





6th April, 2021

National Stock Exchange of India Limited Exchange Plaza, Bandra Kurla Complex, Mumbai

Kind Attn: Manager, Listing Department

Email Id: <a href="mailto:neaps@nse.co.in">neaps@nse.co.in</a> Stock Code - SONATSOFTW **BSE Limited** 

P.J. Towers, Dalal Street

Mumbai

Kind Attn: Manager, Listing Department Email Id: listing.centre@bseindia.com

Stock Code - 532221

Dear Sir/Madam,

**Sub: COMPLIANCE CERTIFICATE** 

Ref: REGULATION 7(3) OF THE SEBI (LODR) REGULATIONS, 2015

With reference to the captioned subject, please find enclosed Compliance Certificate for half year ended 31<sup>st</sup> March, 2021 duly signed by both authorised signatory of KFin Technologies Pvt. Ltd, Share Transfer Agent and Compliance Officer of the Company.

Kindly acknowledge receipt of the same.

Thanking you,

Yours faithfully, For **Sonata Software Limited** 

Mangal Kulkarni

**Company Secretary, Compliance Officer and Head-Legal** 



## COMPLIANCE CERTIFICATE

## FOR THE PERIOD ENDED 31ST MARCH 2021

THIS IS TO CERTIFY that, KFin Technologies Pvt. Ltd(KFIN) is providing Registry and Share Transfer services to Sonata Software Limited and having the valid agreement in the form of Memorandum of Understanding (MOU).

## **FURTHER CERTIFIED that KFIN:**

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide Registration NO.INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic from (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issue in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

Thanking you,

Yours faithfully,

FOR KFIN TECHNOLOGIES PRIVATE LIMITED

For Sonata Software Limited

GANESH CHANDRA PATRO SR MANAGER

Place: Hyderabad Date: 03/04/2021

Mangal Kulkarni **Company Secretary, Compliance Officer** and Head-Legal

**KFin Technologies Private Limited** 

(Formerly known as "KarvyFintech Private Limited")