  
Listing Department  
National Stock Exchange of India Limited  
Exchange Plaza, C-1, G Block, Bandra Kurla Complex,  
Bandra (East), Mumbai - 400 051.

Department of Corporate Service  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai - 400 001.

**Symbol: ANGELONE**

**Scrip Code: 543235**

Dear Sirs/ Ma'am,

**Sub: Disclosure under Regulation 30 of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015**

This is to inform that the Member and Core Settlement Guarantee Fund Committee ("MCSGFC"/ "Committee") of National Stock Exchange of India Limited ("Exchange") has passed an Order dated July 14, 2023 ("Order") against the Company, in respect of an alleged failure to monitor the operations of its Authorised Persons ("APs") thereby resulting in alleged violation of the Capital Market Segment Regulations and Futures and Options Segment Regulations of the Exchange read with Exchange Circular NSE/MEM/13429 dated November 9, 2009 and NSE/COMP/48536 dated June 9, 2021.

The following directions have been issued to the Company in the aforementioned Order:

1. Monetary penalty of Rs.1,66,89,000 (Rupees One Crore Sixty-Six Lakhs Eighty-Nine Thousand Only);
2. Prohibition from onboarding new APs for a period of 6 (six) months from the date of the Order;
3. Direction to conduct inspection of all its APs and submit a report thereof to the satisfaction of the Exchange within 6 (six) months from the date of the Order;
4. Direction to submit a detailed report on its investor grievance redressal mechanism comprising of:
  - a. total investor complaints and arbitration matters registered against the Company and its APs in the past 1 (one) year from the date of this Order, including those pertaining to assured returns and unauthorized trading;
  - b. total investor complaints and arbitration matters redressed/resolved in the past 1 (one) year from the date of the Order and the timelines for the same; and
  - c. total pending investor complaints and arbitration matters.

It may be noted that the Order does not affect the existing business or the activities of the APs affiliated with the Company. The Company is evaluating various options available including filing an appeal against the Order. The Company has always strived to and carried out its business in compliance with extant laws and regulations in letter and spirit.

Thanking you,

**For Angel One Limited  
(Formerly known as Angel Broking Limited)**

**Naheed Patel  
Company Secretary and Compliance Officer**

**Date: July 15, 2023  
Place: Mumbai**

**CSO, Corporate & Regd Office:**  
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Andheri (E), Mumbai - 400093.  
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**Angel One Limited**  
(Formerly Known as Angel Broking Limited)  
CIN: L67120MH1996PLC101709,  
SEBI Registration No Stock Broker: INZ000161534,  
CDSL: IN-DP-384-2018, PMS: INP000001546,  
Research Analyst: INH000000164, Investment Advisor: INA000008172,  
AMFI Regn. No. ARN-77404, PFRDA, Regn. No.-19092018.

