



SONATA SOFTWARE LIMITED



8<sup>th</sup> April, 2021

National Stock Exchange of India Limited  
Exchange Plaza, Bandra Kurla Complex,  
Mumbai  
Kind Attn: Manager, Listing Department  
Email Id: [neaps@nse.co.in](mailto:neaps@nse.co.in)  
Stock Code - SONATSOFTW

BSE Limited  
P.J. Towers, Dalal Street  
Mumbai  
Kind Attn: Manager, Listing Department  
Email Id: [listing.centre@bseindia.com](mailto:listing.centre@bseindia.com)  
Stock Code - 532221

Dear Sir/Madam,

**Sub: CERTIFICATE FROM THE PRACTICING COMPANY SECRETARY**  
**Ref: REGULATION 40 OF THE SEBI (LODR) REGULATIONS, 2015**

With reference to the above, please find enclosed copy of Compliance Certificate dated 7<sup>th</sup> April, 2021 for the half year ended 31<sup>st</sup> March, 2021 as required under Regulation 40 of the SEBI (LODR) Regulations, 2015 issued by Practicing Company Secretary.

Please take the same on records.

Thanking you,

Yours faithfully  
For **Sonata Software Limited**

**Mangal Kulkarni**  
**Company Secretary, Compliance Officer and Head-Legal**



**P Sriram & Associates**  
Practising Company Secretaries

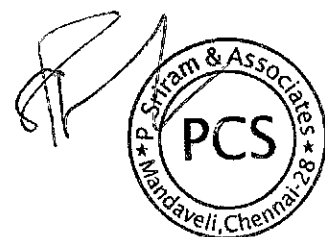
To,  
The Board of Directors  
Sonata Software Limited  
208 T V Indl Estate, 2nd Floor,  
S. K. Ahire Marg, Worli, Mumbai - 400030

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 31<sup>st</sup> March 2021**

We have examined all Share Transfers, Memorandum of Transfers, Registers, files and other documents relating to M/s. **SONATA SOFTWARE LIMITED** bearing **Corporate Identification Number L72200MH1994PLC082110** maintained by M/s. **KFIN TECHNOLOGIES PRIVATE LIMITED**, pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> October 2020 to 31<sup>st</sup> March 2021** for the purpose of issuing a Certificate as per regulation 40 of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 entered into by the Company, with the listed stock exchanges and based on the information provided by the Company and we hereby certify the following during half year ended on **31<sup>st</sup> March 2021**.

A) No Share Certificates relating to the Share Transfer deed and Transmission Documents were received during the period from **1<sup>st</sup> October 2020 to 31<sup>st</sup> March 2021**.





B) Share Certificates in respect of requests for Exchange of Duplicate Share certificate have been issued within one month of lodgment and no request for issue of Split of Share certificate, Consolidation, Sub-Division Renewal, Exchange or Endorsement of Calls / Allotment Monies has been received during the period from **1st October 2020 to 31st March 2021.**

**For M/s. P. Sriram & Associates**



**P. Sriram  
Proprietor**

**Membership number- 4862**

**Certificate number - 3310**

**UDIN: F004862C000030943**

Date: 07.04.2021

Place: Chennai