

LATL:CS:REG7:2020-21

Date: 08.05.2020

BSE Limited
Listing Compliance Department
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai - 400 001

The National Stock Exchange of India Limited
Listing Compliance Department
Exchange Plaza, C-1 Block G,
Bandra Kurla Complex,
Bandra (E), Mumbai – 400051

Company Code : 532796

Company Code : LUMAXTECH

Subject: Compliance Certificate under Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Dear Sir/Ma'am,

In terms of Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Compliance Certificate received from our RTA i.e. Bigshare Services Private Limited digitally signed by their Authorised Signatory for the half year ended on 31st March, 2020.

Kindly acknowledge the receipt and take the same on record.

Thanking you,

Yours faithfully,

For **LUMAX AUTO TECHNOLOGIES LIMITED**

Sd/-
COMPANY SECRETARY
M.NO. A-16825
CONTACT NO. +91-9811330570

Encl.: as stated above

Note: Due to outbreak of Corona Virus (COVID-19) in the Country and in compliance of Government of India orders and restrictions of movement of people, Officials of the Company are working from home, therefore signing of this submission is being submitted as Sd/-.

COMPLIANCE CERTIFICATE

{Pursuant to Regulation 7(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015}

THIS IS TO CERTIFY that, we, **Bigshare Services Private Limited**, having our Registered Office at Bharat Tin Works Building, 1st Floor Opp. Vasant Oasis, Makwana Road Marol, Andheri – East Mumbai – 400059 are providing Registry and Share Transfer services to LUMAX AUTO TECHNOLOGIES LIMITED (“the Company”) for the half year ended March 31, 2020 and having the valid agreement:

FURTHER CERTIFY, that we:

- a. Hold and continues to hold requisite certificate as Category - I Registrar & Share Transfer Agent vide SEBI Registration No. INR000001385 issued by Securities Exchange Board of India (SEBI).
- b. Are handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical respect of the Company as per the regulations.
- c. Are maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

Further, we wish to state that the Company is adopting “April to March” as its Financial year and accordingly we are submitting the compliance certificate for half of the Financial year ended March 31, 2020 i.e. for the period from October 1, 2019 to March 31, 2020, pursuant to Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations And Disclosure Requirements) Regulations, 2015.

For **Bigshare Services Private Limited**

S. Arunraj
Authorised Signatory

Place : Mumbai
Date : 06.05.2020

CIN : U99999MH1994PTC076534

(An Associate Company of Transfer Online Inc., USA)