

SECRETARIAL COMPLIANCE REPORT
OF KERNEX MICROSYSTEMS (INDIA) LIMITED FOR THE YEAR ENDED
31st MARCH 2019

I/We A. J. Sharma & Associates, Company Secretaries have examined:

- (a) All the documents and records made available to us and explanation provided by Kernex Microsystems (India) Limited
- (b) The filings/ submissions made by the listed entity to the stock exchanges,
- (c) Website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended **31st March 2019** in respect of compliance with the provisions of :
 - (a) The Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued there under; and
 - (b) The Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India (“SEBI”)

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include:

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015
 - (b) Securities and Exchange Board of India (Issue of capital and disclosure requirements) Regulations 2018;
 - (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011(Not Applicable during the Audit period);
 - (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 (Not Applicable during the Audit period);
 - (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations 2014 (Not Applicable during the Audit period);
 - (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations 2018 (Not Applicable during the Audit period);
 - (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013 (Not Applicable during the Audit period);
 - (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations 2015;
 - (i) Other regulations as applicable and circulars/ guidelines issued there under
- and based on the above examination, I/We hereby report that, during the Review Period
- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under, except in respect of matters specified below: **Nil**

Sr No	Compliance requirement(Regulations, Circulars, guidelines including specific clause	Deviations	Observations, Remarks of the Practising Company Secretary
-	-	-	-

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under in so far as it appears from my/our examination of those records.



- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under: **No action is initiated during the year.**

Sr. No	Action taken by	Details of violation	Details of action taken e.g. fines, warning letter, debarment, etc.	Observations, remarks of the Practicing Company Secretary, if any
-	-	-	-	-

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports: **NIL**

Sr. No	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended	Actions taken by the listed entity, if any.	Comments of the Practicing Company Secretary on the actions taken by the listed entity
-	-	-	-	-

Place: Hyderabad
Date:27.05.2019



For A.J.SHARMA & ASSOCIATES
COMPANY SECRETARIES

A.J. Sharma
A.J.SHARMA
FCS-2120, CP-2176